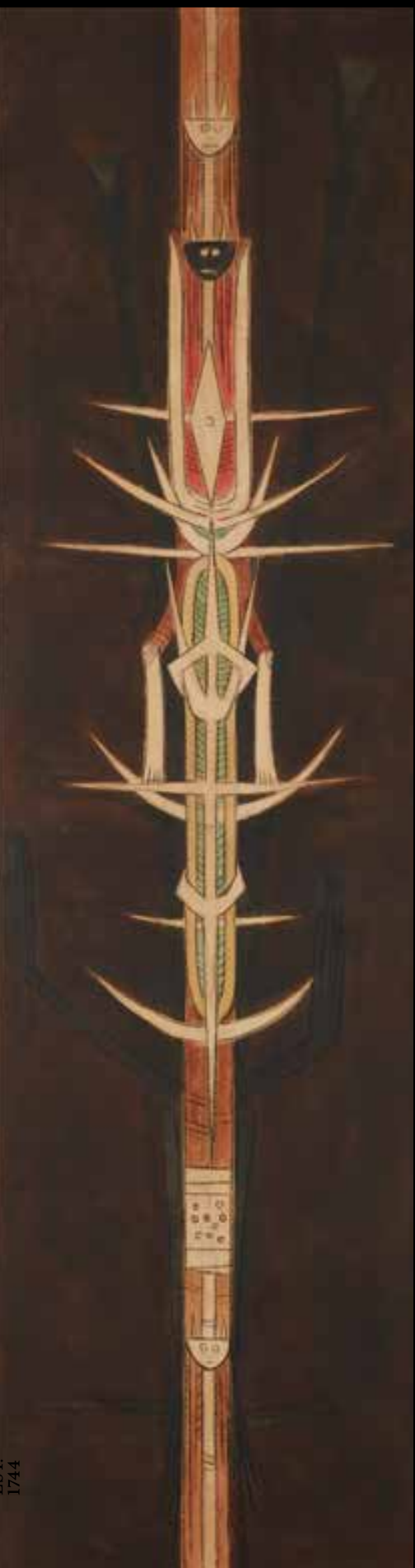


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NEW YORK

LATIN AMERICAN ART PREVIEW

NOVEMBER 2021

# LATIN AMERICAN ART PREVIEW

NEW YORK | NOVEMBER 2021



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1744





Frida Kahlo, *Diego y yo*  
\$30,000,000-\$50,000,000

## LATIN AMERICAN ART PREVIEW

INCLUDING LEGENDARY MASTERS:  
THE BRILLENBOURG CAPRILES COLLECTION

AUCTIONS IN NEW YORK  
NOVEMBER 2021

N10786  
MODERN EVENING AUCTION  
16 NOVEMBER 2021 AT 7 PM

N10787  
MODERN DAY AUCTION  
17 NOVEMBER 2021 AT 10 AM & 2 PM

N10789  
CONTEMPORARY DAY AUCTION  
19 NOVEMBER 2021 AT 10 AM & 2 PM

### TO VIEW PROPERTY FROM THIS SALE

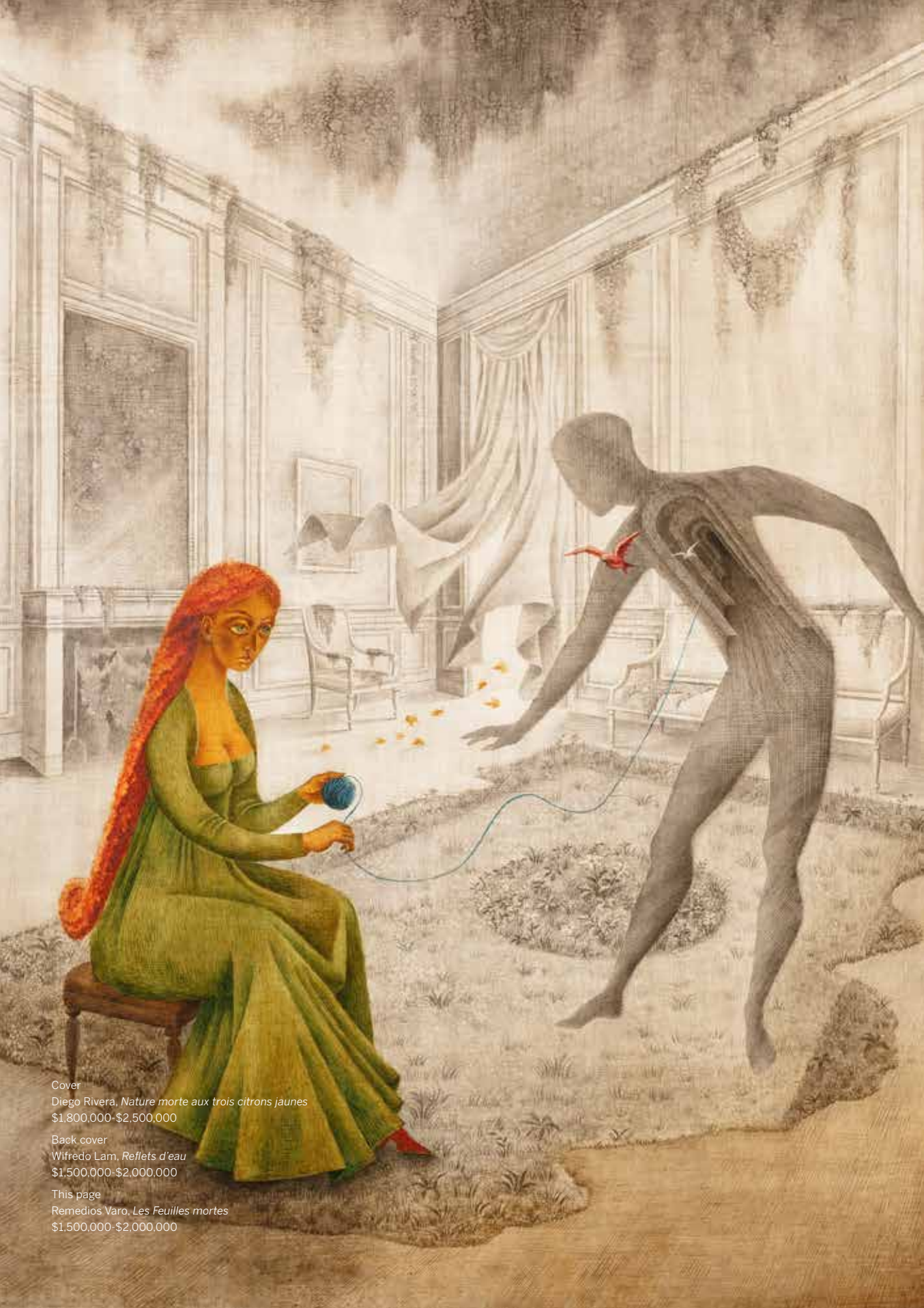
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THIS SALE, VISIT  
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Cover  
Diego Rivera, *Nature morte aux trois citrons jaunes*  
\$1,800,000-\$2,500,000

Back cover  
Wifredo Lam, *Reflets d'eau*  
\$1,500,000-\$2,000,000

This page  
Remedios Varo, *Les Feuilles mortes*  
\$1,500,000-\$2,000,000

## SPECIALISTS

### NEW YORK

Anna Di Stasi  
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Latin American Art  
+1 212 894 1187  
Anna.DiStasi@sothebys.com

Emily Nice  
Associate Specialist  
Latin American Art  
+1 212 606 7513  
Emily.Nice@sothebys.com

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Tatiana.Peralta@sothebys.com

Katia Mindlin Leite Barbosa  
Senior Vice President, Director, Brazil  
+55 21 2553 1946  
Katia.Mindlin@Sothebys.com

Diana Boccardo  
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+58 424 190 4221  
Diana.Boccardo.Consultant@sothebys.com

Adela MacKinlay de Casal  
Consultant, Buenos Aires  
+54 11 5031 9812  
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Leonora Carrington, *Sueño (Nephesh as the Soul in a State of Sleep)*  
\$1,500,000-\$2,000,000





Diego Rivera, Retrato de la niña Dolores Reachí.  
\$350,000-\$450,000

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CONTEMPORARY ART DAY AUCTION



**MODERN**  
EVENING AUCTION

NEW YORK  
16 NOVEMBER 2021  
7 PM



## FRIDA KAHLO

1907 - 1954

Diego y yo

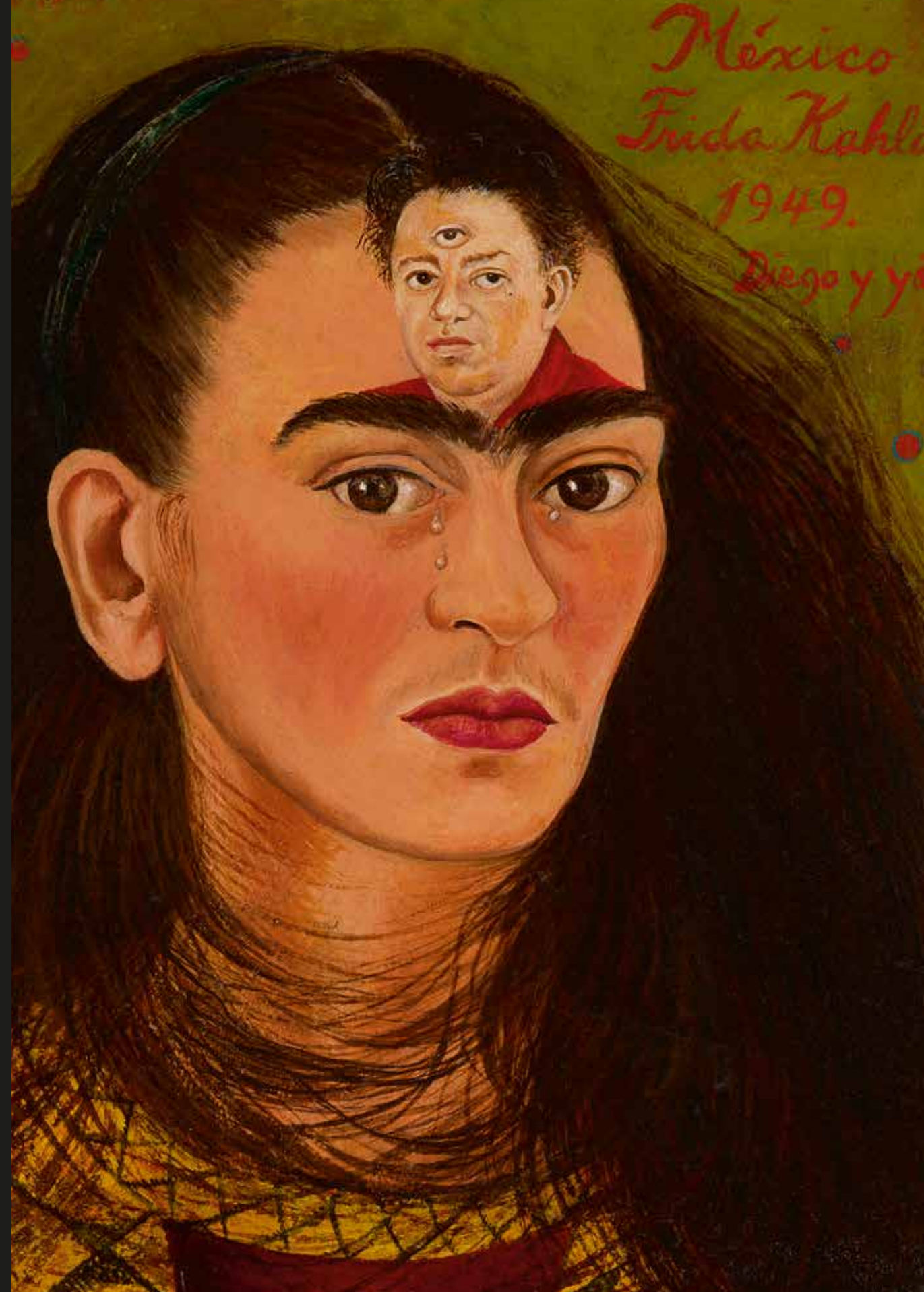
Signed *Frida Kahlo*, dated 1949 & inscribed *Diego y yo* (upper right); dedicated & inscribed *para Florence y Sam con el carino de Frida. Mexico, Junio de 1949* (on the reverse)

Oil on Masonite

11⅝ by 8¾ in. 30 by 22.4 cm.

Painted in Mexico in June, 1949.

\$ 30,000,000-50,000,000





PROPERTY FROM AN IMPORTANT FRENCH PRIVATE COLLECTION

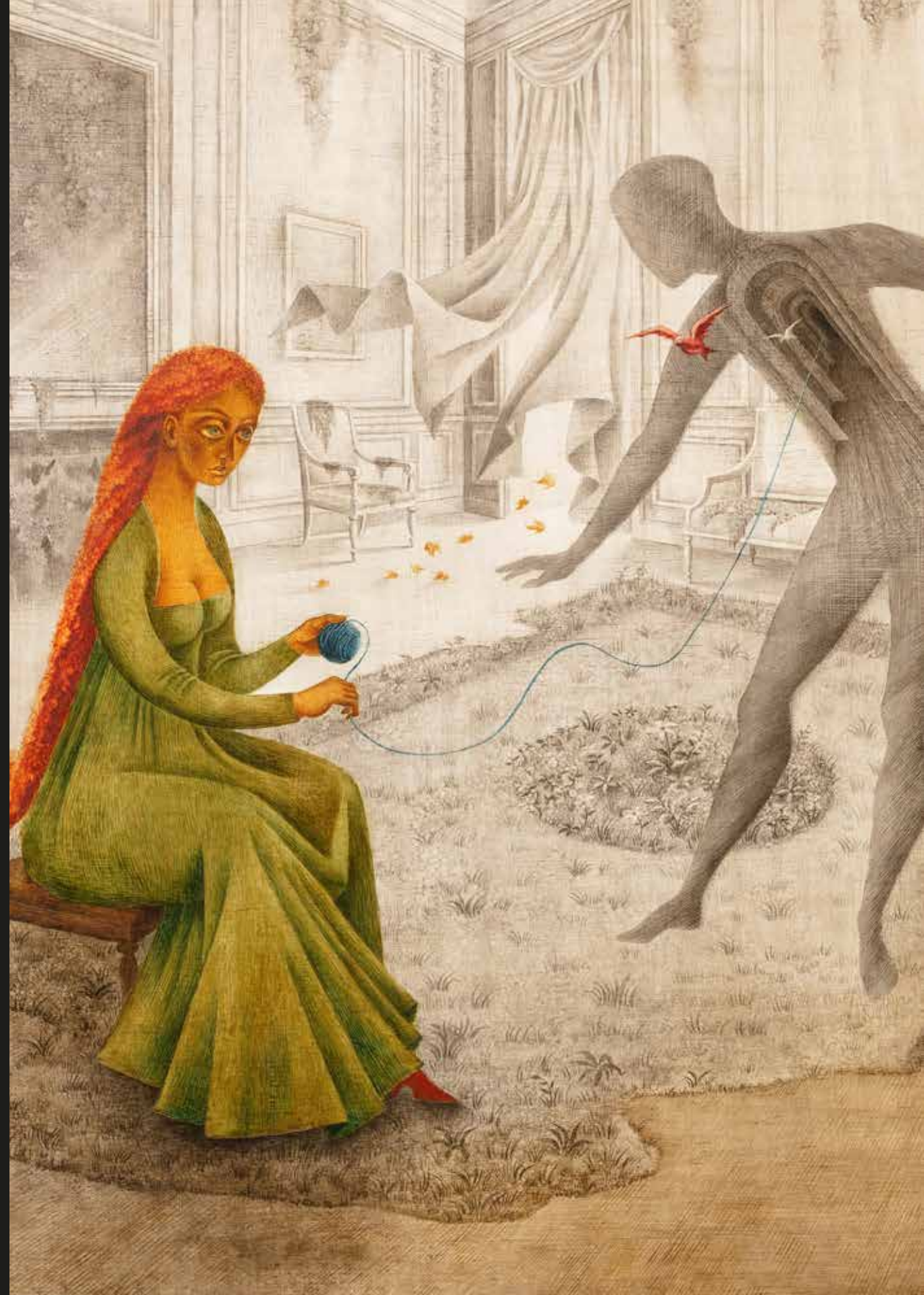
## REMEDIOS VARO

1908 - 1963

Les Feuilles mortes (Les heures mortes, El hilo)

Oil on board  
29 $\frac{1}{8}$  by 23 $\frac{3}{4}$  in. 74 by 60.3 cm.  
Painted in Mexico in June, 1949.

\$ 1,500,000 - 2,000,000





## LEONORA CARRINGTON

1917 - 2011

Sueño (Nephesh as the Soul in a State of Sleep)

Signed *Leonora Carrington*. (lower right)

Oil on linen

49⅞ by 59 in. 126 by 149.9 cm.

Painted in 1959.

**\$1,500,000-\$2,500,000**





# MATTA

1911 - 2002

## L'Interrompu

signed with the artist's *monogram* (on the reverse)

oil on canvas

81½ by 159½ in. 207 by 405.1 cm.

Executed in 1958.

\$ 800,000-1,200,000





# LEGENDARY MASTERS

## THE BRILLEMBOURG-CAPRILES COLLECTION

Assembled over forty years of passionate collecting and connoisseurship, the Brillembourg-Capriles Collection is anchored by an iconic cubist painting by Diego Rivera from 1916, a monumental Totem by Wifredo Lam executed in 1957, a rare Blue period landscape by Armando Reverón dated 1920, and an outstanding 1943 Universal Constructivist work by Joaquín Torres-García. Also represented in the Collection are key works by Fernando Botero, Armando Morales, Matta, and Rufino Tamayo who together encompass the rich talent and artistic vitality found throughout the Americas.

Distinguished by groupings of leading artists from Latin America recognized around the world for their original contribution to the story of modernism, The Brillembourg-Capriles Collection presents a museum-quality ensemble of legendary masterworks. Exceptional in their quality and executed at the height of the artistic maturity of their creators, these extraordinary works evidence the formal and stylistic innovation of the avant-garde in Latin America.

## THE MODERN ART EVENING AUCTION

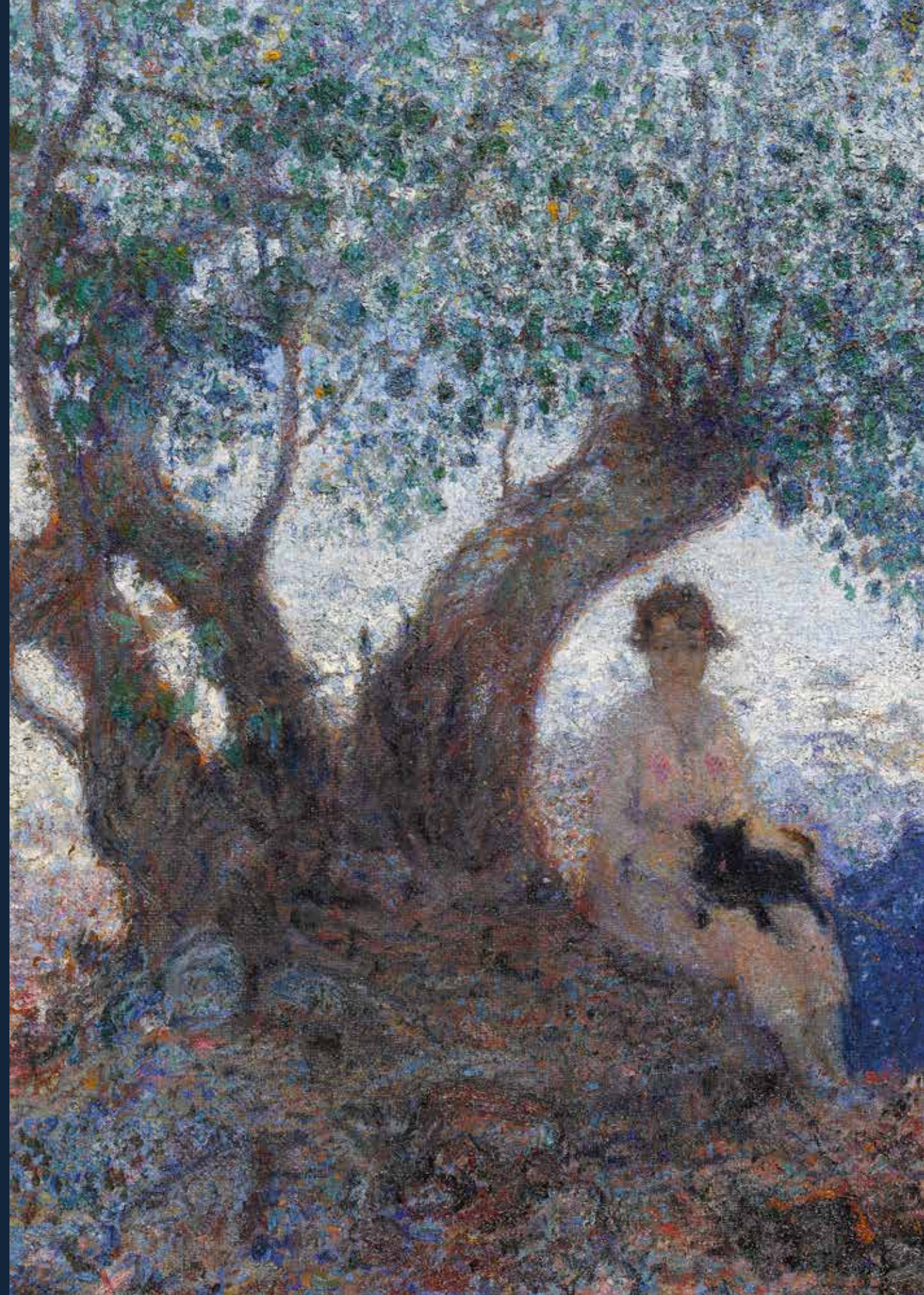
NEW YORK  
16 NOVEMBER 2021

## THE MODERN ART DAY AUCTION

NEW YORK  
17 NOVEMBER 2021

## THE CONTEMPORARY ART DAY AUCTION

NEW YORK  
19 NOVEMBER 2021







LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

ARMANDO RÉVERÓN

1889 - 1954

Figura bajo un uvero

signed *Armando Reverón* and dated x20 (lower right)

oil on canvas

27½ by 39½ in. 69.9 by 100.3 cm.

Executed in 1920.

\$ 250,000 - 350,000



## LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

### DIEGO RIVERA

1886 - 1957

Nature morte aux trois citrons jaunes

signed *Diego M. Rivera* and dated *Mars 1916* (on the reverse)

oil on canvas

31¾ by 25¾ in. 80.8 by 65.2 cm.

Executed in Paris in March 1916.

\$ 1,800,000 - 2,500,000





## LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

### JOAQUÍN TORRES-GARCÍA

1874 - 1949

Composición constructiva de estructura  
coloreada

signed with the initials *JTG* and dated *43* (center left); signed  
*Joaquín Torres García*, titled *Composición constructiva* and  
dated *1943* (on the reverse)

oil on canvas

33⅞ by 41⅞ in. 86 by 105.7 cm.

Executed in 1943.

\$ 700,000 - 900,000





## LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

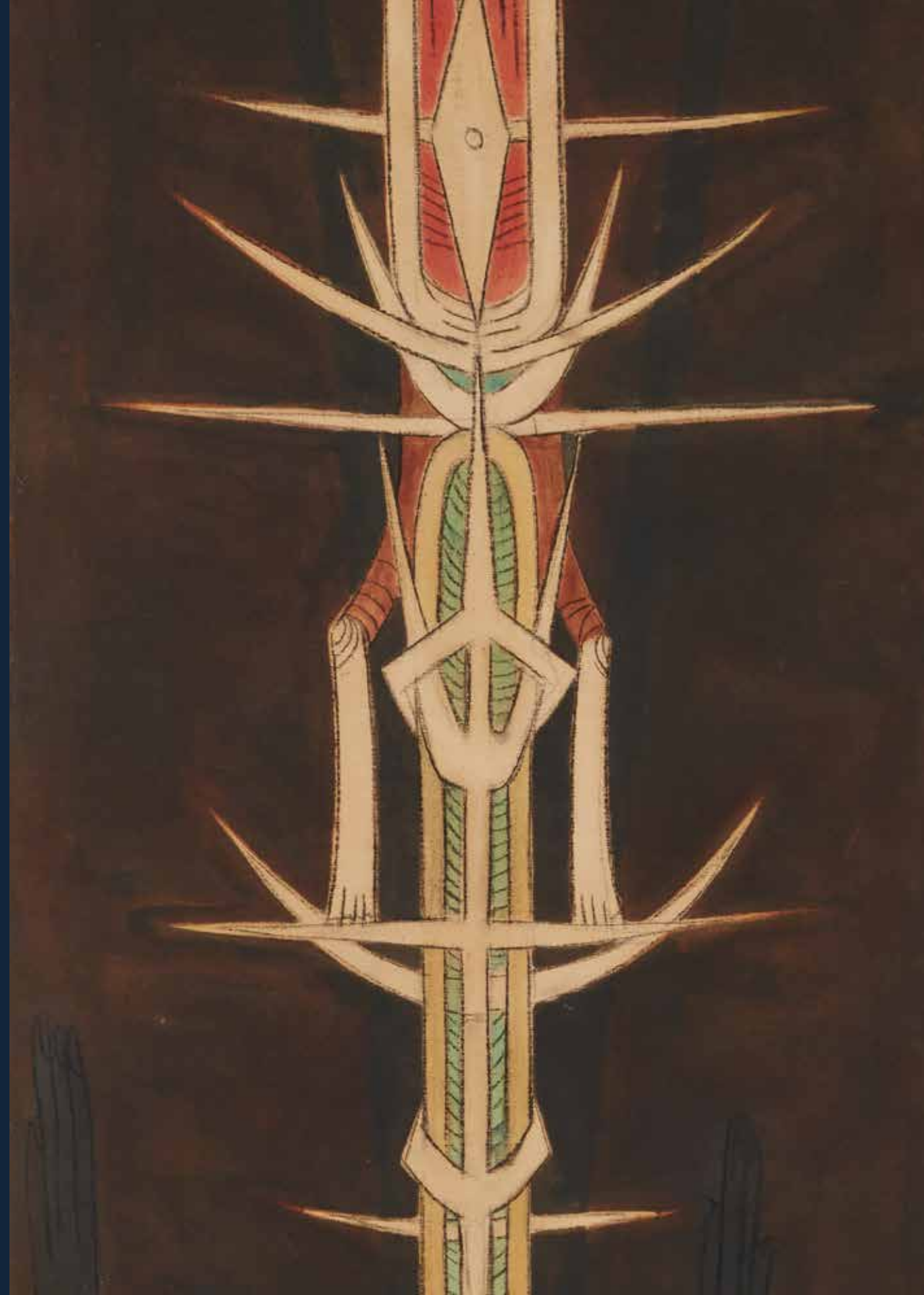
### WIFREDO LAM

1902 - 1982

Reflets d'eau

oil on canvas  
100 $\frac{3}{8}$  by 26 $\frac{3}{4}$  in. 255 by 67.9 cm.  
Executed in 1957.

\$ 1,500,000 - 2,000,000







# LEGENDARY MASTERS

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THE BRILLEMBOURG-CAPRILES  
COLLECTION

PROPERTY TO BE OFFERED IN MODERN &  
CONTEMPORARY ART DAY AUCTIONS



## LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

### WIFREDO LAM

1902 - 1982

Mujer con pájaro (Femme aux oiseaux)

signed *Wifredo Lam* and dated 55 (lower right)

oil and charcoal on canvas

28<sup>7</sup>/<sub>8</sub> by 23<sup>1</sup>/<sub>2</sub> in. 73.2 by 59.8 cm.

Executed in 1955.

**\$ 250,000 - 350,000**





JOAQUÍN TORRES-GARCÍA

1874 - 1949

Pez

signed J. Torres-García (lower left); dated 32 (lower right)

oil on canvas

21 by 25½ in. 53 by 64.8 cm.

Executed in 1932.

\$ 250,000 - 350,000





LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

RUFINO TAMAYO

1899 - 1991

Pájaros liberados

signed *Tamayo* and dated O-56 (lower right)

oil and sand on canvas  
31¾ by 39⅞ in. 80.6 by 100.6 cm.

Executed in 1956.

\$ 350,000 - 450,000





## FERNANDO BOTERO

b. 1932

El secuestro

signed *Botero* and dated 66 (lower right); signed *Botero*,  
titled and dated 66 (on the reverse)

oil on canvas

16¼ by 77¾ in. 41.6 by 197.5 cm.

Executed in 1966.

**\$ 400,000 - 600,000**



## LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

### RUFINO TAMAYO

1899 - 1991

Figura en rojo y negro

signed *Tamayo* and dated *0-71* (upper right)

oil and sand on canvas

16 by 25¾ in. 40.6 by 65.2 cm.

Executed in 1971.

\$ 150,000 - 250,000





## LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

### DAVID ALFARO SIQUEIROS

1896 - 1974

El reto

signed *Siqueiros* and dated *54* (lower right)

Pyroxylin on Masonite

39¾ by 29½ in. 100 by 74.9 cm.

Executed in 1954.

**\$ 250,000 - 350,000**





## DIEGO RIVERA

1886 - 1957

Construction of Rockefeller Center  
(Four Works)

i. & ii.: signed *Diego Rivera* and dated 32 (lower left)

iv.: signed *Diego Rivera* (lower right)

watercolor and pencil on paper

i., ii. & iv: 5⅞ by 7 in. (13.7 by 17.8 cm.)

iii.: 7 by 5⅞ in. (17.8 by 13.7 cm.)

Executed in 1932.

\$ 40,000 - 60,000





## FRANCISCO TOLEDO

1940 - 2019

El Circo

signed *Toledo* and titled (on the reverse)

oil and sand on canvas

38 $\frac{3}{8}$  by 51 $\frac{1}{4}$  in. 97.5 by 130.3 cm.

Executed in 1961.

\$ 80,000 - 120,000





## LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

### DIEGO RIVERA

1886 - 1957

Boy with taco

signed *Diego Rivera* and dated 38 (upper left)

watercolor on rice paper

15½ by 11 in. 38.4 by 27.9 cm.

Executed in 1938.

\$ 30,000 - 40,000





## LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

### RUFINO TAMAYO

1899 - 1991

Retrato de la señora Mercedes Ballesteros  
de Antuñano

signed *Tamayo* and dated *O-61* (upper right)  
charcoal, oil and sanguine on gessoed Masonite  
39¼ by 31⅞ in. 99.7 by 81 cm.  
Executed in 1961.

**\$ 30,000 - 40,000**





## LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

### ARMANDO MORALES

1927 - 2011

Forêt tropicale

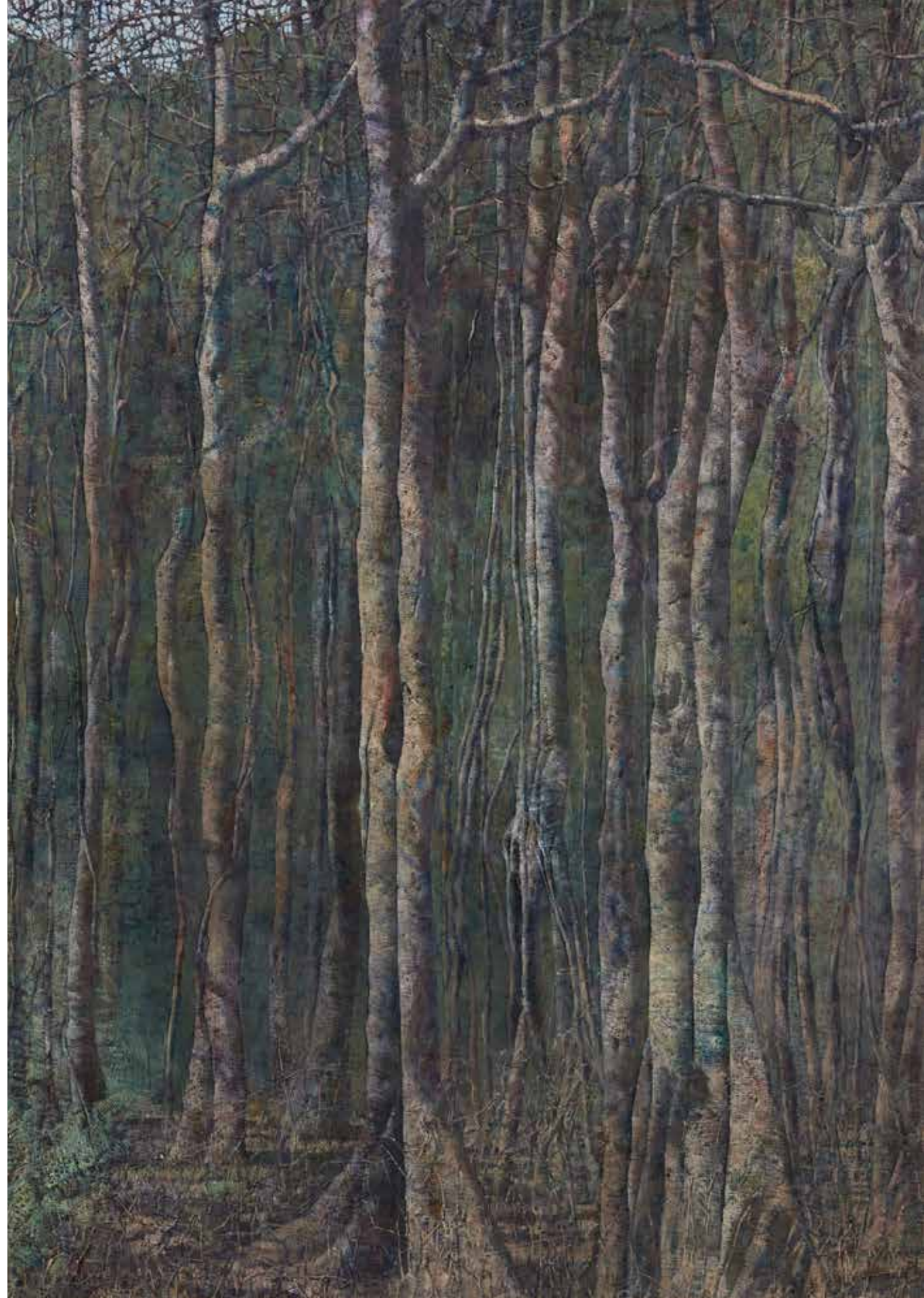
signed *Armando Morales* and dated 1992 (lower right)

oil and beeswax on canvas

70⅞ by 88¾ in. 180 by 225.4 cm.

Executed in 1992.

**\$ 150,000 - 200,000**





## FERNANDO BOTERO

b.1932

Variaciones sobre Cézanne

signed *Botero*, dated 63 and titled (lower left)

oil on canvas

49 3/4 by 58 3/4 in.

126.4 by 149.2 cm.

Executed in 1963.

\$ 200,000 - 300,000





## LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

### FERNANDO BOTERO

b.1932

Bowl of Fruits

signed *Botero* and dated 75 (lower right)

graphite on paper

13 $\frac{3}{8}$  by 17 in. 34 by 43.2 cm.

Executed in 1975.

\$ 30,000 - 40,000





## LEGENDARY MASTERS

THE BRILLENBOURG-CAPRILES  
COLLECTION

### MATTA

1911 - 2002

Soul Without Terror

oil on canvas  
47¼ by 68¼ in. 150.2 by 99.7 cm.  
Executed in 1953.

\$ 250,000 - 350,000





## LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

### WIFREDO LAM

1902 - 1982

Femme violette

signed *Wifredo Lam* and dated 1938 (lower left)

gouache on paper

38 by 26¼ in. 96.7 by 66.7 cm.

Executed in 1938.

**\$ 250,000 - 350,000**





## LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

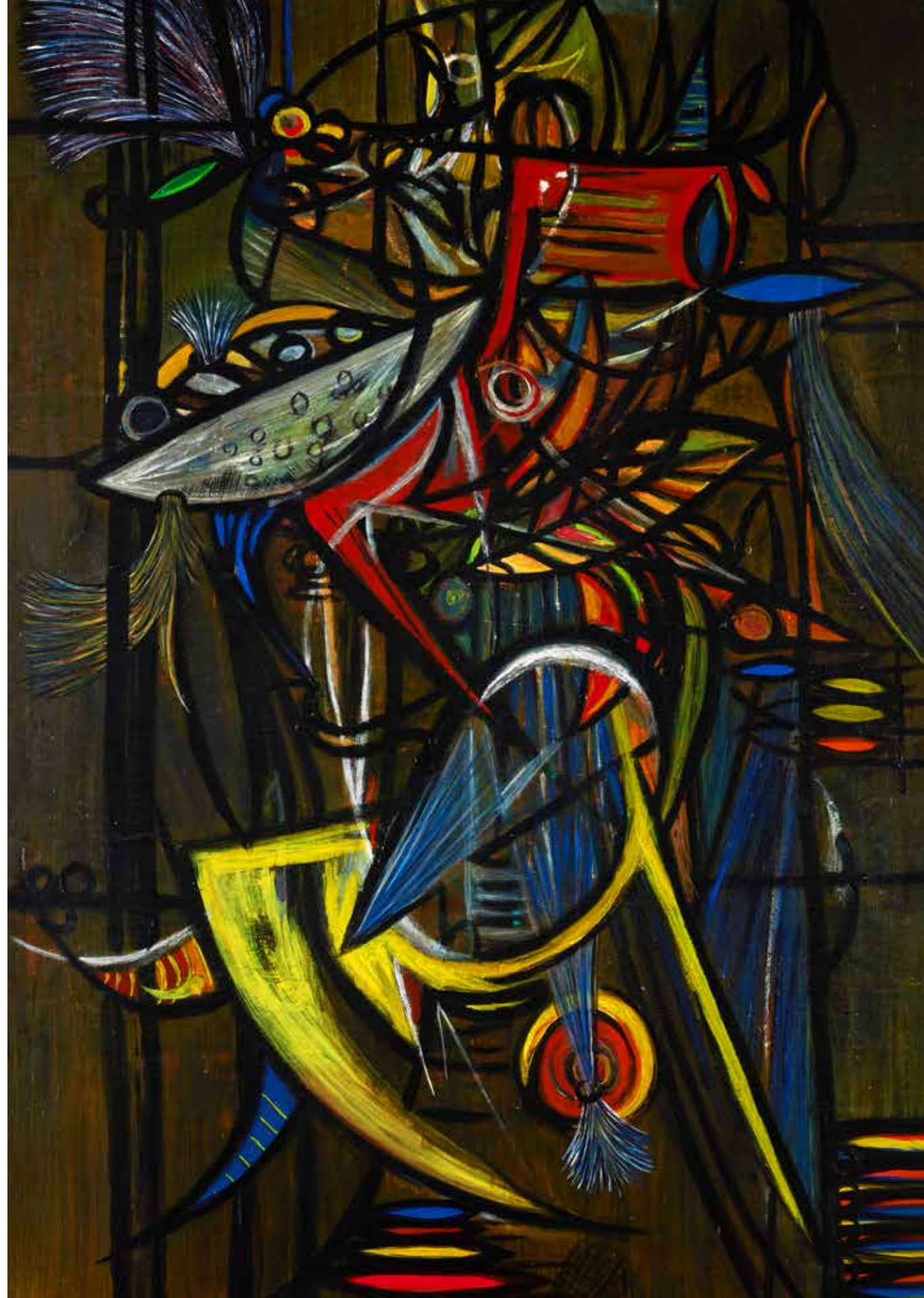
### RENÉ PORTOCARRERO

1912 - 1985

El brujo

oil on paper mounted on Masonite  
39 ¼ by 27 ¾ in. 99.7 by 70.5 cm.  
Executed in 1945.

**\$ 80,000 - 120,000**





## LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

### WIFREDO LAM

1902 - 1982

Maternité Yoruba

signed *Wifredo Lam* and dated *2-9-42* (lower right)

gouache and charcoal on paper

41½ by 35 in. 105.3 by 88.9 cm.

Executed on 2 September 1942.

**\$ 180,000 - 250,000**





## WIFREDO LAM

1902 - 1982

Femme cheval allongée

signed *Wifredo Lam* and dated 6.2.48 (lower right)

ink on paper

31 1/8 by 9 1/2 in. 79.1 by 24 cm.

Executed on 6 February 1948.

**\$ 40,000 - 60,000**





## LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

### RENÉ PORTOCARRERO

1912 - 1985

Sin título

signed *Portocarrero* and dated *1945* (lower right)  
oil on board mounted on aluminum panel  
28¼ by 36¼ in. 71.9 by 92.2 cm.  
Executed in 1945.

\$ 70,000 - 90,000





## LEGENDARY MASTERS

THE BRILLENBOURG-CAPRILES  
COLLECTION



### WIFREDO LAM

1902 - 1982

La gallina

signed *Wifredo Lam*, dated 1958 and dedicated *A Saskia y Christiana de su amigo el pintor* (lower right)  
pastel and crayon on paper  
19 3/8 by 25 1/2 in. 49.2 by 64.9 cm.  
Executed in 1958.

\$ 40,000 - 60,000

PARA SASKIA Y CHRISTIANA  
DE SU AMIGO EL PINTOR  
WIFREDO LAM  
1958



## LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

### MATTA

1911 - 2002

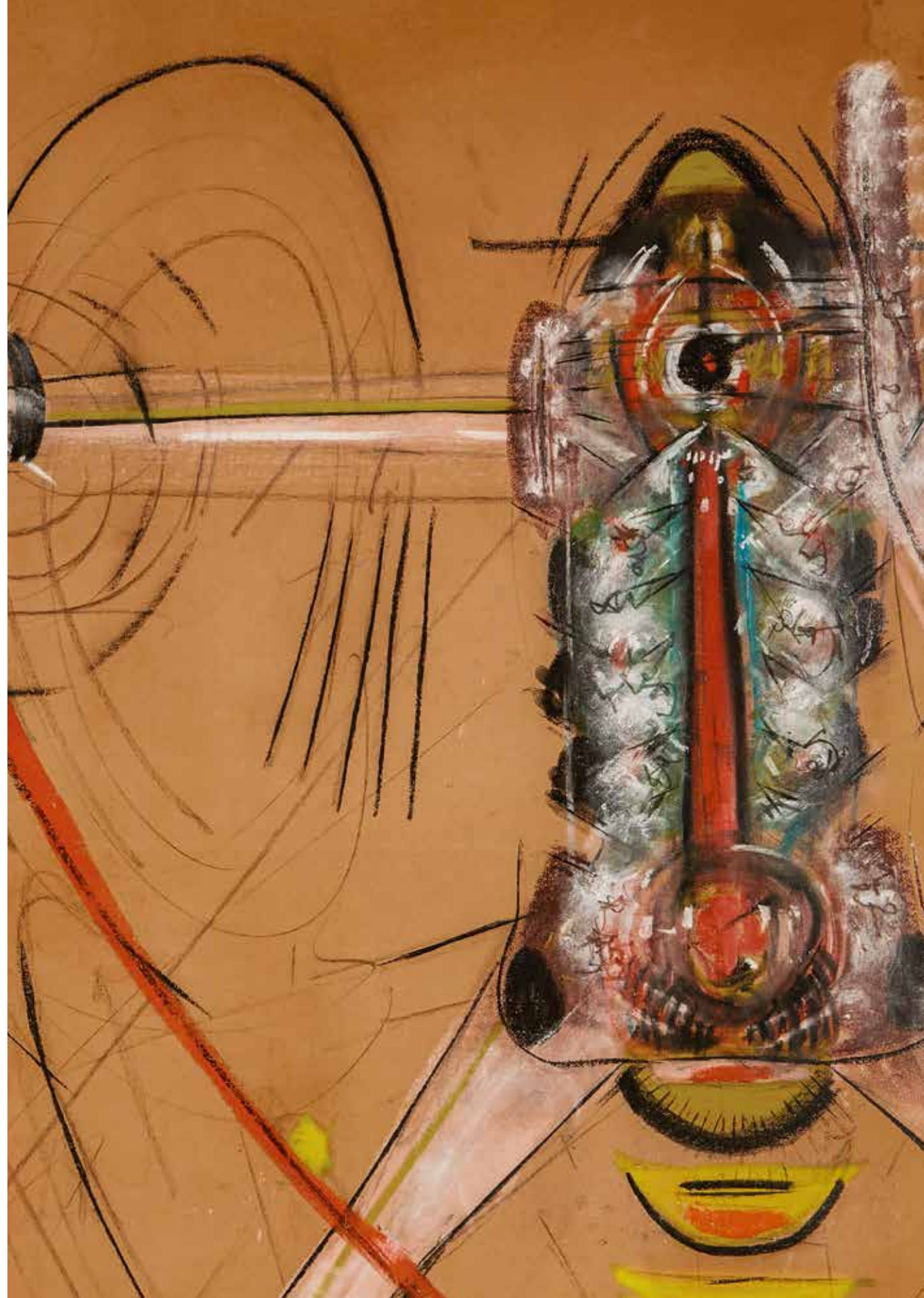
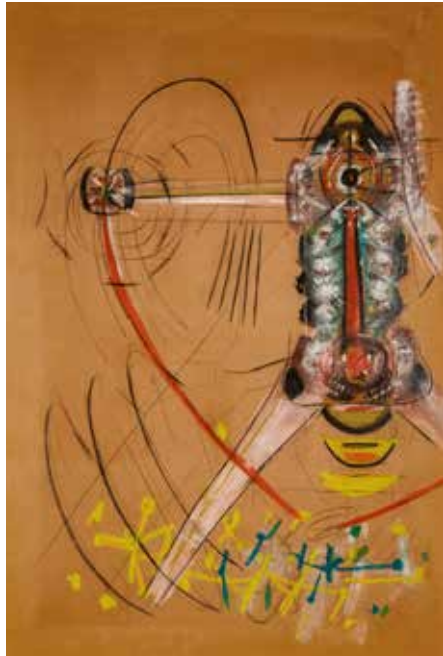
#### Composition et étude

pastel, graphite and charcoal on Kraft paper mounted to  
canvas

59 1/8 by 39 1/4 in. 150.2 by 99.7 cm.

Executed in 1952.

**\$ 60,000 - 80,000**





## JOAQUÍN TORRES-GARCÍA

1874 - 1949

Constructif en bois incise

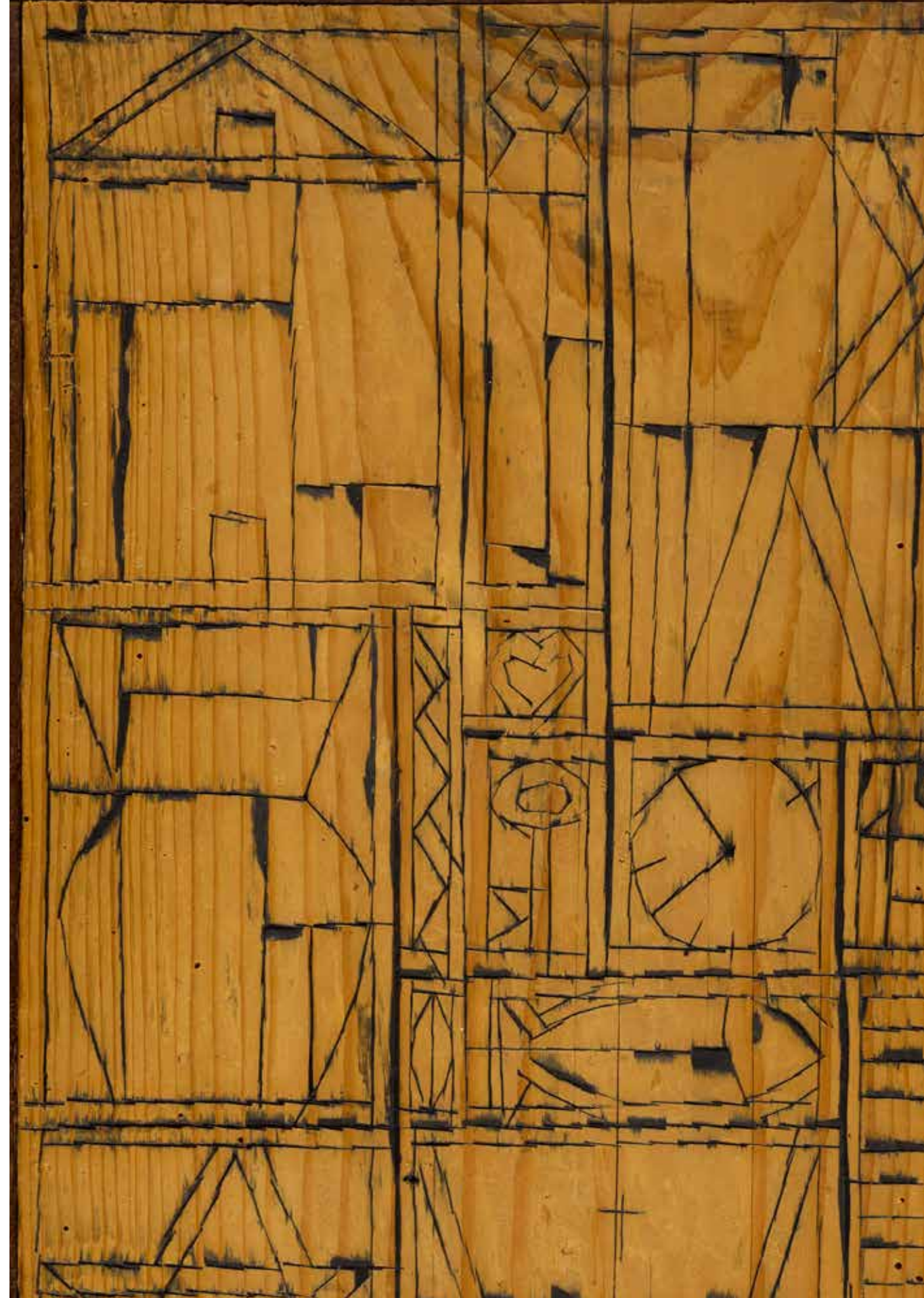
signed *J. Torres-García* and dated 32 (on the reverse)

ink on incised wood

19⅜ by 11¾ in. 49.3 by 29.8 cm.

Executed in 1932.

**\$ 150,000 - 200,000**





## LEGENDARY MASTERS

THE BRILLENBOURG-CAPRILES  
COLLECTION

### EMILIO PETTORUTI

1892 - 1971

La voce

signed *Pettoruti* and dated *1916* (upper left)  
pastel, charcoal and paper collage on paperboard  
19¼ by 14¾ in. 48.9 by 37.5 cm.  
Executed in 1916.

\$ 100,000 - 150,000





## LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

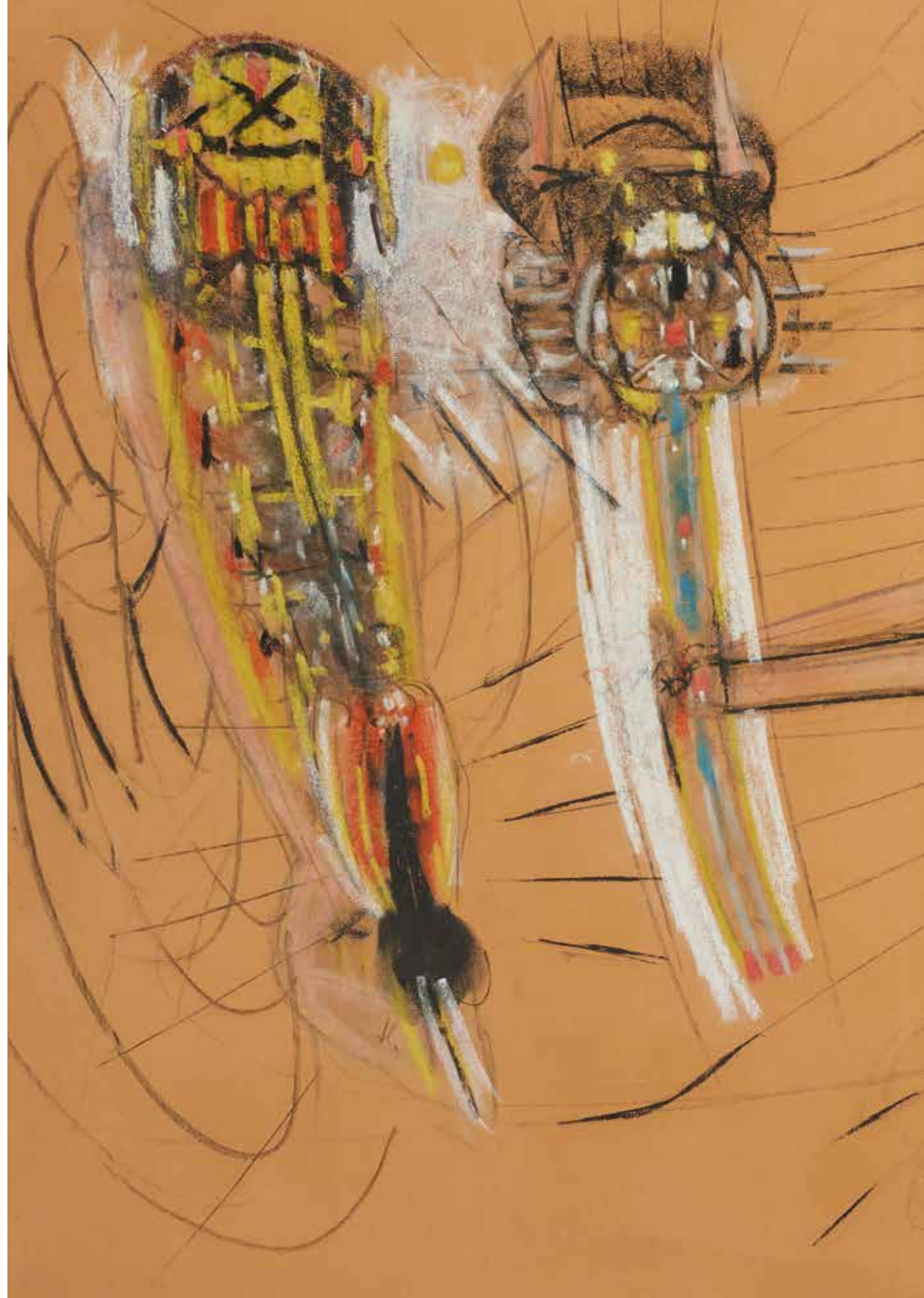
### MATTA

1911 - 2002

Abstract Composition

signed *Matta* (lower right); indistinctly inscribed (lower left)  
oil and pastel on Kraft paper  
39 7/8 by 60 in. 101.3 by 152.4 cm.  
Executed in 1949.

**\$ 60,000 - 80,000**





## LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

### ARMANDO MORALES

1927 - 2011

En el Circo IV

signed *Armando Morales* and dated *1981* (lower right)

oil and beeswax on canvas

65⅞ by 51¾ in. 163 by 131.3 cm.

Executed in 1981.

\$ 80,000 - 120,000





## LEGENDARY MASTERS

THE BRILLEMBOURG-CAPRILES  
COLLECTION

### ANTONIO SEGUÍ

b.1934

Gente rodeando el monumento de  
Humphrey Bogart

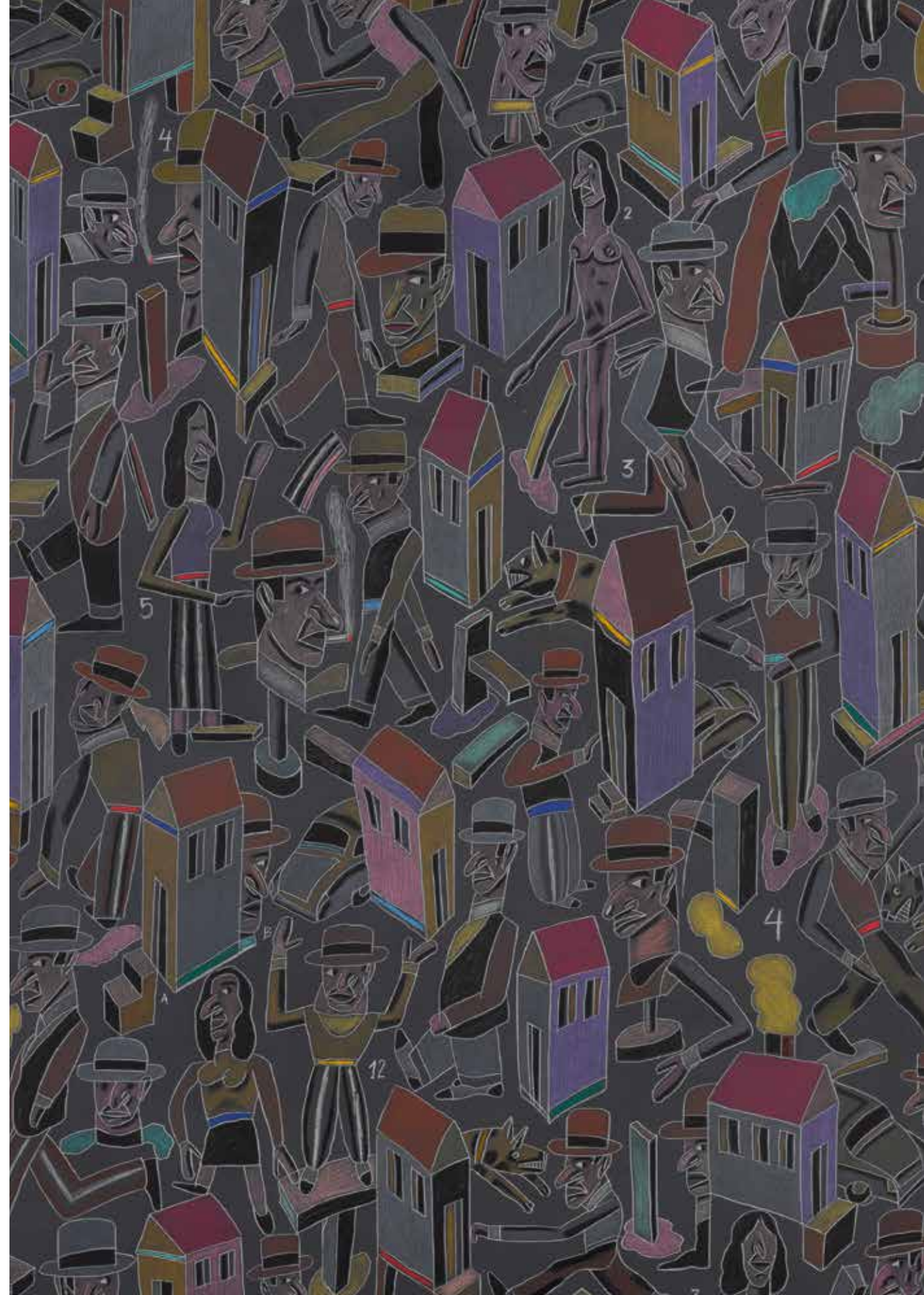
signed *Seguí* and dated 1993 (upper right)

acrylic on canvas

63 1/8 by 59 1/8 in. 160 by 149.9 cm.

Executed in 1993.

**\$30,000 - 40,000**







LEGENDARY MASTERS: THE BRILLENBOURG CAPRILES COLLECTION

## JACOBO BORGES

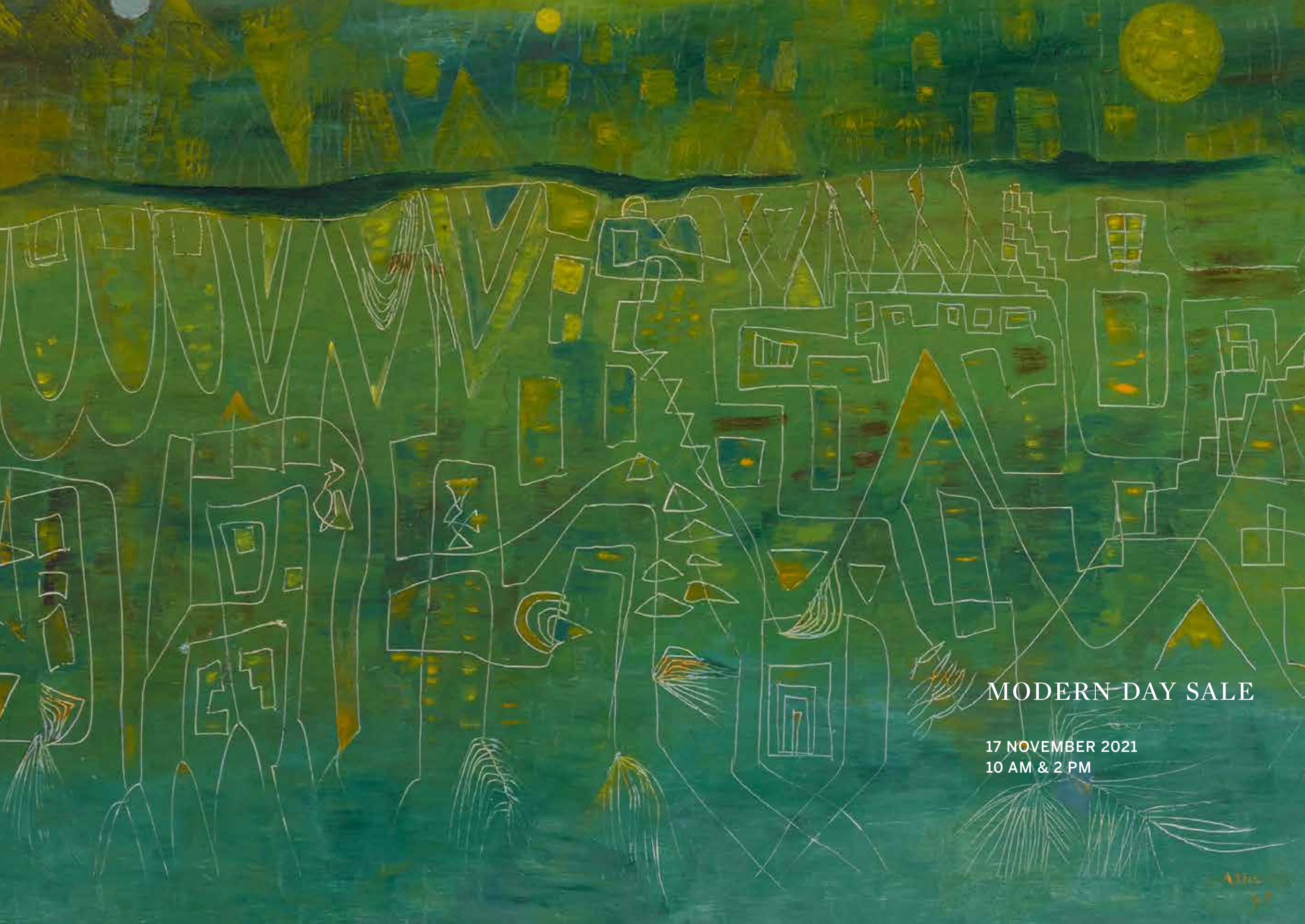
b.1931

Llegando de...

signed *Jacobo Borges* and dated 73 (lower left)  
photo transfer and mixed media on canvas  
20 by 59 ¼ in. 50.8 by 150.5 cm.  
Executed in 1973.

**\$20,000 - 25,000**





## MODERN DAY SALE

17 NOVEMBER 2021  
10 AM & 2 PM



PROPERTY OF AN IMPORTANT COLLECTOR

## ÁNGEL ZÁRRAGA

1886 - 1946

Baigneuse, côte d'émeraude  
(Portrait of Martine Abraham)

signed *Ángel Zárraga* and inscribed *les Sables d'or* (lower right)

oil and tempera on canvas mounted on panel

36<sup>5</sup>/<sub>8</sub> by 19 in. 93 by 48.3 cm.

Executed in Sables-d'Or-les-Pins in July 1935.

**\$ 150,000 - 200,000**





PROPERTY FROM A PRIVATE COLLECTION, BRAZIL

## DIEGO RIVERA

1886 - 1957

### Retrato de la niña Dolores Reachi

signed *Diego Rivera* and dated *1949* (upper left); signed *Diego Rivera*, dated 1949 and inscribed *A Lolita Reachi Chiquita, tan linda como su mamá recuerdo del cariño que le tiene su viejo pintor, Diego Rivera, Abril 1949, cuando la niña tenía seis años once meses de edad* (on the reverse)  
oil on canvas  
23 by 13 $\frac{5}{8}$  in. 93 by 48.3 cm.  
Executed in April 1949.

**\$ 350,000 - 450,000**





PROPERTY FROM A PRIVATE COLLECTION, TEXAS

## RUFINO TAMAYO

1899 - 1991

### La vendedora de fruta

signed *Tamayo* and dated 38 (lower right)  
oil and gouache on canvas  
23¾ by 17¾ in. 60.3 by 45.1 cm.  
Executed in 1938.

**\$ 300,000 - 400,000**





## RODOLFO MORALES

1925 - 2001

Sin título

signed *Rodolfo Morales* (lower right)

oil on canvas

59½ by 48 in. 151.1 by 121.9 cm.

Executed circa 1990.

**\$ 80,000 - 100,000**





## RUFINO TAMAYO

1899 - 1991

### Ancestro

inscribed with artist's signature, dated 0-89 and numbered  
*P/A* (on the base)

steel with unique patina

height: 85 in. 216 cm.

Executed in 1989, this example is an artist's proof from an  
edition of 3 plus one artist's proof.

**\$ 250,000 - 350,000**





PROPERTY FROM THE COLLECTION OF

## Mercedes & Gabriel García Márquez

Sotheby's is honored to present important works from the Collection of Mercedes and Gabriel García Márquez. A Nobel laureate in literature, García Márquez is considered the master of magical realism: fiction in which fantastical events come to pass in otherwise realistic settings, in which the line between the real and the possible is erased. García Márquez's celebrated novels transport us to complex universes tangled with miracles and nightmares, love and violence, death and resurrection.

García Márquez often stated that he wrote "about the reality of Latin America," the only reality he truly knew. "I cannot escape my own ideology when I interpret reality in my books; it's inseparable." In a 1982 article in *The New York Times*, the author stated that, as a Latin American writer, it was his duty to be politically active. "The problems of our societies are mainly political, and the commitment of a writer is with the reality of all of society, not just with a small part of it," he explained. "If not, he is as bad as the politicians who disregard a large part of our reality. That is why authors, painters, writers in Latin America get politically involved." Such conviction and idealism—qualities shared with his contemporaries: Mario Vargas Llosa, Pablo Neruda, Carlos Fuentes, Victor Jara, Isabel Allende and many others—thrust the Colombian author into the politics of a volatile, unstable and increasingly violent corner of the world. Throughout his most famous works (among them, *Autumn of the Patriarch*, *Chronicle of a Death Foretold*, *Love in the Time of Cholera* and *The General in his Labyrinth*), García Márquez uses the uncanny and supernatural as a lens through which to reflect the upheavals and absurdities of daily life in the second half of the twentieth century.

Among García Márquez's greatest literary achievements is *Cien años de soledad* (*One Hundred Years of Solitude*). Considered his magnum opus, it remains widely acclaimed as one of the most significant works in the global literary canon. The Chilean poet Pablo Neruda called it "the greatest revelation in the Spanish

language since 'Don Quixote.'" The novelist William Kennedy hailed it as "the first piece of literature since the Book of Genesis that should be required reading for the entire human race." Published in 1967, *Cien años de soledad* narrates a multi-generational saga of the trials and tribulations of the Buendía family in the mythical South American town of Macondo. As the web of their family tree unravels over the course of the novel, they navigate surreal adversities and absurdities that encapsulate the social, economic and political conditions of the continent.

*Cien años de soledad* would go on to sell tens of millions of copies, win the *Prix du Meilleur Livre Étranger* in France in 1969 and Italy's *Premio Chianciano* the same year. Today, the novel has been published in 23 countries, and has sold over 47 million copies worldwide; printed in Spanish 21 times since Editorial Sudamericana in Buenos Aires first published it, it has been translated into more than 40 languages.

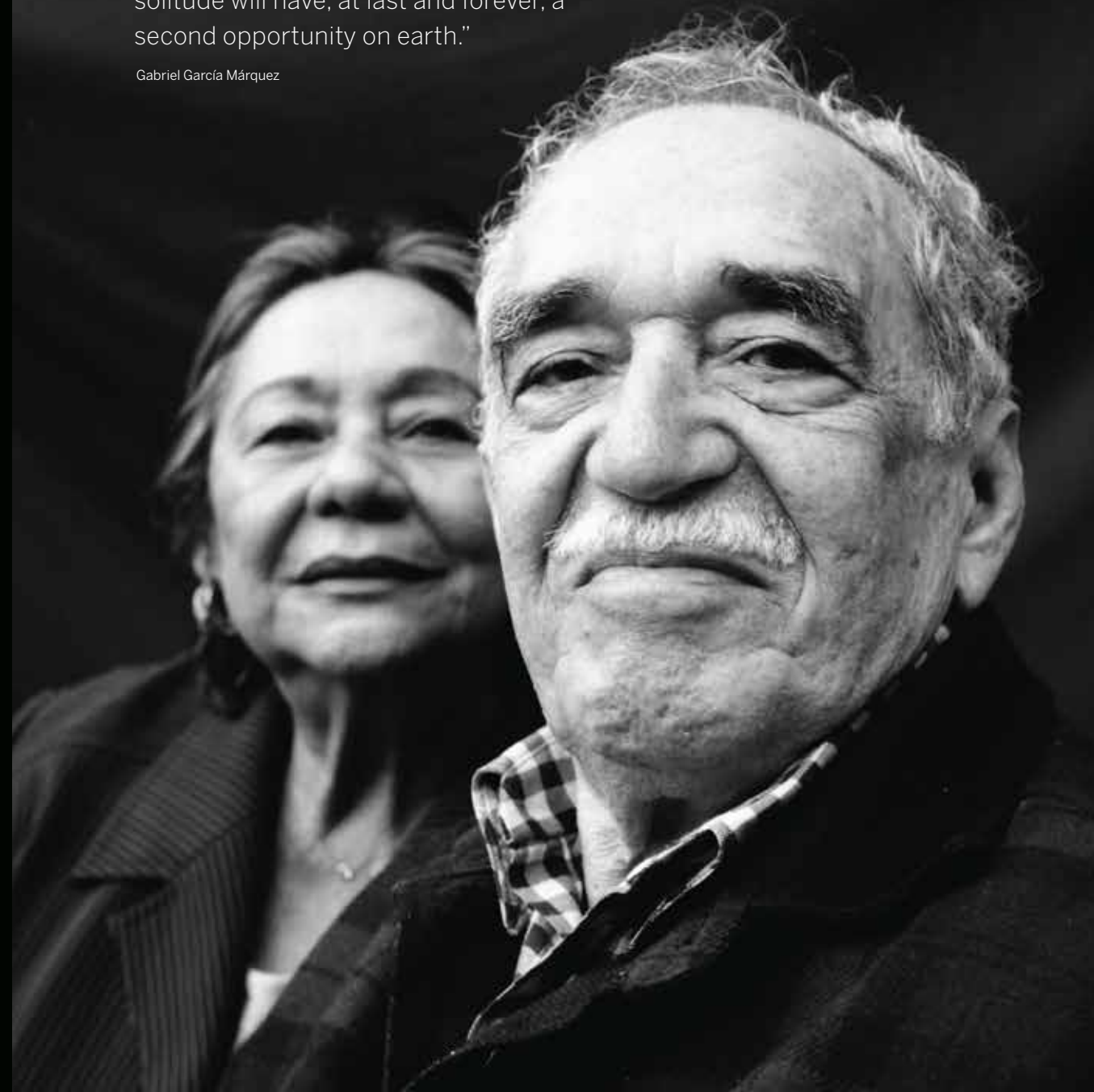
Throughout his life, García Márquez maintained close friendships with some of Latin America's most revered visual artists, among them Wifredo Lam and fellow Colombians Fernando Botero and Alejandro Obregón. Perhaps it was with the surrealist painting of Wifredo Lam that he felt the closest affinity, as both surrealism and magical realism describe the realm between dreams and reality on the borderline of the possible. Lam also collaborated with the author, illustrating a portfolio of engravings for *El último viaje del buque fantasma*, a short story published in 1976.

García Márquez's enduring influence in Latin American literary circles cannot be overstated. Three decades after the publication of *Cien años de soledad*, he was still the titan with whom every serious Latin American writer needed to reckon. Ultimately, he forged Latin America's most contagious and original style. He wrote its most influential and popular books about the motives of tyrants and the endurance of love. And he explained what connects his perennial themes: "You know, old friend, the appetite for power is the result of an incapacity for love."

"We, the inventors of tales, who will believe anything, feel entitled to believe that it is not yet too late to engage in the creation of...a new and sweeping utopia of life, where no one will be able to decide for others how they die, where love will prove true and happiness be possible, and where the races condemned to one hundred years of solitude will have, at last and forever, a second opportunity on earth."

Gabriel García Márquez

Portrait of Nobel prize-winning Colombian author and journalist Gabriel García Márquez (1927-2014) and his wife, Mercedes Barcha, Los Angeles, California, July 2007. (Photo by Steve Pyke/Getty Images)





PROPERTY FROM THE COLLECTION OF MERCEDES AND GABRIEL GARCÍA MÁRQUEZ

## FERNANDO BOTERO

b. 1932

Still Life

signed Botero and dated 63 (lower left)

oil on canvas

25 by 26¼ in. 63.5 by 66.7 cm.

Painted in 1963.

**\$ 80,000 - 120,000**





PROPERTY FROM THE COLLECTION OF MERCEDES AND GABRIEL GARCÍA MÁRQUEZ

## FERNANDO BOTERO

b. 1932

Niña

signed *Botero* and dated 8-6-59 (upper left)  
charcoal, graphite and crayon on board  
50¾ by 35¾ in. 128.9 by 90.8 cm.  
Executed on 8 June 1959.

**\$ 100,000 - 150,000**





PROPERTY FROM THE COLLECTION OF MERCEDES AND GABRIEL GARCÍA MÁRQUEZ

## ALEJANDRO OBREGÓN

1920 - 1992

Flowers

signed *Alejandro Obregón* and dated *91* (lower center)

acrylic on cement panel

79½ by 114 in. 201.9 by 289.6 cm.

Executed in 1991.

**\$ 100,000 - 150,000**





PROPERTY FROM THE COLLECTION OF MERCEDES AND GABRIEL GARCÍA MÁRQUEZ

## ALEJANDRO OBREGÓN

1920 - 1992

La novia del torero

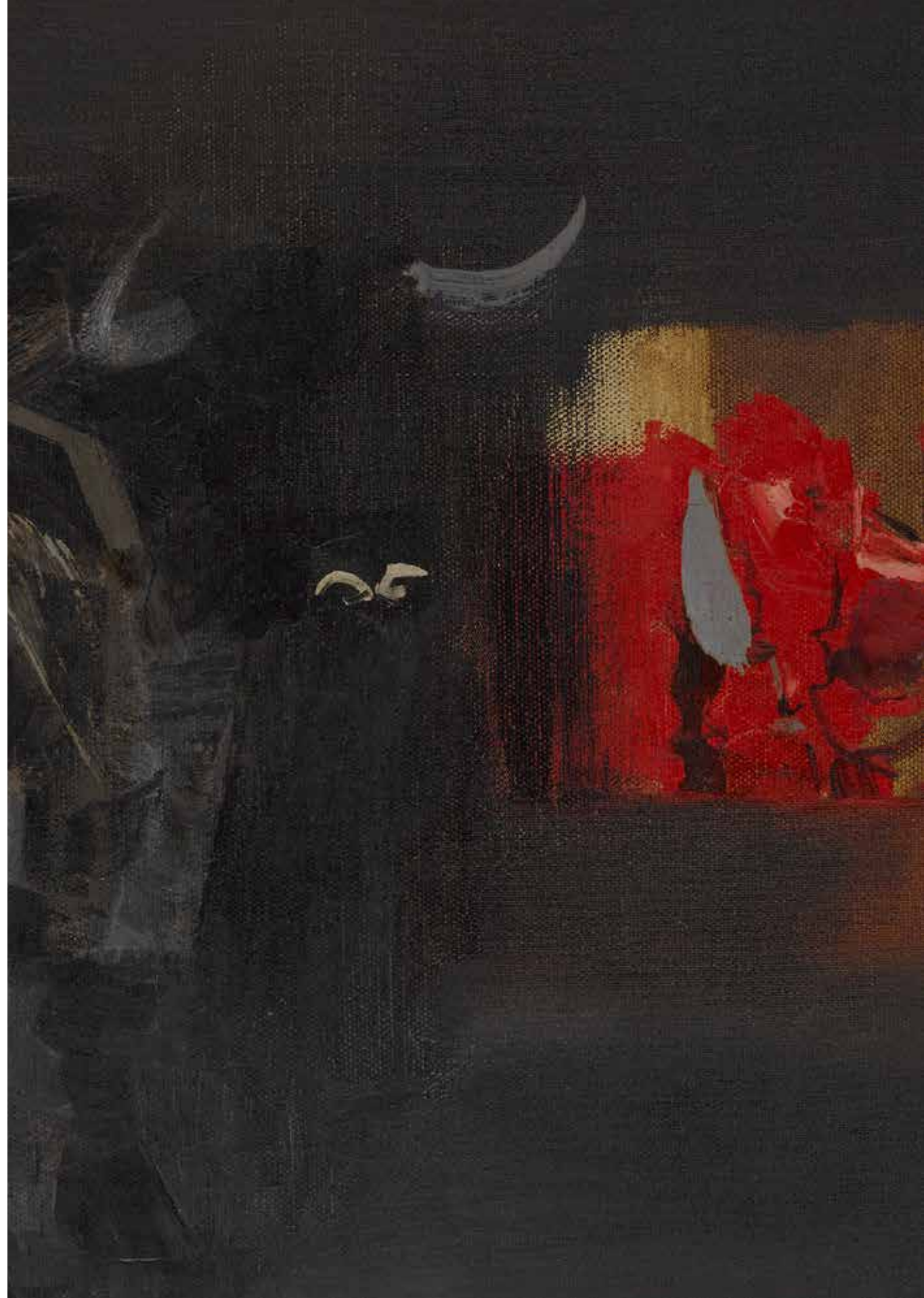
signed *Obregón* (lower right); dedicated *A Gladis* (on the reverse)

oil on canvas

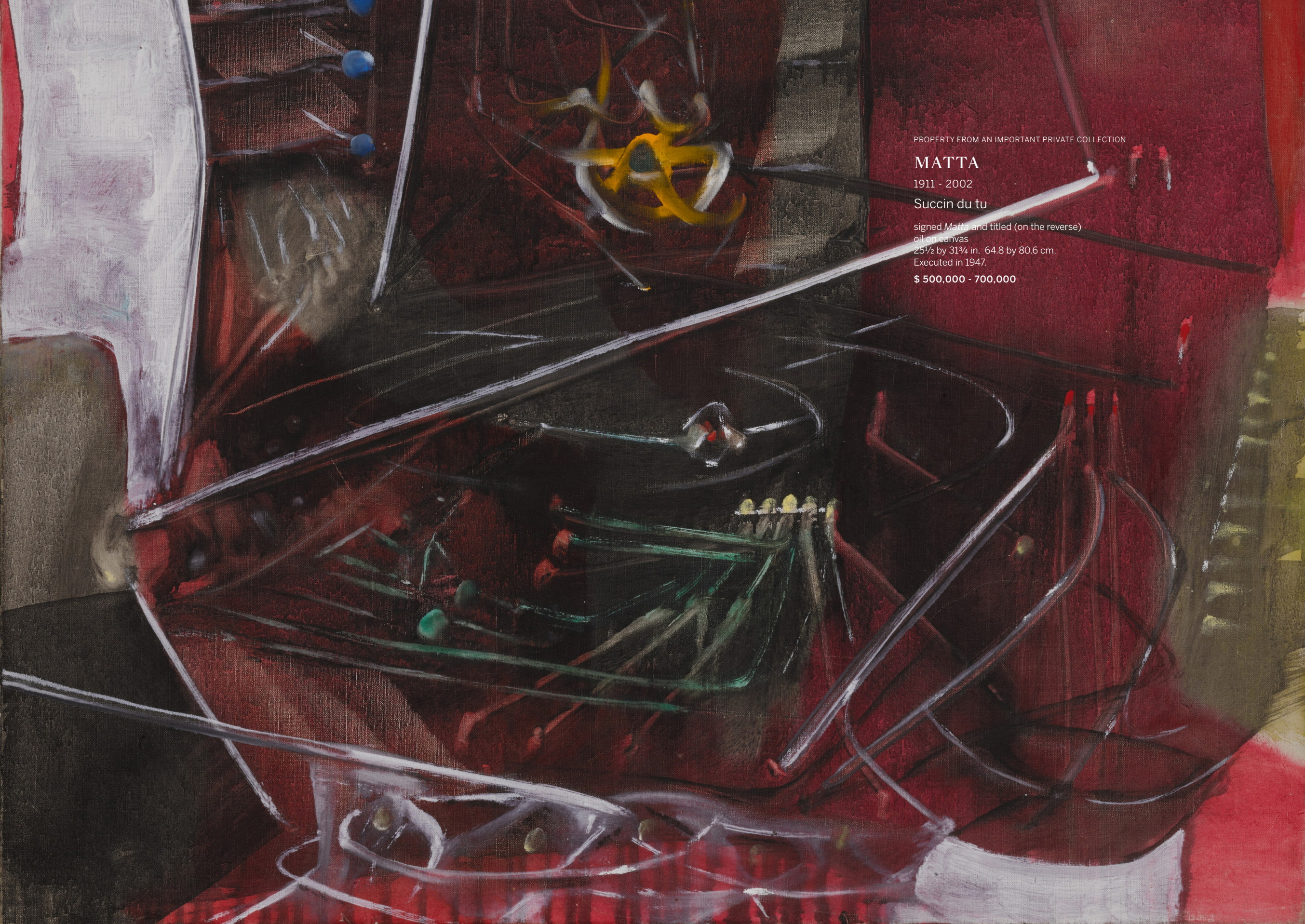
12 by 15¾ in. 30.5 by 40 cm.

Executed circa 1980.

**\$ 18,000 - 25,000**







PROPERTY FROM AN IMPORTANT PRIVATE COLLECTION

**MATTA**

1911 - 2002

**Succin du tu**

signed Matta and titled (on the reverse)

oil on canvas

25½ by 31¼ in. 64.8 by 80.6 cm.

Executed in 1947.

**\$ 500,000 - 700,000**





PROPERTY FROM A PRIVATE COLLECTION, CALIFORNIA

## ALICE RAHON

1916 - 1987

Reflections

signed *Alice* and dated 47 (lower right); signed Alice Rahon and dated Mexico 1947 (on the reverse)

oil on canvas

24 by 50 $\frac{7}{8}$  in. 61 by 129.2 cm.

Executed circa 1980.

**\$ 70,000 - 90,000**





PROPERTY OF AN IMPORTANT COLLECTOR

## LEONORA CARRINGTON

1917 - 2011

Belfry

signed *Leonora Carrington* (lower left)  
tempera on Fibracel  
14 $\frac{7}{8}$  by 30 in. 37.8 by 76.2 cm.  
Executed in 1988.

**\$ 150,000 - 200,000**



PROPERTY FROM AN IMPORTANT PRIVATE COLLECTION

**MATTA**

1911 - 2002

Untitled

signed with the artist's monogram (lower right)

oil on canvas

44½ by 57¼ in. 113 by 145.4 cm.

Executed in 1956.

**\$ 150,000 - 200,000**





PROPERTY FROM AN IMPORTANT PRIVATE COLLECTION

## ALICE RAHON

1916 - 1987

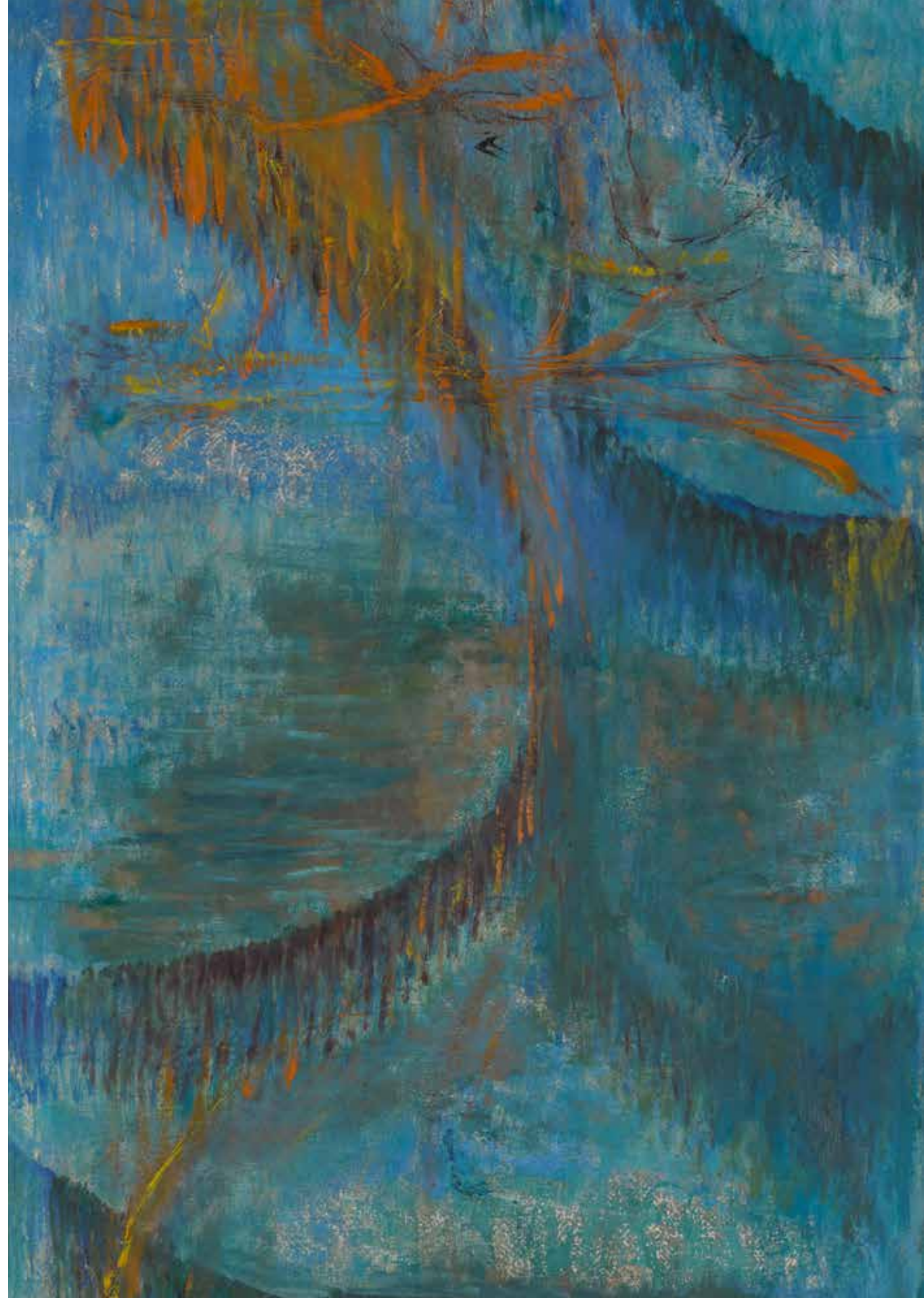
El Profeta (Campos de Caña)

signed *Alice* (lower right)

oil, sand, silver leaf and gold leaf on paper laid down on Masonite  
36¼ by 21 in. 92.1 by 53.3 cm.

Executed in 1962.

**\$ 30,000 - 40,000**





PROPERTY FROM A PRIVATE MEXICAN COLLECTION

## DR. ATL

1875 - 1964

Paisaje

signed *dr. atl* (lower right)

Atlcolor on Masonite

22¾ by 26¾ in. 58 by 68 cm.

Executed circa 1940.

\$ 80,000 - 120,000





PROPERTY FROM A PRIVATE COLLECTION, MEXICO

## DR. ATL

1875 - 1964

### Cascada & Paisaje de montañas (A Double-Sided Work)

signed *Atl* and dated 1947 (lower left); titled *Paisaje de montañas*  
(on the reverse)

oil on celotex

39¾ by 40⅞ in. 101 by 102 cm.

Executed in 1947.

**\$ 180,000 - 250,000**





PROPERTY FROM A PRIVATE COLLECTION

## JOAQUÍN TORRES-GARCÍA

1874 - 1949

Taxi

signed with the initials *J.T.G* and dated *1918* (upper right)

oil on board

15½ by 21 in. 39.4 by 53.3 cm.

Executed in 1918.

\$ 150,000 - 250,000





PROPERTY FROM A PRIVATE COLLECTION

## MIGUEL COVARRUBIAS

1904 - 1957

Impossible Interview: William Shakespeare  
& Metro Goldwyn Mayer

signed Covarrubias (lower right)  
gouache, watercolor and brush and ink on paper  
12 by 10 in. 30.5 by 25.4 cm.  
Executed in 1936.

**\$ 30,000 - 40,000**





PROPERTY OF A DISTINGUISHED COLLECTOR

## RODOLFO MORALES

1925 - 2001

Sin título

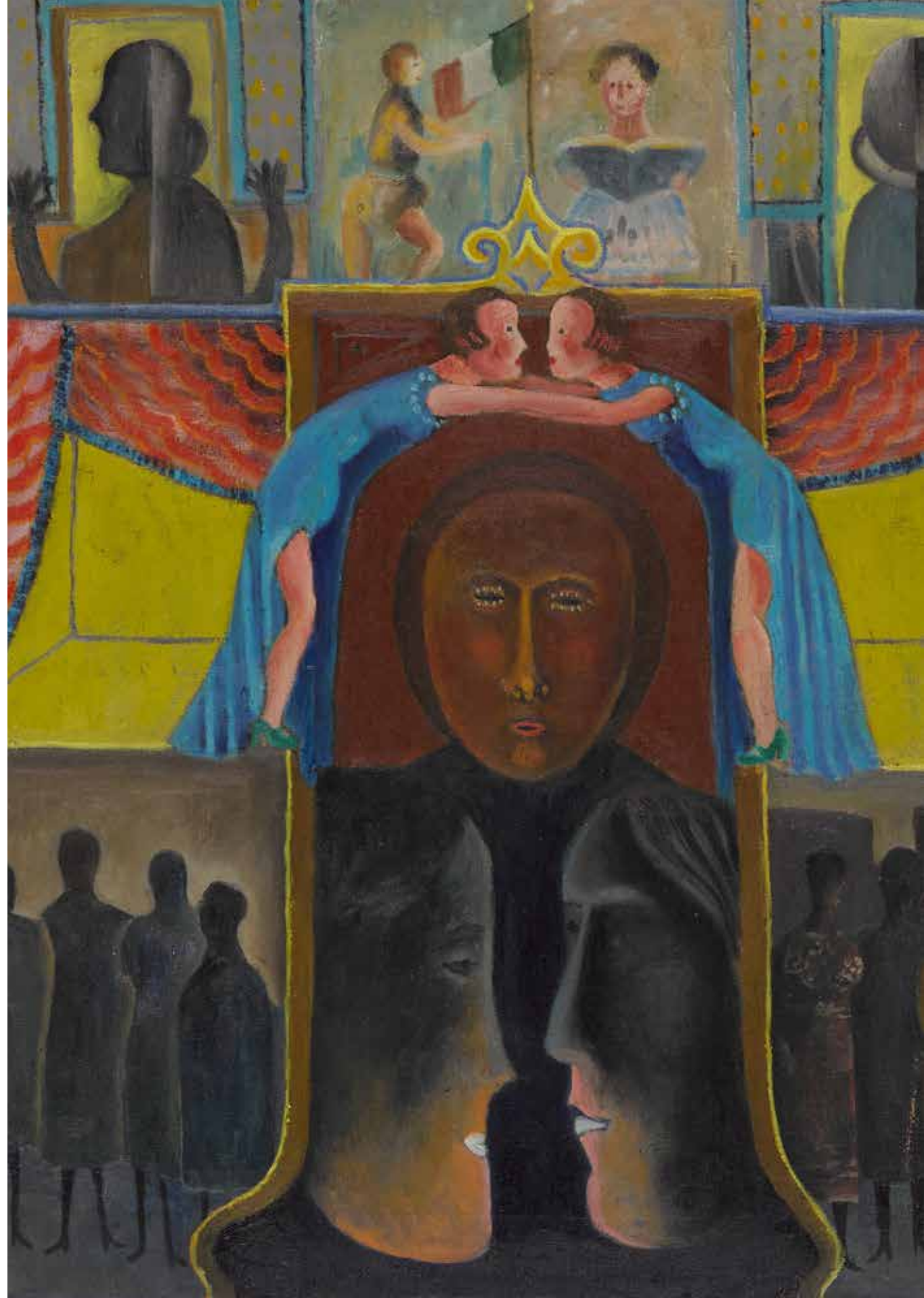
signed *Rodolfo Morales* and dated 74 (lower right)

oil on canvas

24 by 35½ in. 61 by 90.2 cm.

Executed in 1974.

\$ 50,000 - 70,000





PROPERTY FROM A PRIVATE COLLECTION

## ALICE RAHON

1916 - 1987

### Gato

signed *Alice Rahon* and dated 51 (lower right)

oil and sand on canvas laid down on panel

22 by 21¾ in. 55.9 by 55.3 cm.

Framed: 35¼ by 35¼ in. 89.5 by 89.5 cm

Executed in 1951.

**\$ 30,000 - 40,000**





THE VANGUARD SPIRIT: MODERN AND SURREALIST MASTERWORKS FROM AN IMPORTANT ESTATE

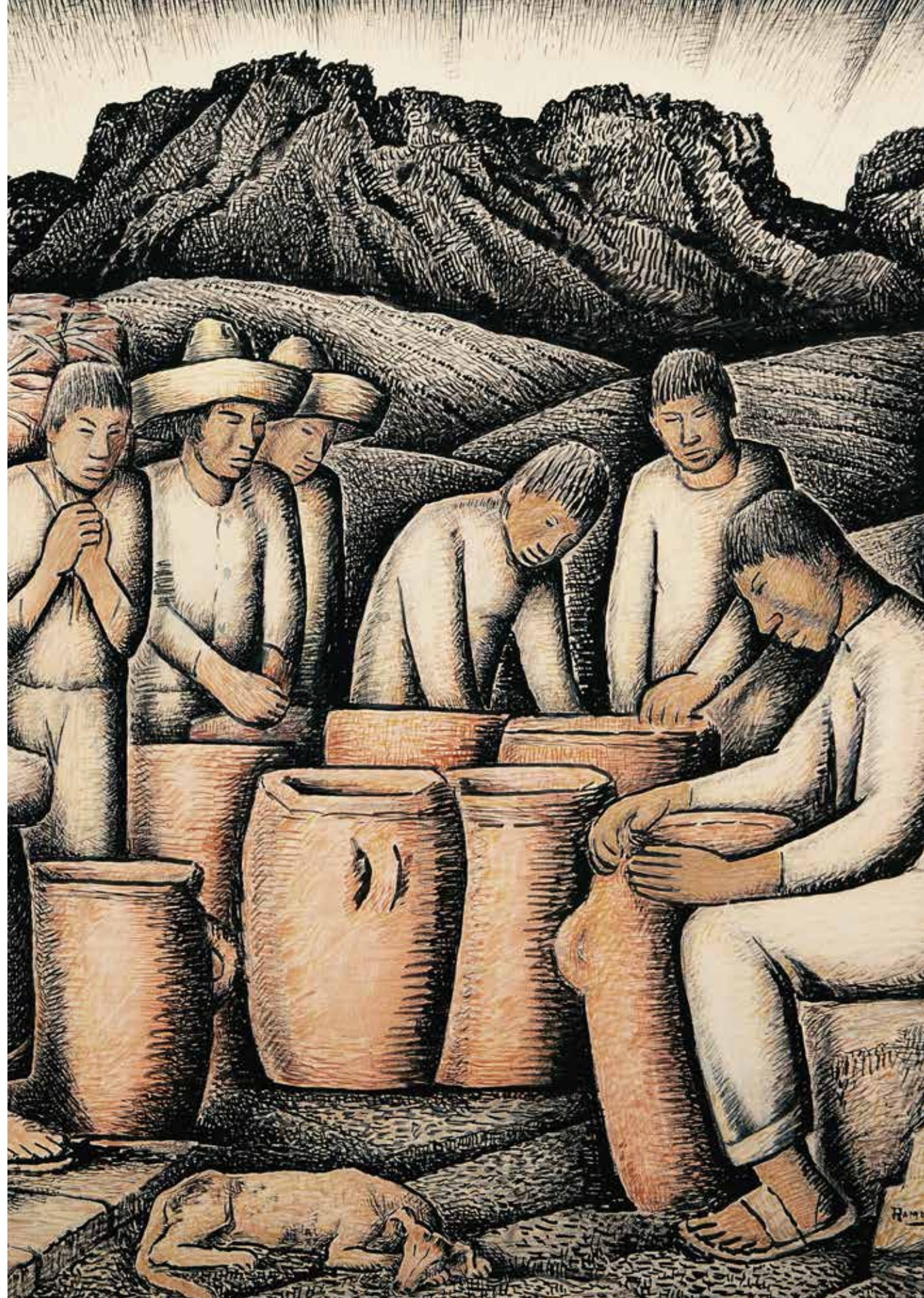
## ALFREDO RAMOS MARTÍNEZ

1872 - 1946

Alfareros

signed *Ramos Martínez* (lower right)  
gouache and conté crayon on paper  
23 by 28<sup>3</sup>/<sub>8</sub> in. 58.4 by 72.1 cm.  
Executed circa 1935.

\$ 30,000 - 40,000





PROPERTY OF A PRIVATE COLLECTOR, MEXICO

## DAVID ALFARO SIQUEIROS

1896 - 1974

Sed de yegua

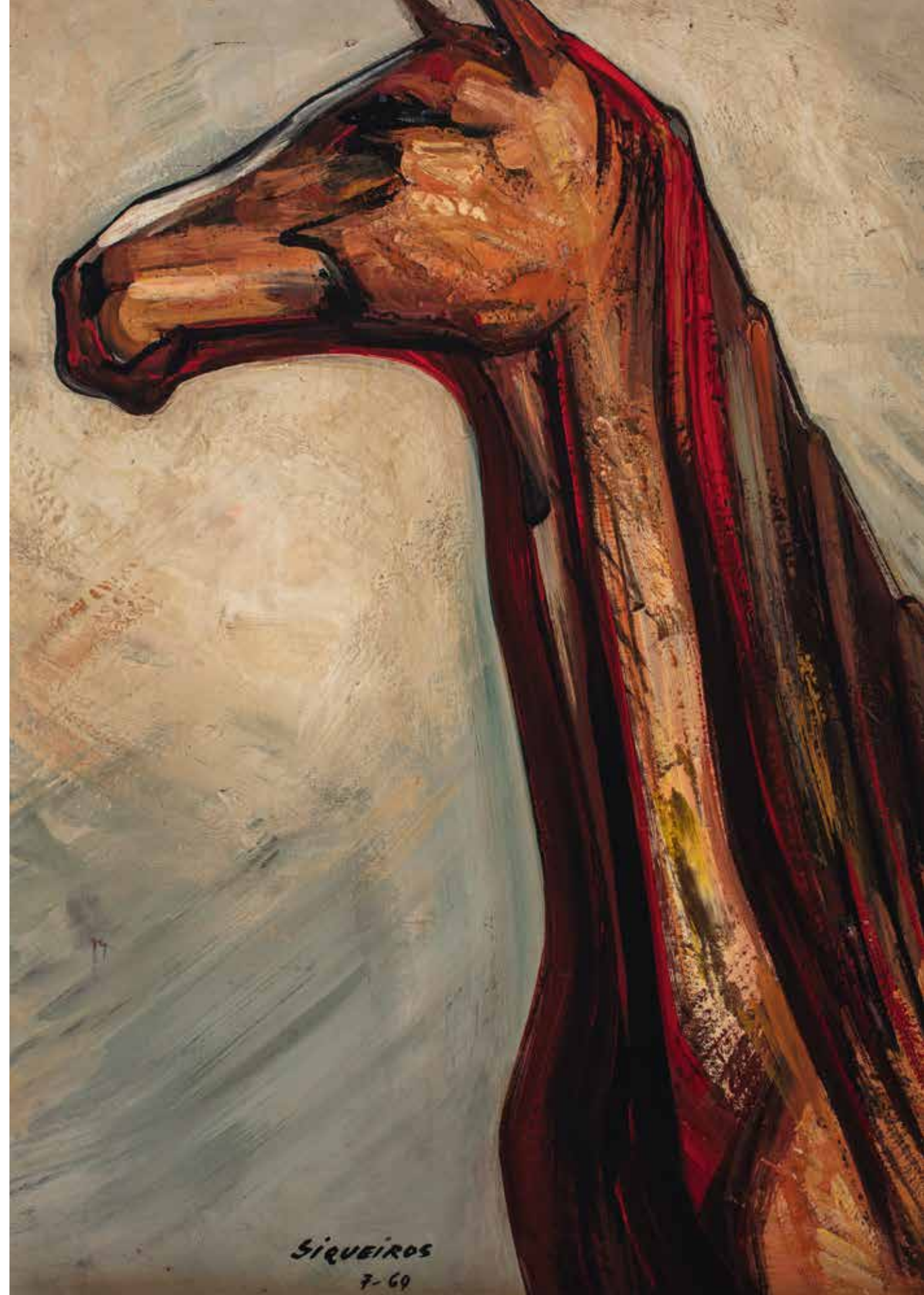
signed *Siqueiros* and dated 7-60 (lower center); titled (on the reverse)

pyroxylin and acrylic on Masonite

33½ by 27¾ in. 85 by 70.5 cm.

Executed in July 1960.

**\$ 100,000 - 150,000**





PROPERTY FROM A PRIVATE COLLECTION

## FRANCISCO ZÚÑIGA

1912 - 1998

Juchiteca de pie

inscribed with artist's signature and dated 1985

black marble

height: 43¼ in. 110 cm.

Executed in 1985.

**\$ 40,000 - 60,000**





PROPERTY OF AN IMPORTANT COLLECTOR

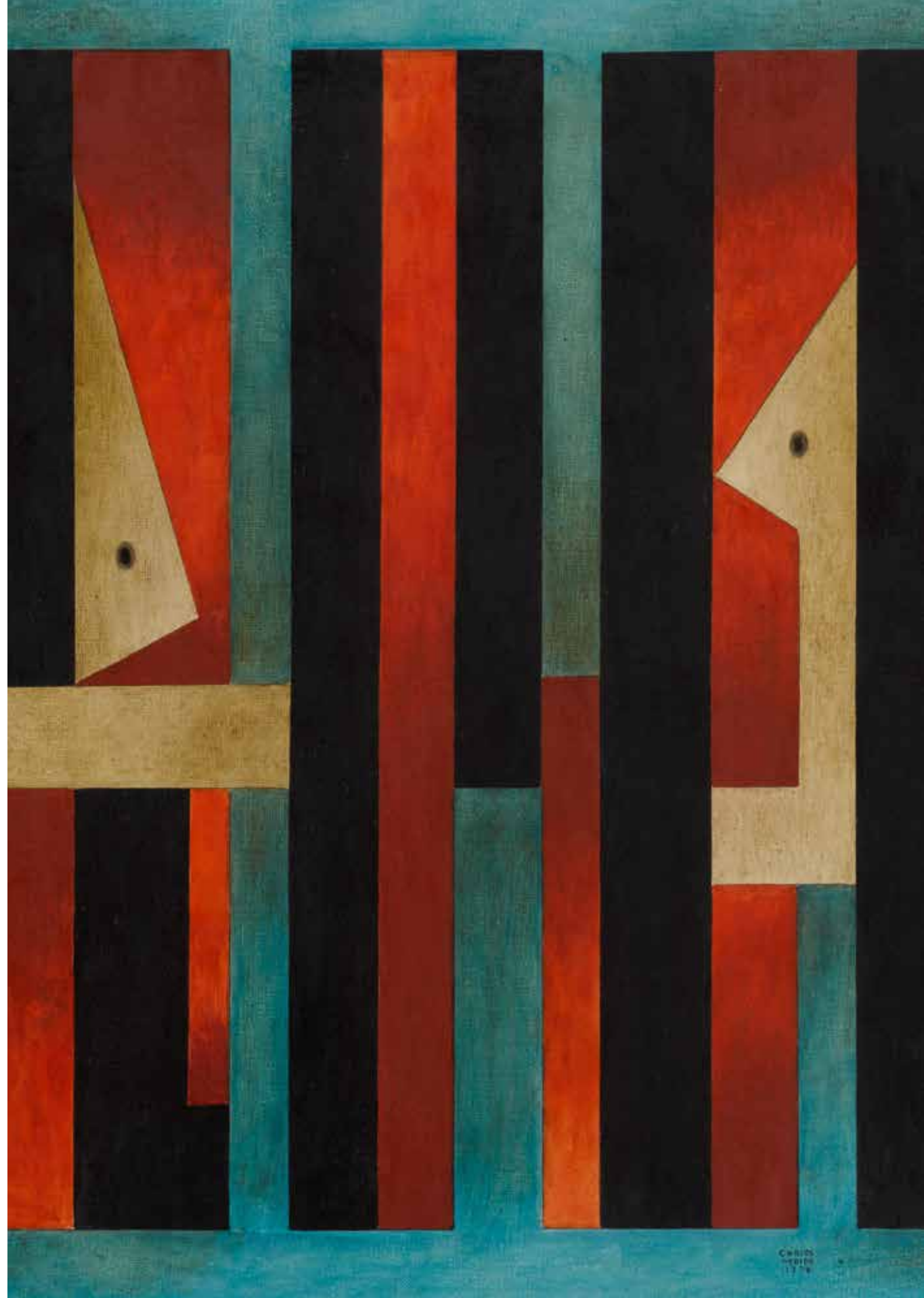
## CARLOS MÉRIDA

1891 - 1984

### Sortilegio

signed *Carlos Mérida* and dated 1978 (lower right);  
signed *Carlos Mérida*, titled and dated 1978 (on the reverse)  
oil on canvas  
31½ by 31½ in. 80 by 80 cm.  
Executed in 1978.

**\$ 60,000 - 80,000**





GLOBAL ABSTRACTIONS: PROPERTY FROM A DISTINGUISHED PRIVATE COLLECTOR

## CARLOS MÉRIDA

1891 - 1984

Coloquio en azul

signed *Carlos Mérida* and dated 1978 (lower right)

oil on canvas

25⅝ by 23¾ in. 60 by 60.3 cm.

Executed in 1978.

**\$ 30,000 - 40,000**





GLOBAL ABSTRACTIONS: PROPERTY FROM A DISTINGUISHED PRIVATE COLLECTOR

## CARLOS MÉRIDA

1891 - 1984

Las manos de Tepeu

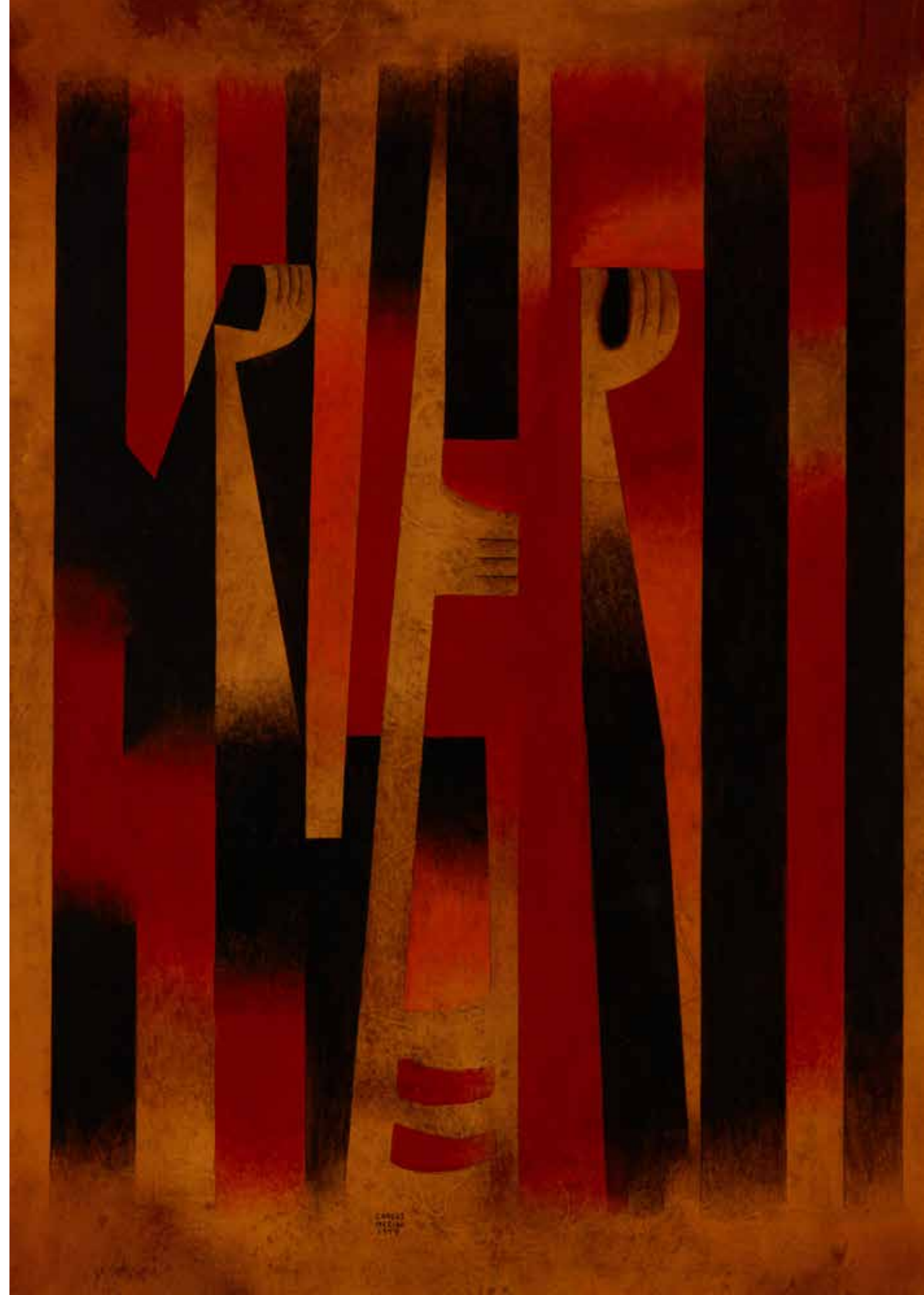
signed *Carlos Mérida* and dated 1979 (lower center)

oil and lacquer on panel

30¾ by 22⅞ in. 78.1 by 58.1 cm.

Executed in 1979.

**\$ 30,000 - 40,000**





PROPERTY FROM A PRIVATE COLLECTION

## LUIS TOMASELLO

1915 - 2014

Reflexion no. 15

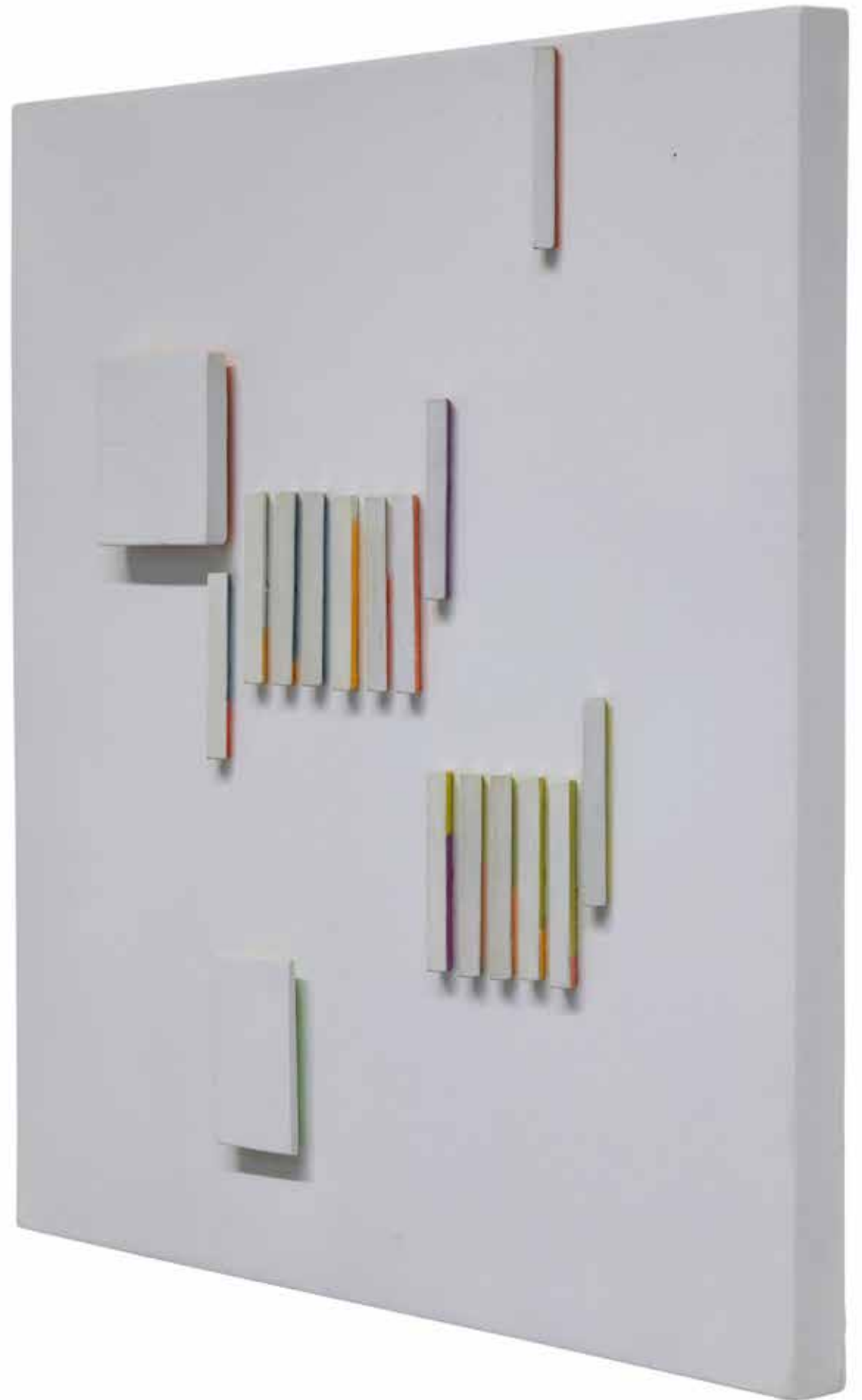
signed *L. Tomasello*, titled and dated *1958* (on the reverse)

painted wood construction

19¼ by 19¼ in. 49 by 49 cm.

Executed in 1958.

**\$ 40,000 - 60,000**





## LOLÓ SOLDEVILLA

1901 - 1971

Sin título

signed *Loló* (on the reverse)  
painted wood construction  
33⅞ by 52 in. 81.6 by 132.1 cm.  
Executed in 1958.

\$ 30,000 - 40,000





GLOBAL ABSTRACTIONS: PROPERTY FROM A DISTINGUISHED PRIVATE COLLECTOR

## PEDRO CORONEL

1923 - 1985

Año uno luna

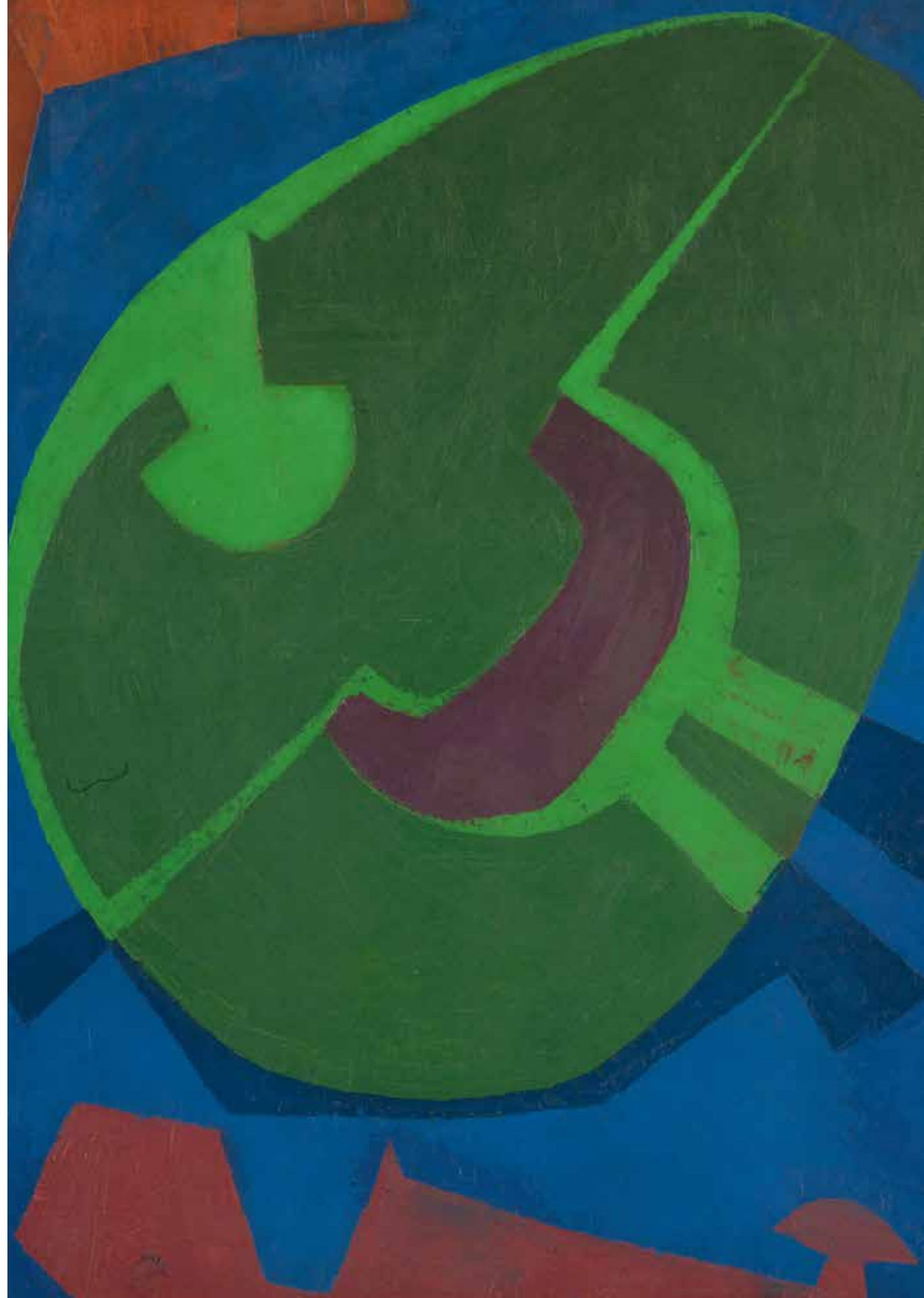
signed *Pedro Coronel* and inscribed *Roma* (on the reverse)

oil on canvas

45⅞ by 35 in. 116.5 by 88.9 cm.

Executed in 1969.

**\$ 80,000 - 100,000**





## CUNDO BERMÚDEZ

1914 - 2008

Geyser

stamped with the artist's signature, number 1/9 and title (on the base)

bronze

height: 70 in. 177.8 cm.

\$ 70,000 - 90,000





## CARLOS ENRÍQUEZ

1900 - 1957

### Bañista

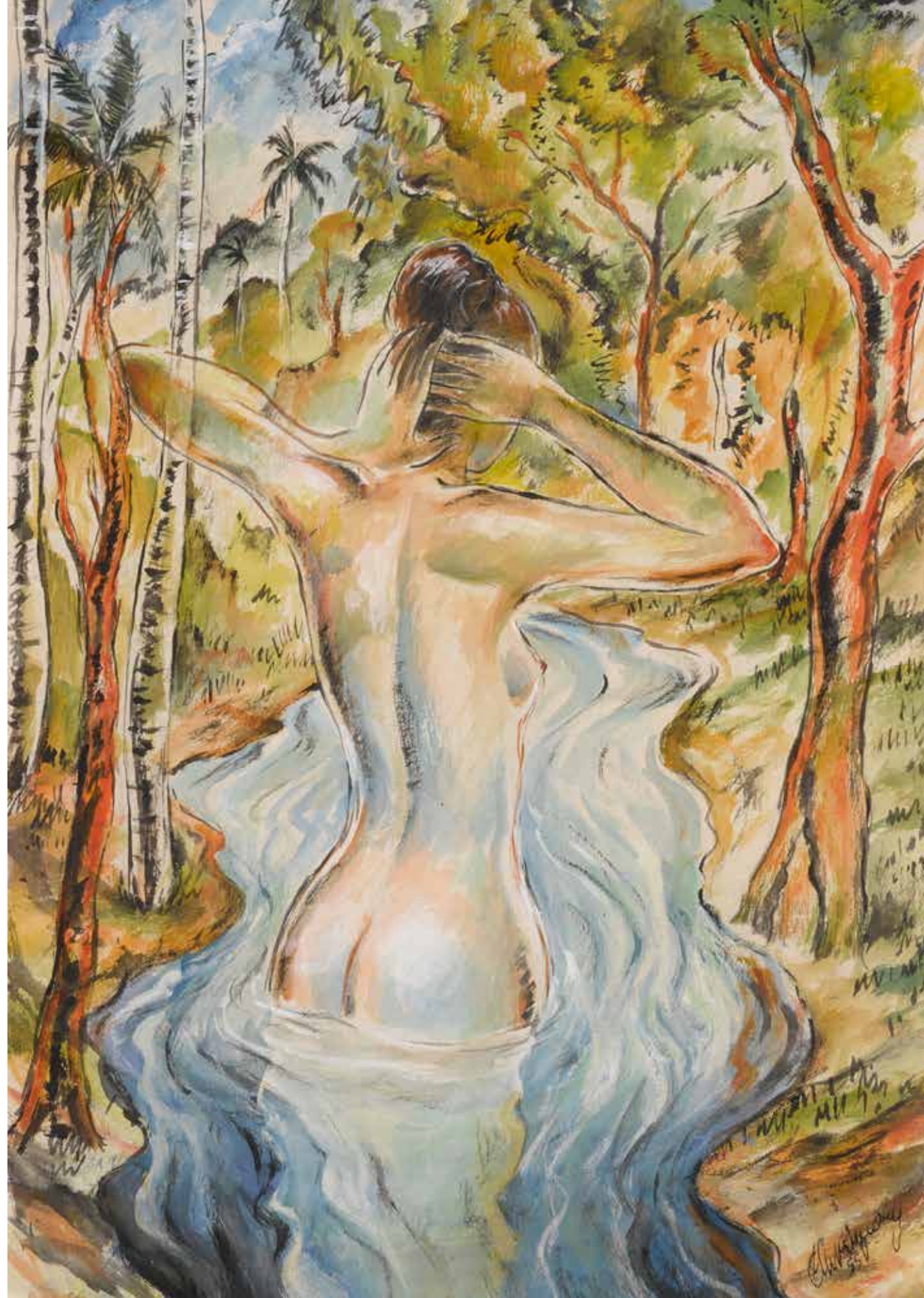
signed *CEnríquez* and dated 55 (lower right)

gouache and brush and ink on paper

17¾ by 12 in. 45.1 by 30.5 cm.

Executed in 1955.

**\$ 20,000 - 30,000**





## CARLOS ENRÍQUEZ

1900 - 1957

Caballos

signed *CEnríquez* and dated 55 (lower right)

gouache and brush and ink on paper

18 by 12 in. 45.7 by 30.5 cm.

Executed in 1955.

**\$ 20,000 - 30,000**





PROPERTY FROM A PRIVATE COLLECTION, MIAMI

## OSWALDO GUAYASAMÍN

1920 - 1999

Sin título

signed *Guayasamín* (lower right)  
oil on canvas  
39¼ by 39¼ in. 100 by 100 cm.  
Executed circa 1970.

**\$ 80,000 - 120,000**





## AGUSTÍN CÁRDENAS

1927 - 2001

Fleur

inscribed with artist's monogram, dated 1989 and numbered 3/7

bronze

height: 33 in. 83.8 cm.

Executed in 1989, this example is number 3 from an edition of 7.

**\$ 50,000 - 70,000**

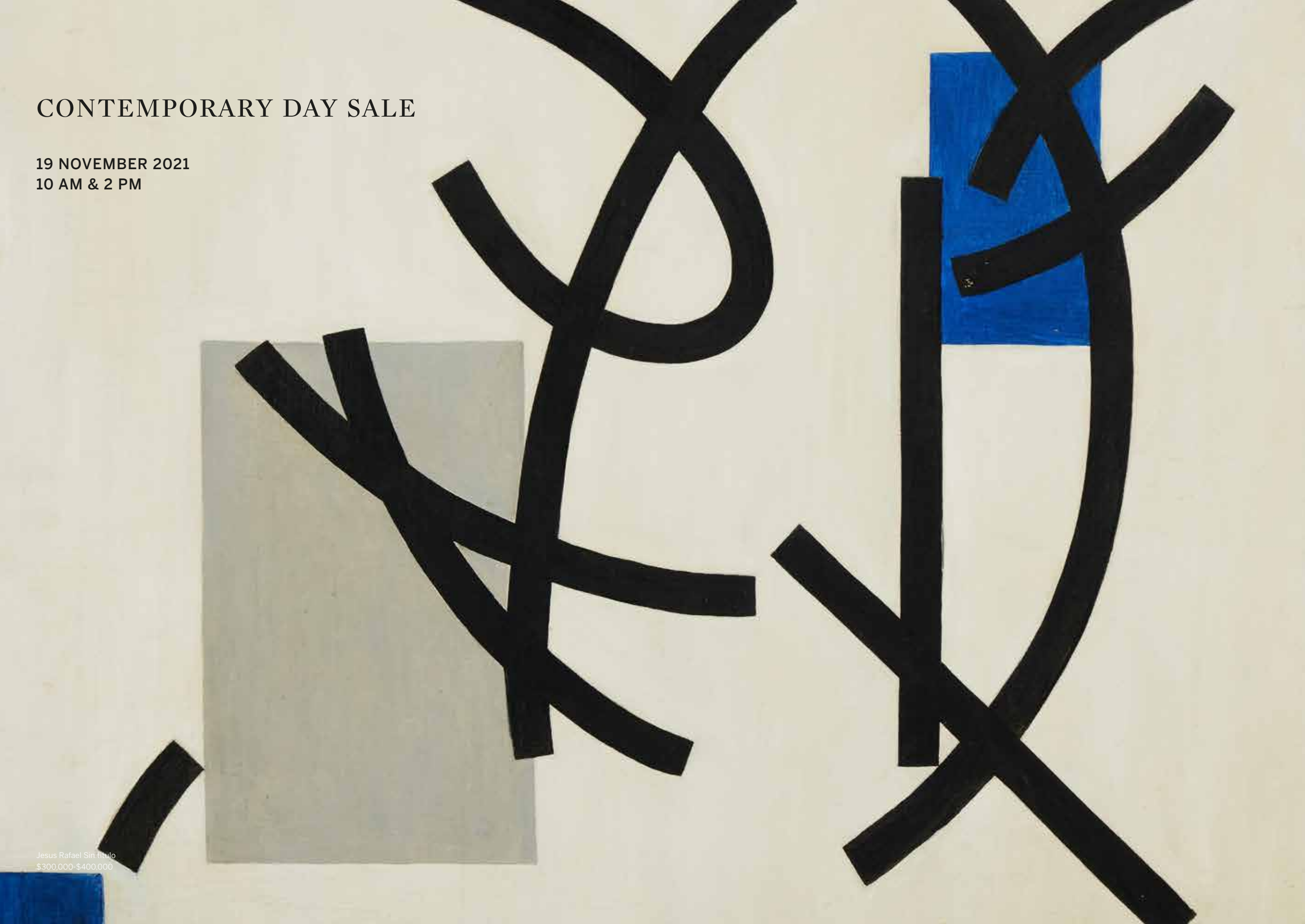




# CONTEMPORARY DAY SALE

19 NOVEMBER 2021  
10 AM & 2 PM

Jesus Rafael Sin título  
\$300,000-\$400,000





## JESÚS RAFAEL SOTO

1923 - 2005

Sin título

signed *Soto* and dated *1950-51* (on the reverse)

oil on canvas

25¾ by 31¾ in. 64.1 by 80.6 cm.

Executed between 1950-51.

**\$ 300,000 - 400,000**





PROPERTY FROM A NEW YORK CHARITABLE FAMILY TRUST

## LYGIA CLARK

1920 - 1988

Bicho-Monumento a todas as situações-Md  
(Version III)

stamped with the numbers 1-8 (at the base of each panel)

aluminum

width: 22½ in. 57.2 cm. (dimensions variable)

Executed in 1960.

This work is accompanied by a certificate of authenticity from  
O Mundo de Lygia Clark.

**\$ 400,000 - 600,000**





## ANTONIO MANUEL

1947 -

### Corpobra (Bodywork)

wood, straw, gelatin silver print, acrylic and wire  
height: 78 3/4 in. 200 cm.

Executed in 1970, this work is number 2 from an edition of 3  
plus 2 artist's proofs.

**\$ 200,000 - 300,000**





## SERGIO CAMARGO

1930 - 1990

Relief No. 172 (Fenditura spazio orizzontale  
lungo)

painted wood construction  
36¾ by 26 by 3 in. 93.3 by 66 by 7.6 cm.  
Executed in 1967.

**\$ 500,000 - 700,000**





## JESÚS RAFAEL SOTO

1923 - 2005

### Noir Vertical No. 2

signed *Soto*, titled and dated *1968* (on the reverse)

painted wood, wire and nylon

61¾ by 22 in. 156.8 by 44.5 cm.

Executed in 1968.

**\$ 200,000 - 300,000**





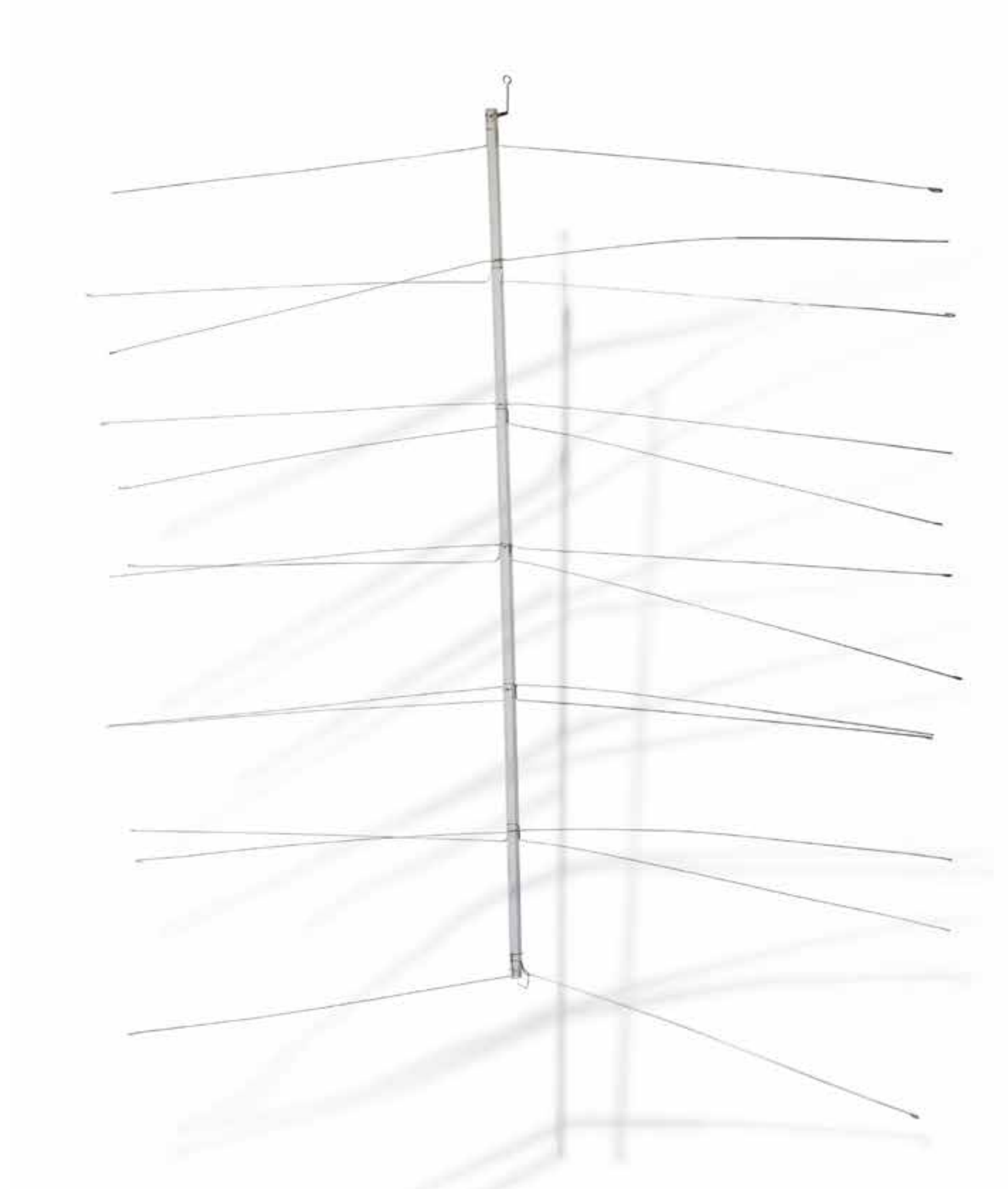
## GEGO

1912 - 1994

Dibujo sin papel 76/7

stainless steel and Plexiglas  
23<sup>5</sup>/<sub>8</sub> by 27<sup>1</sup>/<sub>2</sub> in. 60 by 70 cm.  
Executed in 1976.

**\$ 150,000 - 200,000**





## CARLOS CRUZ-DIEZ

1923 - 2019

Physichromie No. 194

casein, cardboard and plastic elements on wood  
23¾ by 40¾ in. 60.3 by 103.6 cm.  
Executed in August 1965.

**\$ 280,000 - 350,000**





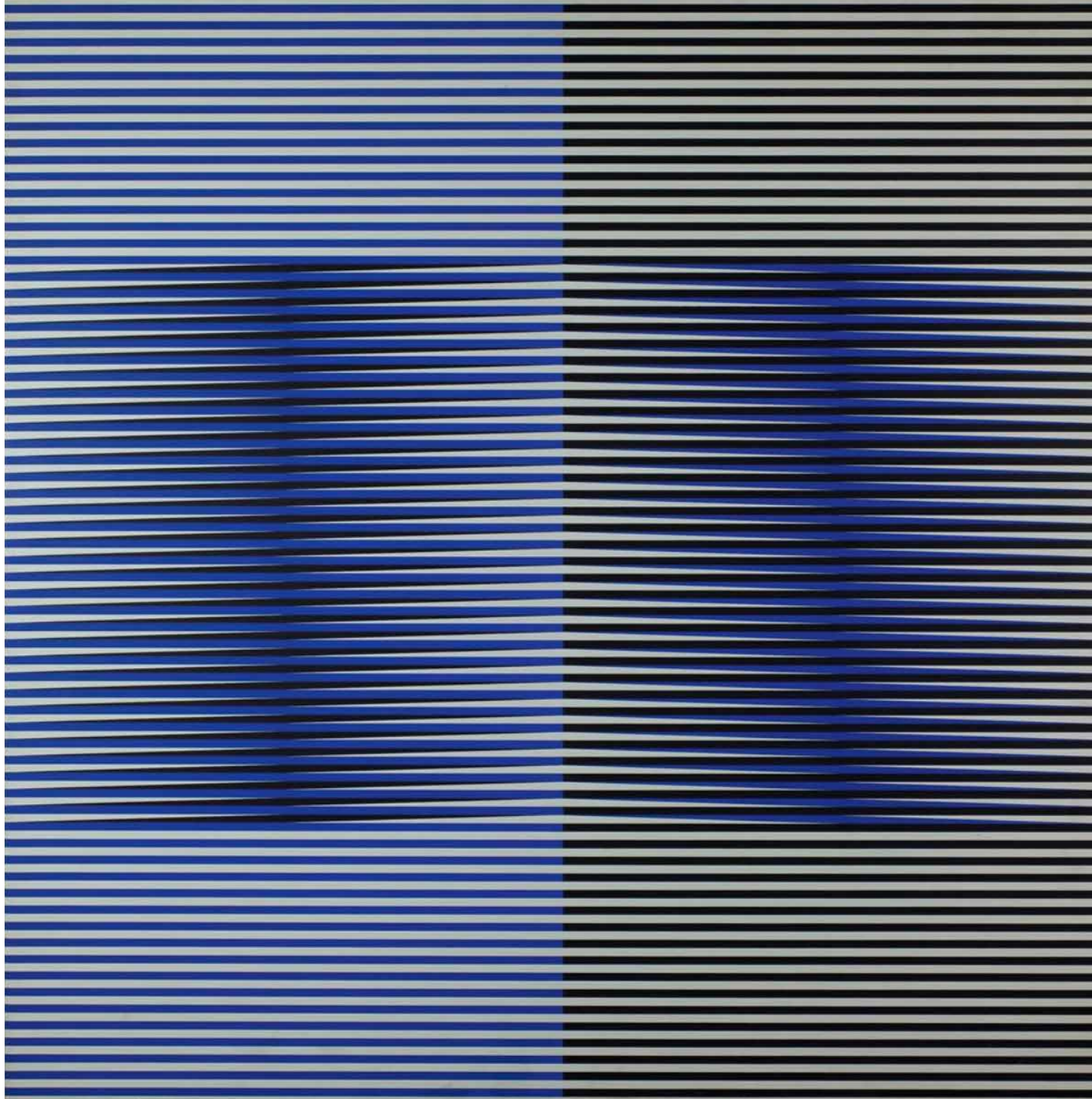
## CARLOS CRUZ-DIEZ

1923 - 2019

Inducción cromática, Serie A No. 2/4

acrylic on panel  
39<sup>3</sup>/<sub>8</sub> by 39<sup>3</sup>/<sub>8</sub> in. 100 by 100 cm.  
Executed in 1979.

**\$ 150,000 - 250,000**





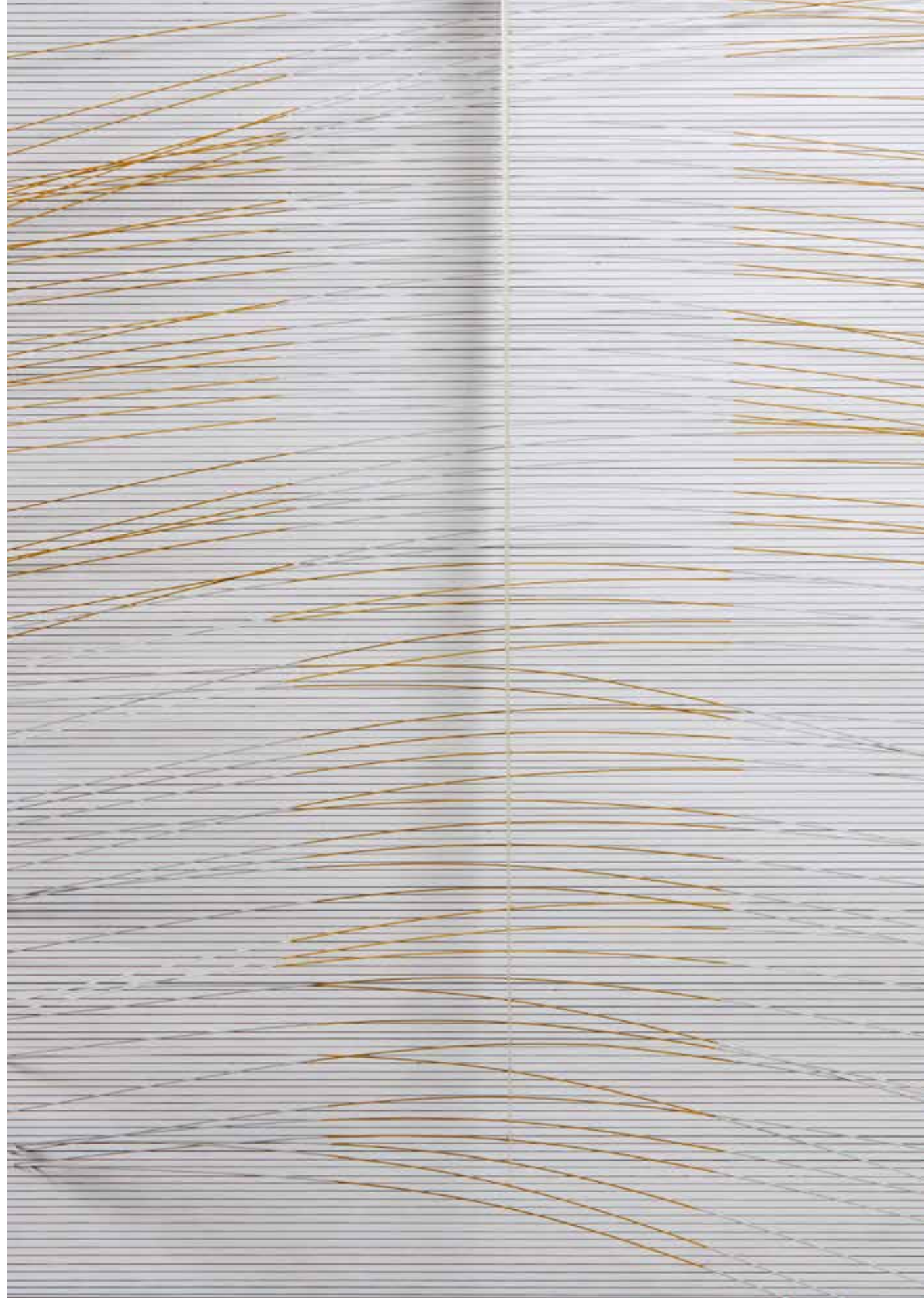
## JESÚS RAFAEL SOTO

1923 - 2005

Vibración amarilla y blanca

signed *Soto*, titled and dated *1986* (on the reverse)  
painted wood panel with nylon and painted aluminum  
40⅞ by 40½ by 35½ in. 102 by 103 by 90 cm.  
Executed in 1986.

**\$ 200,000 - 300,000**





## OLGA DE AMARAL

b. 1932

### Cesta Lunar 16

signed *Olga Amaral*, titled and dated *1988* (on a label affixed to the reverse)

gesso, acrylic and gold leaf on linen

65 by 30¾ in. 165.1 by 78.1 cm.

Executed in 1988.

**\$ 150,000 - 200,000**





## MATHIAS GOERITZ

1915 - 1990

### Ensayo no. 1

signed *Mathias Goeritz*, titled and dedicated *Para el arquitecto Pedro Ramirez Vaquez con mi admiracion y agradecimiento* (on the reverse)

sisal rope on wood

29¾ by 41 in. 75.6 by 104.1 cm.

Executed circa 1964.

**\$ 90,000 - 120,000**





PROPERTY FROM A PRIVATE COLLECTION, TEXAS

## MATHIAS GOERITZ

1915 - 1990

### Mensaje

perforated sheet metal  
27½ by 27½ in. 70.5 by 70.5 cm.  
Executed circa 1960.

**\$ 120,000 - 180,000**







## OLGA DE AMARAL

b. 1932

Una maraña gris

signed *Olga de Amaral*, titled and dated 1973 (on a label affixed to the reverse)

wool and horsehair

47¼ by 118 in. 120 by 300 cm.

Executed in 1973.

\$ 60,000 - 80,000



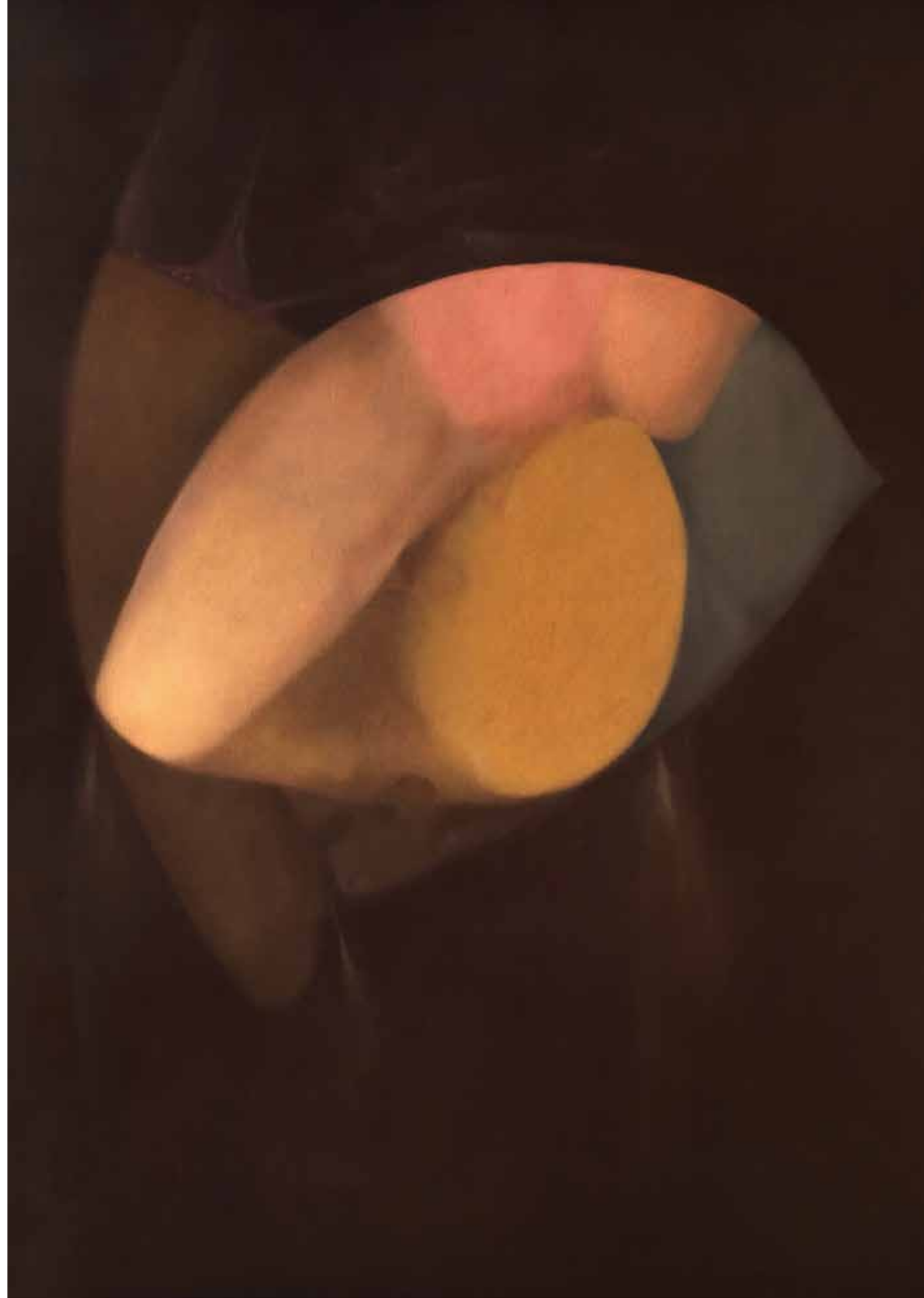
## RAFAEL SORIANO

1920 - 2015

Fuente Cálida

signed *Soriano* (lower right)  
oil on canvas  
60 by 50 in. 152.4 by 127 cm.  
Executed in 1984.

**\$ 50,000 - 70,000**





## AGUSTÍN CÁRDENAS

1927 - 2001

La famille

Carrara marble  
height: 41 in. 104.1 cm.  
Executed in 1990.

**\$ 100,000 - 150,000**





# TOMÁS SÁNCHEZ

b. 1948

Encuentro con el meditador de la laguna

signed *Tomás Sánchez* and dated 92 (lower right);

signed *Tomás Sánchez*, titled and dated 1992 (on the reverse)

acrylic on canvas

36 by 48 in. 91.4 by 121.9 cm.

Executed in 1992.

\$ 280,000 - 350,000





## FERNANDO BOTERO

b. 1932

El Gran conquistador

signed *Botero* and dated *81* (lower right)

oil on canvas

78 by 49½ in. 198.2 by 125.7 cm.

Executed in 1981.

**\$ 800,000 - 1,200,000**





## FERNANDO BOTERO

b. 1932

### Rape of Europa (Abduction of Europa)

incised with the artist's signature and number *EA 2/2*; stamped with the foundry mark *Fonderia Mariani* (on the base)  
bronze

124 by 83 by 72 in. 315 by 210.8 by 183 cm.

Executed in 1992, this work is the second artist's proof from an edition of 3 plus 2 artist's proofs.

**\$ 1,500,000 - 2,000,000**





## PABLO ATCHUGARRY

b. 1954

Sin título

Carrara marble  
height: 82½ in. 209.6 cm.  
Executed in 2020.

**\$ 180,000 - 240,000**





PROPERTY FROM AN IMPORTANT ESTATE

## FERNANDO BOTERO

b. 1932

Donna seduta

inscribed with artist's signature and numbered 2/6 (on the base)

bronze

height: 36 in. 91.4 cm.

Executed in 2003, this example number 2 from an edition of 6

plus 2 artist's proofs.

**\$ 350,000 - 450,000**





PROPERTY FROM AN IMPORTANT ESTATE

## FERNANDO BOTERO

b. 1932

Niña con flor

signed *Botero* and dated 99 (lower right)

oil on canvas

79½ by 69¼ in. 201.9 by 175.9 cm.

Painted in 1999.

**\$ 600,000 - 800,000**





## TOMÁS SÁNCHEZ

b. 1948

Contemplador del contemplador

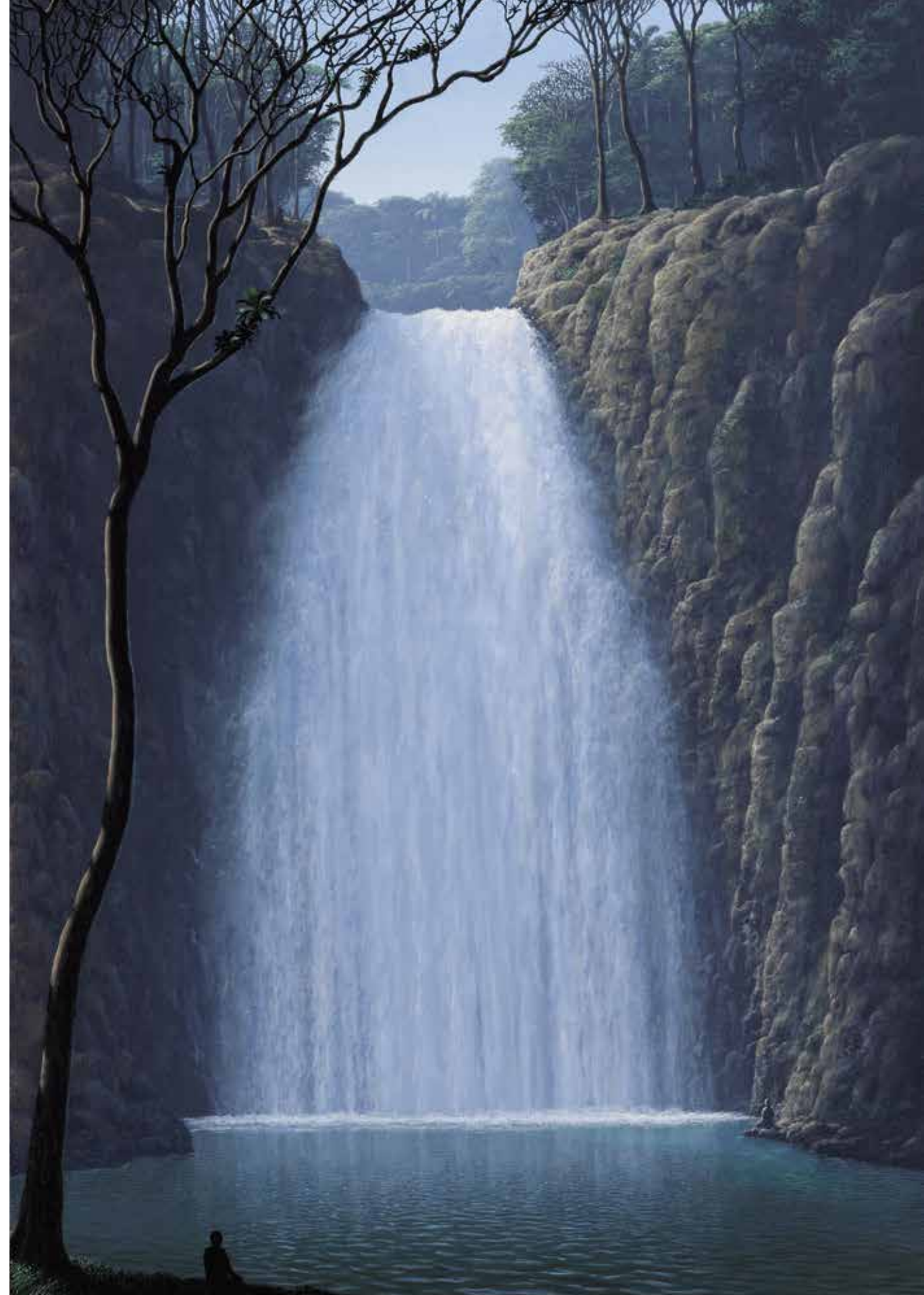
signed *Tomás Sánchez* and dated 96 (lower right); signed *Tomás Sánchez*, titled and dated 1996 (on the reverse)

acrylic on canvas

36 $\frac{1}{8}$  by 24 in. 91.8 by 61 cm.

Executed in 1996.

**\$ 150,000 - 200,000**





PROPERTY FROM AN IMPORTANT ESTATE

## FERNANDO BOTERO

b. 1932

Bailarina

inscribed with artist's signature and numbered 4/6; stamped with foundry mark Da Prato (on the base)

bronze

height: 24¼ in. 61.6 cm.

Executed in 2001, this example is number 4 from an edition of 6 plus 2 artist's proofs.

\$ 250,000 - 350,000





PROPERTY FROM AN IMPORTANT ESTATE

## FERNANDO BOTERO

b. 1932

### Standing Woman

inscribed with artist's signature, numbered 5/6 and stamped with foundry mark

bronze

32 by 15 by 10 in. 81.3 by 38.1 by 25.4 cm.

Executed circa 1990, this work is number 5 from an edition of 6 plus 2 artist's proofs.

**\$ 300,000 - 400,000**





PROPERTY FROM THE WILLIAM LOUIS-DREYFUS FOUNDATION

## ANTONIO SEGUÍ

b. 1934

El cielo les queda chico

acrylic on canvas  
78¾ by 78¾ in. 200 by 200 cm.  
Executed in 2001.

\$ 60,000 - 80,000





An abstract painting by Gunther Gerzso, titled 'Azul-amarillo-verde'. The composition is dominated by large, flat areas of color in shades of pink, blue, green, and yellow. The colors are arranged in a way that suggests a sense of depth and movement, with some areas appearing to overlap others. The overall effect is one of a vibrant, non-representational space.

GLOBAL ABSTRACTIONS: PROPERTY FROM A DISTINGUISHED PRIVATE COLLECTOR

## GUNTHER GERZSO

1915 - 2000

Azul-amarillo-verde

signed Gerzso and dated 71 (lower right); signed Gerzso, titled and dated 71 (on the reverse)

oil on Masonite

13 by 18 in. 33 by 45.7 cm.

Executed in 1971.

**\$ 60,000 - 80,000**



PROPERTY FROM AN IMPORTANT ESTATE

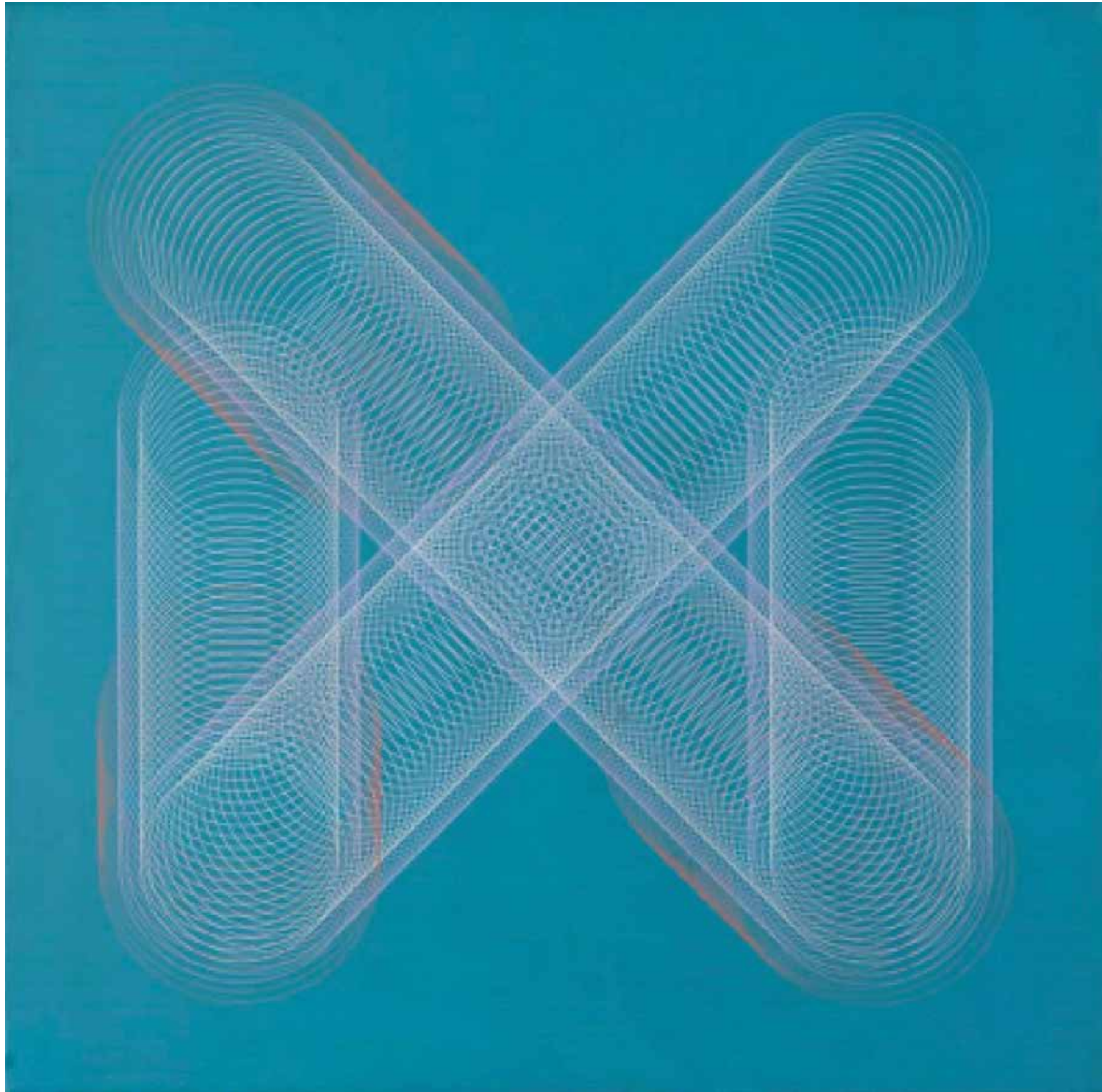
## EDUARDO MACENTYRE

1929 - 2014

Pintura Generativa, Tema para cibernética 1

acrylic on canvas  
39 by 39 in. 99.1 by 99.1 cm.  
Executed in 1969.

**\$ 25,000 - 35,000**





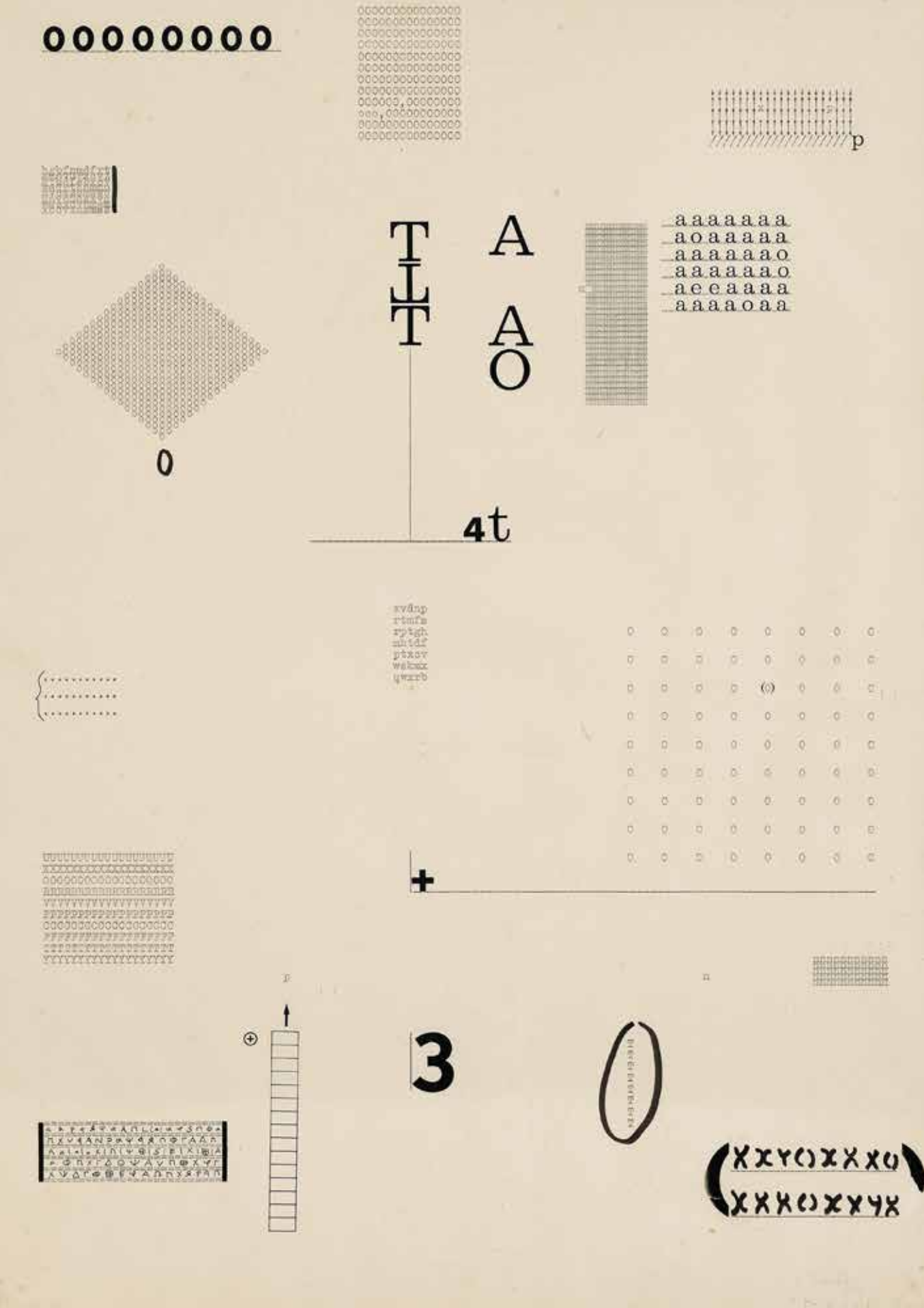
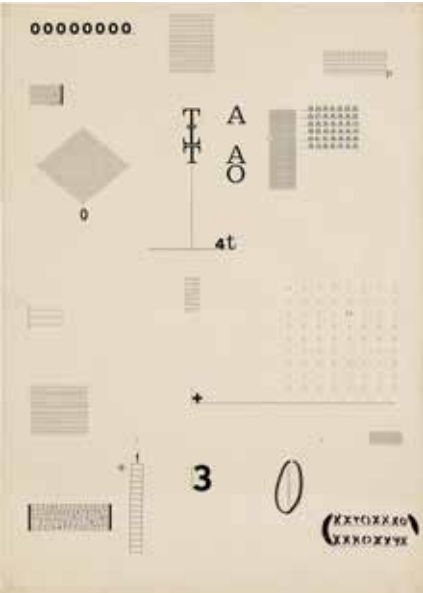
MIRA SCHENDEL

1919 - 1988

Untitled, from the series ‘Datiloscritos’

signed *Mira* and dated 74, 3; also inscribed 1/a on the reverse  
typewriting, transfer and ink on paper  
20 by 14¼ in. 36.3 by 50.8 cm.  
Executed in 1974.

\$ 50,000 - 70,000





PROPERTY FROM A PRIVATE COLLECTION, BRAZIL

## CLAUDIO BRAVO

1936 - 2011

Studio Interior (Interior con caballete)

signed *Claudio Bravo* and dated *MCMXCI* (lower right)

pastel on paper

42¾ by 28¾ in. 108.6 by 73 cm.

Executed in 1991.

**\$ 60,000 - 80,000**





PROPERTY FROM AN IMPORTANT ESTATE

## JAVIER MARÍN

b. 1962

### Mujer Piedra II

inscribed *Piedra* twice; inscribed with artist's signature and dated  
*X98 Mexico*

clay from Zacatecas and Oaxaca with clay slip on metal artist's  
base

height: 41 in. 104.1 cm.  
Painted in 1999.

**\$ 30,000 - 40,000**





## HOW TO BID



### 1. BROWSE

Go to sothebys.com or the Sotheby's app to find works you are interested in.



### 2. REGISTER

Sign up to place bids.



### 3. BID

Bid before and during the auction, from anywhere in the world.

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## CONDITIONS OF SALE

The following Conditions of Sale and Terms of Guarantee are Sotheby's, Inc. and the Consignor's entire agreement with the purchaser and any bidders relative to the property listed in this catalogue.

The Conditions of Sale, Terms of Guarantee, the glossary, if any, and all other contents of this catalogue are subject to amendment by us by the posting of notices or by oral announcements made during the sale. The property will be offered by us as agent for the Consignor, unless the catalogue indicates otherwise.

By participating in any sale, you acknowledge that you are bound by these terms and conditions.

**1. As Is** Goods auctioned are often of some age. The authenticity of the Authorship (as defined below) of property listed in the catalogue is guaranteed as stated in the Terms of Guarantee and except for the Limited Warranty contained therein, all property is sold "AS IS" without any representations or warranties by us or the Consignor as to merchantability, fitness for a particular purpose, the correctness of the catalogue or other images or descriptions of the physical condition, size, quality, rarity, importance, medium, frame, provenance, exhibitions, literature or historical relevance of any property and no statement anywhere, whether oral or written, whether made in the catalogue, an advertisement, a bill of sale, a salesroom posting or announcement, or elsewhere, shall be deemed such a warranty, representation or assumption of liability. We and the Consignor make no representations and warranties, express or implied, as to whether the purchaser acquires any copyrights, including but not limited to, any reproduction rights in any property. We and the Consignor are not responsible for errors and omissions in the catalogue, glossary, or any supplemental material. Sotheby's will not be responsible or liable for damage to frames and glass coverings, regardless of the cause.

**2. Inspection** Prospective bidders should inspect the property before bidding to determine its condition, size, and whether or not it has been repaired or restored. Property will be available for viewing by appointment only at our New York premises, or such other location as we may indicate from time to time. You can schedule a viewing as indicated on our website. Any images and condition reports are provided to assist you with assessing the condition of the property and are for guidance only.

**3. Buyer's Premium; Overhead Premium** A buyer's premium and an overhead premium will be added to the hammer price and are payable by the purchaser as part of the total purchase price. The buyer's premium is 25% of the hammer price up to and including \$400,000, 20% of any amount in excess of \$400,000 up to and including \$4,000,000, and 13.9% of any amount in excess of \$4,000,000. The overhead premium is 1% of the hammer price.

**4. Withdrawal** We reserve the right to withdraw any property before the sale and shall have no liability whatsoever for such withdrawal.

**5. Per Lot** Unless otherwise announced by the auctioneer, all bids are per lot as numbered in the catalogue.

**6. Bidding** We reserve the right to reject any bid. The highest bidder acknowledged by the auctioneer will be the purchaser. The auctioneer has absolute and sole discretion in the case of error or dispute with respect to bidding, and whether during or after the sale, to determine the successful bidder, to re-open the bidding, to cancel the sale or to re-offer and re-sell the item in dispute. If any dispute arises after the sale, our sale record is conclusive. In person bidding is currently not available for this auction. For the most up-to-date information regarding in person bidding, please call Sotheby's or visit Sothebys.com. Bidders are encouraged to submit bids online on Sothebys.com or through the Sotheby's App. In order to bid online, you must register an account with Sotheby's and provide requested information. In our discretion we will execute order or absentee bids and accept telephone bids and online bids via the Online Platforms as a convenience to clients who do not submit their bids online; Sotheby's is not responsible for any errors or omissions in connection therewith. Prospective bidders should also consult sothebys.com for the most up to date cataloguing of the property in this catalogue.

By participating in the sale, you represent and warrant that any bids placed by you, or on your behalf, are not the product of any collusive or other anti-competitive agreement and are otherwise consistent with federal and state antitrust law.

By participating in the sale, you represent and warrant that:

(a) The bidder and/or purchaser is not subject to trade sanctions, embargoes or any other restriction on trade in the jurisdiction in which it does business as well as under the laws of the European Union, the laws of England and Wales, or the laws and regulations of the United States, and is not owned (nor partly owned) or controlled by such sanctioned person(s) (collectively, "Sanctioned Person(s)");

(b) Where acting as agent (with Sotheby's prior written consent), the principal is not a Sanctioned Person(s) nor owned (or partly owned) or controlled by Sanctioned Person(s); and

(c) The bidder and/or purchaser undertakes that none of the purchase price will be funded by any Sanctioned Person(s), nor will any party be involved in the transaction including financial institutions, freight forwarders or other forwarding agents or any other party be a Sanctioned Person(s) nor owned (or partly owned) or controlled by a Sanctioned Person(s), unless such activity is authorized in writing by the government authority having jurisdiction over the transaction or in applicable law or regulation.

In order to bid on "Premium Lots" you must complete the required Premium Lot pre-registration application. Sotheby's decision

whether to accept any pre-registration application shall be final. You must arrange for Sotheby's to receive your pre-registration application at least three working days before the sale. Please bear in mind that we are unable to obtain financial references over weekends or public holidays.

Sotheby's may require such necessary financial references, guarantees, deposits and/or such other security, in its absolute discretion, as security for your bid(s).

#### 7. Online Bids via an Online Platform

Sotheby's may offer clients the opportunity to bid on sothebys.com or through the Sotheby's App, or on any other online platform through which bidding may be made available for selected sales. By participating in a sale via any of the Online Platforms, you acknowledge that you are bound by these Conditions of Sale as well as the Additional Terms and Conditions for Online Bidding ("Online Terms"). By participating in a sale via any Online Platform, Bidders accept the Online Terms, as well as the relevant Conditions of Sale. Online bidding may not be available for Premium Lots.

**8. Bids Below Reserve** If the auctioneer determines that any opening bid is below the reserve of the article offered, he may reject the same and withdraw the article from sale, and if, having acknowledged an opening bid, he determines that any advance thereafter is insufficient, he may reject the advance.

**9. Purchaser's Responsibility** On the fall of the auctioneer's hammer, the contract between the consignor and the purchaser is concluded, and the winning bidder thereupon will immediately pay the full purchase price or such part as we may require. Title in a purchased lot will not pass until Sotheby's has received the full purchase price in cleared funds. The purchaser's obligation to immediately pay the full purchase price or such part as we may require is absolute and unconditional and is not subject to any defenses, setoffs or counterclaims of any kind whatsoever. Sotheby's is not obligated to release a lot to the purchaser until title to the lot has passed and any earlier release does not affect the passing of title or the purchaser's unconditional obligation to pay the full purchase price. In addition to other remedies available to us by law, we reserve the right to impose from the date of sale a late charge of the annual percentage rate of Prime + 6% of the total purchase price if payment is not made in accordance with the conditions set forth herein. Please note Sotheby's reserves the right to refuse to accept payment from a source other than the buyer of record.

Sotheby's New York office is currently open on a limited basis by appointment only. All collections and deliveries for purchased property will be handled by Post Sale Services in coordination with purchasers after the auction. Unless otherwise agreed by Sotheby's, all property must be removed from our or our vendor's premises (as indicated on the invoice) by the purchaser at his expense not later than 30 calendar days following the auction. Purchasers are reminded that Sotheby's liability for loss of or damage to sold property shall cease upon



the earlier of (a) 30 calendar days after the date of the auction and (b) our release of the property to the purchaser or the purchaser’s designated agent. Upon the expiration of such 30 calendar day period or upon such earlier release, as applicable: (i) the purchaser bears full liability for any and all loss of or damage to the property; (ii) the purchaser releases Sotheby’s, its affiliates, agents and warehouses from any and all liability and claims for loss of or damage to the property; and (iii) the purchaser agrees to indemnify and hold Sotheby’s, its affiliates, agents and warehouses harmless from and against any and all liability for loss of or damage to property and any all claims related to loss of or damage to the property as of and from and after the time Sotheby’s liability for loss or damage to the property ceases in accordance with this paragraph. If any applicable conditions herein are not complied with by the purchaser, the purchaser will be in default and in addition to any and all other remedies available to us and the Consignor by law, including, without limitation, the right to hold the purchaser liable for the total purchase price, including all fees, charges and expenses more fully set forth herein, we, at our option, may (x) cancel the sale of that, or any other lot or lots sold to the defaulting purchaser at the same or any other auction, retaining as liquidated damages all payments made by the purchaser, or (y) resell the purchased property, whether at public auction or by private sale, or (z) effect any combination thereof. In any case, the purchaser will be liable for any deficiency, any and all costs, handling charges, late charges, expenses of both sales, our com-missions on both sales at our regular rates, legal fees and expenses, collection fees and incidental damages. We may, in our sole discretion, apply any proceeds of sale then due or thereafter becoming due to the purchaser from us or any affiliated company, or any payment made by the purchaser to us or any affiliated company, whether or not intended to reduce the purchaser’s obligations with respect to the unpaid lot or lots, to the deficiency and any other amounts due to us or any affiliated companies. In addition, a defaulting purchaser will be deemed to have granted and assigned to us and our affiliated companies, a continuing security interest of first priority in any property or money of or owing to such purchaser in our possession, custody or control or in the possession, custody or control of any of our affiliated companies, in each case whether at the time of the auction, the default or if acquired at any time thereafter, and we may retain and apply such property or money as collateral security for the obligations due to us or to any affiliated company of ours. We shall have all of the rights accorded a secured party under the New York Uniform Commercial Code. You hereby agree that Sotheby’s may file financing statements under the New York Uniform Commercial Code without your signature. Payment will not be deemed to have been made in full until we have collected good funds. Any claims relating to any purchase, including any claims under the Conditions of Sale or Terms of Guarantee, must be presented directly to Sotheby’s. In the event the purchaser fails to pay any or all of the total purchase price for any lot and Sotheby’s nonetheless elects to pay the

Consignor any portion of the sale proceeds, the purchaser acknowledges that Sotheby’s shall have all of the rights of the Consignor to pursue the purchaser for any amounts paid to the Consignor, whether at law, in equity, or under these Conditions of Sale.

**10. Reserve** All lots in this catalogue are offered subject to a reserve, which is the confidential minimum hammer price at which a lot will be sold. No reserve will exceed the low presale estimate stated in the catalogue, or as amended by oral or posted notices. We may implement such reserve by opening the bidding on behalf of the Consignor and may bid up to the amount of the reserve, by placing successive or consecutive bids for a lot, or bids in response to other bidders. In instances where we have an interest in the lot other than our commission, we may bid up to the reserve to protect such interest. In certain instances, the Consignor may pay us less than the standard commission rate where a lot is “bought-in” to protect its reserve.

**11. Tax** Unless exempted by law, the purchaser will be required to pay the combined New York State and local sales tax, any applicable compensating use tax of another state, and if applicable, any federal luxury or other tax, on the total purchase price. The rate of such combined tax is 8.875% in New York City and ranges from 7% to 8.625% elsewhere in New York.

**12. Export and Permits** It is the purchaser’s sole responsibility to identify and obtain any necessary export, import, firearm, endangered species or other permit for the lot. Any symbols or notices in the sale catalogue reflect Sotheby’s reasonable opinion at the time of cataloguing and are for bidders’ general guidance only; Sotheby’s and the Consignor make no representations or warranties as to whether any lot is or is not subject to export or import restrictions or any embargoes.

**13. Governing Law and Jurisdiction** These Conditions of Sale and Terms of Guarantee, as well as bidders’, the purchaser’s and our respective rights and obligations hereunder, shall be governed by and construed and enforced in accordance with the laws of the State of New York. By bidding at an auction, whether by agent, order or absentee bid, telephone, online or other means, all bidders including the purchaser, shall be deemed to have consented to the exclusive jurisdiction of the state courts of, and the federal courts sitting in, the State of New York. All parties agree, however, that Sotheby’s shall retain he right to bring proceedings in a court other than the state and federal courts sitting in the State of New York.

**14. Packing and Shipping** Neither we nor our vendors are responsible for the acts or omissions in our or our vendors’ packing or shipping of purchased lots or of other carriers or packers of purchased lots, whether or not recommended by us. Packing and handling of purchased lots is at the entire risk of the purchaser.

**15. Limitation of Liability** In no event will the aggregate liability of Sotheby’s and the consignor to a purchaser exceed the purchase price actually paid.

**16. Data Protection** Sotheby’s will hold and process your personal information and may share it with its subsidiaries and affiliates for use as described in, and in line with, Sotheby’s Privacy Policy published on Sotheby’s website at [www.sothebys.com](http://www.sothebys.com) or available on request by email to [enquiries@sothebys.com](mailto:enquiries@sothebys.com).

Under European data protection laws, a client may object, by request and free of charge, to the processing of their information for certain purposes, including direct marketing, and may access and rectify personal data relating to them and may obtain more information about Sotheby’s data protection policies by writing to Sotheby’s, 34-35 New Bond Street, London W1A 2AA, or 1334 York Avenue, New York, NY 10021, Attn: Compliance, or emailing [enquiries@sothebys.com](mailto:enquiries@sothebys.com).

Please be aware that Sotheby’s may film auctions or other activities on Sotheby’s premises and that such recordings may be transmitted over the Internet via Sotheby’s website and other online platforms. Online and telephone bids may be recorded.

## TERMS OF GUARANTEE

As set forth below and in the Conditions of Sale, for all lots Sotheby’s guarantees that the authorship, period, culture or origin (collectively, “Authorship”) of each lot in this catalogue is as set out in the initial lot heading immediately following the lot number in the catalogue description of the lot, as amended by oral or written salesroom notes or announcements. Purchasers should refer to the Glossary of Terms, if any, for an explanation of the terminology used in the initial lot heading immediately following the lot number and the extent of the Guarantee. Sotheby’s makes no warranties whatsoever, whether express or implied, with respect to any material in the catalogue other than the Authorship appearing in the initial lot heading immediately following the lot number and subject to the exclusions below. For the avoidance of doubt, any property designation related to the seller of the property that may appear below the lot number is not deemed a heading for purposes of the Guarantee.

In the event Sotheby’s in its reasonable opinion deems that the conditions of the Guarantee have been satisfied, it shall refund to the original purchaser of record the hammer price and applicable buyer’s premium and overhead premium paid for the lot by the original purchaser of record.

This Guarantee is provided for a period of five (5) years from the date of the relevant auction, is solely for the benefit of the original purchaser of record at the auction and may not be transferred to any third party. To be able to claim under this Guarantee of Authorship, the original purchaser of record must: (i) notify Sotheby’s in writing within three (3) months of receiving any information that causes the original purchaser of record to question the accuracy of the Authorship in the initial lot heading immediately following the lot number, specifying the lot number, date of the auction at which it was purchased and the reasons

for such question; and (ii) return the Lot to Sotheby’s at the original selling location in the same condition as at the date of sale to the original purchaser of record and be able to transfer good title to the Lot, free from any third party claims arising after the date of such sale.

Sotheby’s has discretion to waive any of the above requirements. Sotheby’s may require the original purchaser of record to obtain at the original purchaser of record’s cost the reports of two independent and recognized experts in the field, mutually acceptable to Sotheby’s and the original purchaser of record. Sotheby’s shall not be bound by any reports produced by the original purchaser of record, and reserves the right to seek additional expert advice at its own expense. It is specifically understood and agreed that the rescission of a sale and the refund of the original purchase price paid (the successful hammer price, plus the buyer’s premium and overhead premium) is exclusive and in lieu of any other remedy which might otherwise be available as a matter of law, or in equity. Sotheby’s and the Consignor shall not be liable for any special, punitive, incidental or consequential damages incurred or claimed, including without limitation, loss of profits or interest.

## ADDITIONAL TERMS AND CONDITIONS FOR ONLINE BIDDING

The following terms and conditions (the “Online Terms”) provide important information related to online bidding on [sothebys.com](http://sothebys.com) or through the Sotheby’s App, or on any other online platform through which bidding may be made available (“Online Platforms”).

These Conditions are in addition to and subject to the same law and our standard terms and conditions of sale, including the authenticity guarantee and any other terms and are not intended in any way to replace them. By participating in this sale via any Online Platform, you acknowledge that you are bound by the Conditions of Sale applicable in the relevant sale and by these additional Conditions.

1. For certain sales, bidders are welcome to submit bids in advance of the live auction (“Advance Bids”) through the Online Platforms. Please note that we reserve the right to amend the starting bid prior to the start of the live auction.

For sales where you can place Advance Bids, you may also input a maximum bid which, upon confirmation, will be executed automatically up to this predefined maximum value in response to other bids including bids placed by Sotheby’s on behalf of the seller, up to the amount of the reserve (if applicable). Please note that reserves may be set at any time before the start of the live auction and your maximum bid may be executed against the reserve once such reserve is set.

The current leading bid will be visible to all bidders; the value and status of your maximum bid will be visible only to you, unless it is the leading bid. If the status of your bid changes, you will receive notifications via email and push (if

you have the Sotheby’s App installed) leading up to the live auction. You may raise your maximum bid at any time in advance of the live auction. Once the live auction begins, the auctioneer will open bidding at the current leading bid. The system will continue to bid on your behalf up to your predetermined maximum bid, or you may continue to bid via the Online Platforms during the live auction at the next increment. Upon the closing of each lot, you will receive push notification (if enabled on your device) indicating whether you have won or lost each lot on which you have placed a bid.

Please note in certain circumstances, clients who have been outbid may be reinstated as the leading bidder and would receive notification via email or push notification, if enabled on your device.

By placing Advance Bids on the Online Platforms, you accept and agree that any such bids are final, that you will not be permitted to retract your bid, and that, should your bid be successful, you irrevocably agree to pay the full purchase price, including buyer’s premium, overhead premium and other applicable charges, and all applicable taxes. You may nevertheless lower your maximum bid leading up to the live auction by contacting the Bids Department at +1 212 606 7414, except that you may not lower it to a level lower than the current leading bid.

2. Once it commences, a live auction is by its nature fast-moving and bidding may progress very quickly. The procedure for placing bids during the live auction is therefore a one-step process; as soon as the “Place Bid” button is clicked, a bid is submitted. By bidding online, you accept and agree that bids submitted in this way are final and that you will not under any circumstances be permitted to amend or retract your bid. If a successful bid is sent to Sotheby’s from your computer, electronic or mobile device, you irrevocably agree to pay the full purchase price, including buyer’s premium, overhead premium and any other applicable charges, and applicable taxes.

3. The next bidding increment is shown for your convenience. The auctioneer has discretion to vary Increments for bidders in the auction room and on the telephone, but bidders using Online Platforms may not be able to place a bid in an amount other than a whole bidding increment. All bidding for this sale will be in U.S. Dollars, in respect of New York sales, in Pounds Sterling, in respect of London sales, or in Hong Kong Dollars, in respect of Hong Kong sales, and online bidders will not be able to see the currency conversion board that may be displayed in the auction room.

4. The record of sale kept by Sotheby’s will be taken as absolute and final in all disputes. In the event of a discrepancy between any online records or messages provided to you and the record of sale kept by Sotheby’s, the record of sale will govern.

5. Online bidders are responsible for making themselves aware of all salesroom notices and announcements, which will be accessible on the Online Platforms.

6. Sotheby’s reserves the right to refuse or revoke permission to bid via Online Platforms

and to remove bidding privileges during a sale.

7. The purchase information shown in the “My Bids” section of the Sotheby’s App and in the “Account Activity” section of “My Account” on [Sothebys.com](http://Sothebys.com) is provided for your convenience only. Successful bidders will be notified and invoiced after the sale. In the event of any discrepancy between the online purchase information which may or may not be shown in the My Bids section and the invoice sent to you by Sotheby’s following the sale, the invoice prevails. Terms and conditions for payment and collection of property remain the same regardless of how the winning bid was submitted.

8. Sotheby’s is not responsible for any errors or failures to execute bids placed online, including, without limitation, errors or failures caused by (i) a loss of connection to the internet or to the online bidding software by either Sotheby’s or the client; (ii) a breakdown or problems with the online bidding software; or (iii) a breakdown or problems with a client’s internet connection, computer or electronic device. Sotheby’s is not responsible for any failure to execute an online bid or for any errors or omissions in connection therewith.

9. Online bidding will be recorded.

10. In the event of any conflict between these Online Terms and Sotheby’s Conditions of Sale and Terms of Guarantee, Sotheby’s Conditions of Sale and Terms of Guarantee will control.

## BUYING AT AUCTION

The following will help in understanding the auction buying process as well as some of the terms and symbols commonly used in an auction catalogue. All bidders should read the Conditions of Sale and Terms of Guarantee in this catalogue, as well as the Glossary or any other notices. By bidding at auction, bidders are bound by the Conditions of Sale and Terms of Guarantee, as amended by any oral announcement or posted notices, which together form the sale contract among Sotheby’s, the seller (consignor) of the lot and any bidders, including the successful bidder (purchaser).

### 1. SYMBOL KEY

#### ☐ Reserves

Unless indicated by a box (☐), all lots in this catalogue are offered subject to a reserve. A reserve is the confidential minimum hammer price at which a lot will be sold. The reserve is generally set at a percentage of the low estimate and will not exceed the low estimate of the lot. If any lots in the catalogue are offered without reserve, such lots will be designated by a box (☐). If every lot in a catalogue is offered without a reserve, the Conditions of Sale will so state and this symbol will not be used for each lot.

#### ☐ Guaranteed Property

The seller of lots with this symbol has been guaranteed a minimum price from one auction or a series of auctions. This guarantee may be provided by Sotheby’s or jointly by Sotheby’s



and a third party. Sotheby's and any third parties providing a guarantee jointly with Sotheby's benefit financially if a guaranteed lot is sold successfully and may incur a loss if the sale is not successful. A third party providing a guarantee jointly with Sotheby's may provide an irrevocable bid, or otherwise bid, on the guaranteed property. If this auction has a printed catalogue, a PDF catalogue or both and the guaranteed property symbol for a lot is not included in such catalogue or if there is no printed catalogue or PDF catalogue and the guaranteed property symbol for a lot is not included at the time the digital catalogue is initially available for viewing, then Sotheby's will notify bidders that there is a guarantee on the lot by one or more of the following means: the lot's specific webpage will be updated to include the guaranteed property symbol, a notice will be added to the Sotheby's webpage for the auction, or a pre-sale or pre-lot announcement will be made indicating that there is a guarantee on the lot.

#### ⚠ Property in which Sotheby's has an Ownership Interest

Lots with this symbol indicate that Sotheby's owns the lot in whole or in part or has an economic interest in the lot equivalent to an ownership interest.

#### ➡ Irrevocable Bids

Lots with this symbol indicate that a party has provided Sotheby's with an irrevocable bid on the lot that will be executed during the sale at a value that ensures that the lot will sell. The irrevocable bidder, who may bid in excess of the irrevocable bid, may be compensated for providing the irrevocable bid by receiving a contingent fee, a fixed fee or both. From time to time, a Sotheby's shareholder may be an irrevocable bidder. If the irrevocable bidder is the successful bidder, any contingent fee, fixed fee or both (as applicable) for providing the irrevocable bid may be netted against the irrevocable bidder's obligation to pay the full purchase price for the lot and the purchase price reported for the lot shall be net of any such fees. From time to time, Sotheby's may enter into irrevocable bid agreements that cover multiple lots. In such instances, the compensation Sotheby's will pay the irrevocable bidder is allocated to the lots for which the irrevocable bidder is not the successful purchaser. Under such circumstances, the total compensation to the irrevocable bidder will not exceed the total buyer's premium and other amounts paid to Sotheby's in respect of any lots for which the irrevocable bidder is not the successful bidder. If this auction has a printed catalogue, a PDF catalogue or both and the irrevocable bid is not secured until after such catalogue is finalized or if there is no printed catalogue or PDF catalogue and the irrevocable bid is not secured until after the digital catalogue is initially available for viewing, then Sotheby's will notify bidders that there is an irrevocable bid on the lot by one or more of the following means: the lot's specific webpage will be updated to include the irrevocable bid symbol, a notice will be added to the Sotheby's webpage for the auction, or a pre-sale or pre-lot announcement will be made indicating that there is an irrevocable bid on the lot. From time to time, Sotheby's or any affiliated company may provide the irrevocable bidder with financing related to the irrevocable bid.

In addition, from time to time, an irrevocable bidder may have knowledge of the amount of a guarantee. If the irrevocable bidder is advising anyone with respect to the lot, Sotheby's requires the irrevocable bidder to disclose his or her financial interest in the lot. If an agent is advising you or bidding on your behalf with respect to a lot identified as being subject to an irrevocable bid, you should request that the agent disclose whether or not he or she has a financial interest in the lot.

#### ✎ Interested Parties

Lots with this symbol indicate that parties with a direct or indirect interest in the lot may be bidding on the lot, including (i) the beneficiary of an estate selling the lot, or (ii) the joint owner of a lot. If the interested party is the successful bidder, they will be required to pay the full buyer's premium and overhead premium. In certain instances, interested parties may have knowledge of the reserve. If this auction has a printed catalogue, a PDF catalogue or both and the interested party's possible participation in the sale is not known until after such catalogue is finalized or if there is no printed catalogue or PDF catalogue and the interested party's possible participation in the sale is not known until after the digital catalogue is initially available for viewing, then Sotheby's will notify bidders that an interested party may bid on the lot by one or more of the following means: the lot's specific webpage will be updated to include the interested parties symbol, a notice will be added to the Sotheby's webpage for the auction, or a pre-sale or pre-lot announcement will be made indicating that an interested party may bid on the lot.

#### 🔒 Restricted Materials

Lots with this symbol have been identified at the time of cataloguing as containing organic material which may be subject to restrictions regarding import or export. The information is made available for the convenience of bidders and the absence of the symbol is not a warranty that there are no restrictions regarding import or export of the Lot; bidders should refer to Condition 12 of the Conditions of Sale. Please also refer to the section on Endangered Species in the information on Buying at Auction.

#### 🏛 Monumental

Lots with this symbol may, in our opinion, require special handling or shipping services due to size or other physical considerations. Bidders are advised to inspect the lot and to contact Sotheby's prior to the sale to discuss any specific shipping requirements.

#### 🏠 Premium Lot

In order to bid on "Premium Lots" (in print catalogue or 🏠 in the lot's specific webpage) you may be required to complete a Premium Lot pre-registration application. You must arrange for Sotheby's to receive your pre-registration application at least three working days before the sale. Please bear in mind that we are unable to obtain financial references over weekends or public holidays. Sotheby's decision whether to accept any pre-registration application shall be final. If all lots in the catalogue are "Premium Lots", a Special Notice will be included to this effect and this symbol will not be used.

#### 🇺🇸 US Import Tariff

Please note that this lot is subject to an import tariff. The amount of the import tariff due is a percentage of the value declared upon entry into the United States. The amount of the import tariff is not based on the final hammer price. The buyer should contact Sotheby's prior to the sale to determine the amount of the import tariff. If the buyer instructs Sotheby's to arrange shipping of the lot to a foreign address, the buyer will not be required to pay the import tariff. If the buyer instructs Sotheby's to arrange shipping of the lot to a domestic address, or if the buyer collects the property in person, or if the buyer arranges their own shipping (whether domestically or internationally), the buyer will be required to pay the import tariff. The import tariff is included in the purchase price and, where applicable, sales tax will be added to the purchase price as per our Sales and Use Tax section.

## 2. BEFORE THE AUCTION

**Bidding in advance of the live auction** For certain sales, you may bid in advance of the live auction ("Advance Bids") on sothebys.com or the Sotheby's App. In order to do so, you must register an account with Sotheby's and provide requested information. Once you have done so, navigate to your desired lot, and click the "Place Bid" button. You may bid at or above the starting bid displayed on the Online Platforms. Please note that we reserve the right to amend the starting bid prior to the start of the live auction. You may also input your maximum bid which, upon confirmation, will be executed automatically up to this predefined maximum value, in response to other bids, including bids placed by Sotheby's on behalf of the seller, up to the amount of the reserve (if applicable). The current leading bid will be visible to all bidders; the value and status of your maximum bid will be visible only to you. If the status of your bid changes, you will receive notifications via email and push (if you have enabled push notifications) leading up to the live auction. You may raise your maximum bid at any time in advance of the live auction. Please note in certain circumstances, clients who have been outbid may be reinstated as the leading bidder and will receive notification via email or push notifications (if enabled on your device).

**The Catalogue** The catalogue will help familiarize you with property being offered at the designated auction. Prospective bidders should consult sothebys.com for the most up to date cataloguing of the property in this catalogue.

**Estimates** Each lot in the catalogue is given a low and high estimate, indicating to a prospective buyer a range in which the lot might sell at auction. When possible, the estimate is based on previous auction records of comparable pieces. The estimates are determined several months before a sale and are therefore subject to change upon further research of the property, or to reflect market conditions or currency fluctuations. Estimates should not be relied upon as a representation or prediction of actual selling prices.

**Provenance** In certain circumstances, Sotheby's may include in the catalogue the history of ownership of a work of art if such information contributes to scholarship or is otherwise well known and assists in distinguishing the work of art. However, the identity of the seller or previous owners may not be disclosed for a variety of reasons. For example, such information may be excluded to accommodate a seller's request for confidentiality or because the identity of prior owners is unknown given the age of the work of art.

**Specialist Advice** Prospective bidders may be interested in specific information not included in the catalogue description of a lot. For additional information, please contact either a Sotheby's specialist in charge of the sale, or Sotheby's Client Services Department. You may also request a condition report from the specialist in charge.

**Viewing** Property will be available for viewing by appointment only at our New York premises, or such other location as we may indicate from time to time. You can schedule a viewing as indicated on our website.

**Salesroom Notices** Salesroom notices amend the catalogue description after our catalogue is available. They are announced by the auctioneer and/or posted on the sale page. Please take note of them.

**Registration** Sotheby's may require such necessary financial references, guarantees, deposits and/or such other security, in its absolute discretion, as security for your bid. If you are not successful on any lot, Sotheby's will arrange for a refund (subject to any right of set off) of the deposit amount paid by you without interest within 14 working days of the date of the sale. Any exchange losses or fees associated with the refund shall be borne by you. Registration to bid on Premium Lots must be done at least 3 business days prior to the sale.

## 3. DURING THE AUCTION

**The Auction** Auctions are open to the public (subject to any governmental health or safety restrictions) without any admission fee or obligation to bid. The auctioneer introduces the objects for sale — known as "lots" — in numerical order as listed in the catalogue. Unless otherwise noted in the catalogue, on the sale page or by an announcement at the auction, Sotheby's acts as agent on behalf of the seller and does not permit the seller to bid on his or her own property. It is important for all bidders to know that the auctioneer may open the bidding on any lot by placing a bid on behalf of the seller. The auctioneer may further bid on behalf of the seller, up to the amount of the reserve, by placing responsive or consecutive bids for a lot. The auctioneer will not place consecutive bids on behalf of the seller above the reserve.

**Bidding in Person** In person bidding is currently not available for this auction. For the most up-to-date information regarding in person bidding, please call Sotheby's or

visit Sothebys.com. Bidders are encouraged to submit bids online on Sothebys.com or through the Sotheby's App.

**Absentee Bidding** In person bidding is currently not available for this auction. For the most up-to-date information regarding in person bidding, please call Sotheby's or visit Sothebys.com. Bidders are encouraged to submit bids online on Sothebys.com or through the Sotheby's App. If you do not wish to bid online during the live auction, you may place your bid ahead of time, either via sothebys.com or the App, or by submitting your bid in writing to the Bids Department. Once the live auction opens, when the lot that you are interested in comes up for sale, the auctioneer will execute the bid on your behalf, making every effort to purchase the item for as little as possible and never exceeding your limit. This service is free and confidential.

**Advance Bidding** For certain sales, where Advance Bids are accepted, if you submit an "Advance Bid" (as described above in "BEFORE THE AUCTION"), and your bid is not executed up to its maximum value before the auction begins, your bid will continue to be executed automatically on your behalf during the live auction up to your predetermined maximum bid. You may also continue to bid via the Online Platforms at the next increment above your maximum bid. Bidders utilizing any online platform are subject to the Online Terms as well as the relevant Conditions of Sale. Online bidding may not be available for Premium Lots.

**Telephone Bidding** In some circumstances, we offer the ability to place bids by telephone live to a Sotheby's representative on the auction floor. Please contact the Bid Department prior to the sale to make arrangements or to answer any questions you may have. Telephone bids are accepted only at Sotheby's discretion and at the caller's risk. Calls may also be recorded at Sotheby's discretion. By bidding on the telephone, prospective buyers consent thereto.

**Live Online Bidding** In person bidding is currently not available for this auction. For the most up-to-date information regarding in person bidding, please call Sotheby's or visit Sothebys.com. Bidders are encouraged to submit bids online on Sothebys.com or through the Sotheby's App. For information about registering to bid on sothebys.com or through the Sotheby's App, please see sothebys.com. Bidders utilizing any online platform are subject to the Online Terms as well as the relevant Conditions of Sale. Online bidding may not be available for Premium Lots.

**Employee Bidding** Sotheby's employees may bid in a Sotheby's auction only if the employee does not know the reserve and if the employee fully complies with Sotheby's internal rules governing employee bidding.

**US Economic Sanctions** The United States maintains economic and trade sanctions against targeted foreign countries, groups and organizations. There may be restrictions on the import into the United States of certain items originating in sanctioned countries, including Cuba, Iran, North Korea and Sudan. The

purchaser's inability to import any item into the US or any other country as a result of these or other restrictions shall not justify cancellation or rescission of the sale or any delay in payment. Please check with the specialist department if you are uncertain as to whether a lot is subject to these import restrictions, or any other restrictions on importation or exportation.

**Hammer Price and the Buyer's Premium and the Overhead Premium** For lots which are sold, the last price for a lot as announced by the auctioneer is the hammer price. A buyer's premium and overhead premium will be added to the hammer price and are payable by the purchaser as part of the total purchase price. The buyer's premium and the overhead premium will be the amounts stated in the Conditions of Sale.

**Currency Board** As a courtesy to bidders, a currency board is operated in many salesrooms. It displays the lot number and current bid in both U.S. dollars and foreign currencies. Exchange rates are approximations based on recent exchange rate information and should not be relied upon as a precise invoice amount. Sotheby's assumes no responsibility for any error or omission in foreign or United States currency amounts shown.

**Results** Sale results are available on sothebys.com and on the Sotheby's App.

**International Auctions** If you need assistance placing bids, obtaining condition reports or receiving auction results for a Sotheby's sale outside the United States, please contact our International Client Services Department.

## 4. AFTER THE AUCTION

**Payment** If your bid is successful, your invoice will be mailed to you. The final price is determined by adding the buyer's premium, overhead premium and any other applicable charges to the hammer price on a per-lot basis. Sales tax, where applicable, will be charged on the entire amount. Payment is due in full immediately after the sale. However, under certain circumstances, Sotheby's may, in its sole discretion, offer bidders an extended payment plan. Such a payment plan may provide an economic benefit to the bidder. Credit terms should be requested at least one business day before the sale. However, there is no assurance that an extended payment plan will be offered. Please contact Post Sale Services or the specialist in charge of the sale for information on credit arrangements for a particular lot. Please note that Sotheby's will not accept payments for purchased lots from any party other than the purchaser, unless otherwise agreed between the purchaser and Sotheby's prior to the sale.

**Payment by Cash** It is against Sotheby's general policy to accept payments in the form of cash or cash equivalents.

**Payment by Credit Cards** Sotheby's accepts payment by credit card for Visa, MasterCard, and American Express only. Credit card



payments may not exceed \$50,000 per sale. Payment by credit card may be made (a) online at <https://www.sothebys.com/en/invoice-payment.html>, (b) through the Sotheby's App, (c) by calling in to Post Sale Services at +1 212 606 7444, or (d) in person at Sotheby's premises at the address noted in the catalogue. Please note that Sotheby's New York office is open on a limited basis by appointment only.

**Payment by Check** Sotheby's New York office is open on a limited basis by appointment only. During such time, Sotheby's cannot accept payment by check (including, but not limited to, personal, certified, banker's draft or cashier's checks).

**Payment by Wire Transfer** To pay for a purchase by wire transfer, please refer to the payment instructions on the invoice provided by Sotheby's or contact Post Sale Services to request instructions.

**Sales and Use Tax** New York sales tax is charged on the hammer price, buyer's premium, overhead premium and any other applicable charges on any property picked up or delivered in New York State, regardless of the state or country in which the purchaser resides or does business. Purchasers who wish to use their own shipper who is not a considered a "common carrier" by the New York Department of Taxation and Finance will be charged New York sales tax on the entire charge regardless of the destination of the property. Please refer to "Information on Sales and Use Tax Related to Purchases at Auction".

**Collection and Delivery**  
Post Sale Services  
+ 1 212 606 7444  
FAX: + 1 212 606 7043  
[uspostsaleservices@sothebys.com](mailto:uspostsaleservices@sothebys.com)

Sotheby's New York office is open on a limited basis by appointment only. All collections and deliveries for purchased property will be handled by Post Sale Services in coordination with purchasers after the auction. Unless otherwise agreed by Sotheby's, all property must be removed from our or our vendor's premises (as indicated on the invoice) by the purchaser at his expense not later than 30 calendar days following the close of the auction. As a reminder your property cannot be released until payment has been received and cleared.

**Shipping Services** Sotheby's offers a comprehensive shipping service to meet all of your requirements. If you received a shipping quotation or have any questions about the services we offer please contact us.

**Collecting your Property** Sotheby's New York office is open on a limited basis by appointment only. All collections and deliveries for purchased property will be handled by Post Sale Services in coordination with purchasers after the auction. Unless otherwise agreed by Sotheby's, all property must be removed from our or our vendor's premises (as indicated on the invoice) by the purchaser at his expense not later than

30 calendar days following the close of the auction. As a courtesy to purchasers who come to Sotheby's to collect property, Sotheby's will assist in the packing of lots, although Sotheby's may, in the case of fragile articles, choose not to pack or otherwise handle a purchase.

If you are using your own shipper to collect property from Sotheby's, please provide a letter of authorization and kindly instruct your shipper that they must provide a Bill of Lading prior to collection. Both documents must be sent to Post Sale Services prior to collection.

The Bill of Lading must include: the purchaser's full name, the full delivery address including the street name and number, city and state or city and country, the sale and lot number.

Sotheby's will contact your shipper within 24 hours of receipt of the Bill of Lading to confirm the date and time that your property can be collected. Property will not be released without this confirmation and your shipper must bring the same Bill of Lading that was faxed to Sotheby's when collecting. All property releases are subject to the receipt of cleared funds.

Please see the Conditions of Sale for further details.

**Endangered Species** Certain property sold at auction, for example, items made of or incorporating plant or animal materials such as coral, crocodile, ivory, whalebone, tortoiseshell, rhinoceros horn, rosewood, etc., irrespective of age or value, may require a license or certificate prior to exportation and additional licenses or certificates upon importation to another country. Sotheby's suggests that buyers check on their government wildlife import requirements prior to placing a bid. Please note that the ability to obtain an export license or certificate does not ensure the ability to obtain an import license or certificate in another country, and vice versa. It is the purchaser's responsibility to obtain any export or import licenses and/or certificates as well as any other required documentation. In the case of denial of any export or import license or of delay in the obtaining of such licenses, the purchaser is still responsible for making on-time payment of the total purchase price for the lot.

Although licenses can be obtained to export some types of endangered species, other types may not be exported at all, and other types may not be resold in the United States. Upon request, Sotheby's is willing to assist the purchaser in attempting to obtain the appropriate licenses and/or certificates. However, there is no assurance that an export license or certificate can be obtained. Please check with the specialist department or the Shipping Department if you are uncertain as to whether a lot is subject to these export/import license and certificate requirements, or any other restrictions on exportation.

**The Art Loss Register** As part of Sotheby's efforts to support only the legitimate art market and to combat the illegitimate market in stolen property, Sotheby's has retained the Art Loss Register to check all uniquely identifiable items offered for sale in this catalogue that are estimated at more than the equivalent

of US\$1,500 against the Art Loss Register's computerized database of objects reported as stolen or lost. The Art Loss Register is pleased to provide purchasers with a certificate confirming that a search has been made. All inquiries regarding search certificates should be directed to The Art Loss Register, First Floor, 63-66 Hatten Garden, London EC1N 8LE or by email at [artloss@artloss.com](mailto:artloss@artloss.com). The Art Loss Register does not guarantee the provenance or title of any catalogued item against which they search, and will not be liable for any direct or consequential losses of any nature howsoever arising. This statement and the ALR's service do not affect your rights and obligations under the Conditions of Sale applicable to the sale.

## SELLING AT AUCTION

If you have property you wish to sell, Sotheby's team of specialists and client services representatives will assist you through the entire process. Simply contact the appropriate specialist (specialist departments are listed in the catalogue), General Inquiries Department or a Sotheby's regional office representative for suggestions on how best to arrange for evaluation of your property.

**Property Evaluation** There are three general ways evaluation of property can be conducted:

### (1) In our galleries

You may bring your property directly to our galleries where our specialists will give you auction estimates and advice. There is no charge for this service, but we require that you telephone ahead for an appointment.

### (2) By photograph

If your property is not portable, or if you are not able to visit our galleries, you may bring in or send a clear photograph of each item. If you have a large collection, a representative selection of photographs will do. Please be sure to include the dimensions, artist's signature or maker's mark, medium, physical condition and any other relevant information. Our specialists will provide a free preliminary auction estimate subject to a final estimate upon first-hand inspection.

### (3) In your home

Evaluations of property can also be made in your home. The fees for such visits are based on the scope and diversity of property, with travel expenses additional. These fees may be rebated if you consign your property for sale at Sotheby's. If there is considerable property in question, we can arrange for an informal "walkthrough."

Once your property has been evaluated, Sotheby's representatives can then help you determine how to proceed should you wish to continue with the auction process. They will provide information regarding sellers' commission rates and other charges, auction venue, shipping and any further services you may require.

## SOTHEBY'S SERVICES

Sotheby's also offers a range of other services to our clients beyond buying and selling at auction. These services are summarized below. Further information on any of the services described below can be found at [sothebys.com](http://sothebys.com).

**Valuations and Appraisals** Sotheby's Valuations and Appraisals Services offers advice regarding personal property assets to trusts, estates, and private clients in order to help fiduciaries, executors, advisors, and collectors meet their goals. We provide efficient and confidential advice and assistance for all appraisal and auction services. Sotheby's can prepare appraisals to suit a variety of needs, including estate tax and planning, insurance, charitable contribution and collateral loan. Our appraisals are widely accepted by the Internal Revenue Service, tax and estate planning professionals, and insurance firms. In the event that a sale is considered, we are pleased to provide auction estimates, sales proposals and marketing plans. When sales are underway, the group works closely with the appropriate specialist departments to ensure that clients' needs are met promptly and efficiently.

**Financial Services** Sotheby's offers a wide range of financial services including advances on consignments, as well as loans secured by art collections not intended for sale.

**Museum Services** Tailored to meet the unique needs of museums and non-profits in the marketplace, Museum Services offers personal, professional assistance and advice in areas including appraisals, deaccessions, acquisitions and special events.

**Corporate Art Services** Devoted to servicing corporations, Sotheby's Corporate Art Services Department can prepare appraisal reports, advise on acquisitions and deaccessions, manage all aspects of consignment, assist in developing arts-management strategies and create events catering to a corporation's needs.

## INFORMATION ON SALES AND USE TAX RELATED TO PURCHASES AT AUCTION

To better assist our clients, we have prepared the following information on Sales and Use Tax related to property purchased at auction.

**Why Sotheby's Collects Sales Tax** Virtually all State Sales Tax Laws require a corporation to register with the State's Tax Authorities and collect and remit sales tax if the corporation either establishes or maintains physical or economic presence within the state. In the states that impose sales tax, Tax Laws require an auction house, with such presence in the state, to register as a sales tax collector, and remit sales tax collected to the state. New York sales tax is charged on the hammer price, buyer's premium, overhead premium and any other applicable charges on any property

picked up or delivered in New York, regardless of the state or country in which the purchaser resides or does business.

**Where Sotheby's Collects Sales Tax** Sotheby's is currently registered to collect sales tax in the following states: Alabama, Arizona, Arkansas, California, Colorado, Connecticut, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Nebraska, Nevada, New Jersey, New Mexico, New York, North Carolina, Ohio, Oklahoma, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, Wisconsin and Wyoming. For any property collected or received by the purchaser in New York City, such property is subject to sales tax at the existing New York State and City rate of 8.875%.

**Sotheby's Arranged Shipping** If the property is delivered into any state in which Sotheby's is registered, Sotheby's is required by law to collect and remit the appropriate sales tax in effect in the state where the property is delivered.

**Client Arranged Shipping** Property collected from Sotheby's New York premises by a common carrier hired by the purchaser for delivery at an address outside of New York is not subject to New York Sales Tax, but if the property is delivered into any state in which Sotheby's is registered, Sotheby's is required by law to collect and remit the appropriate sales tax in effect in the state where the property is delivered. New York State recognizes shippers such as the United States Postal Service, United Parcel Service, FedEx, or the like as "common carriers". If a purchaser hires a shipper other than a common carrier to pick up property, Sotheby's will collect New York sales tax at a rate of 8.875% regardless of the ultimate destination of the goods. If a purchaser utilizes a freight-forwarder who is registered with the Transportation Security Administration ("TSA") to deliver property outside of the United States, no sales tax would be due on this transaction.

**Where Sotheby's is Not Required to Collect Sales Tax** Sotheby's is not required to collect sales tax on property delivered to states other than those listed above. If the property is delivered to a state where Sotheby's is not required to collect sales tax, it is the responsibility of the purchaser to self-assess any sales or use tax and remit it to taxing authorities in that state.

Sotheby's is not required to collect sales tax for property delivered to the purchaser outside of the United States.

Restoration and Other Services Regardless of where the property is subsequently transported, if any framing or restoration services are performed on the property in New York, it is considered to be a delivery of the property to the purchaser in New York, and Sotheby's will be required to collect the 8.875% New York sales tax.

**Certain Exemptions** Most states that impose sales taxes allow for specified exemptions to the tax. For example, a registered re-seller such as a registered art dealer may purchase without incurring a tax liability, and Sotheby's is not required to collect sales tax from such re-seller. The art dealer, when re-selling the property, may be required to charge sales tax to its client, or the client may be required to self-assess sales or use tax upon acquiring the property.

**Local Tax Advisors** As sales tax laws vary from state to state, Sotheby's recommends that clients with questions regarding the application of sales or use taxes to property purchased at auction seek tax advice from their local tax advisors.

## IMPORTANT NOTICES

### Important Notice to Purchasers

Sotheby's New York office is open on a limited basis by appointment only. Auctions conducted during this time will be processed through Post Sale Services as per usual business procedure. All collections and deliveries for purchased property will be handled by Post Sale Services in coordination with purchasers after the auction. Invoices will be issued to the successful party, which will include total purchase price, payment options, and next steps on delivery. Payment is due immediately.

**Property Collection** All collections and deliveries for purchased property will be handled by Post Sale Services in coordination with purchasers after the auction. Invoices will be issued to the successful party, which will include total purchase price, payment options, and next steps on delivery. During this time, payment for property is still due as per the Conditions of Sale. Post Sale Services will be in touch for future collection scheduling or shipping arrangements.

**Property Payment** All property must be paid in full before collection or release from any of our or our vendor's premises. Payment must be made through Sotheby's New York Post Sale Services by way of our acceptable forms of payment methods mentioned on your invoice. To arrange for payment, please contact Post Sale Services at +1 212 606 7444 or [USPostSaleServices@sothebys.com](mailto:USPostSaleServices@sothebys.com). Payment will not be accepted at any offsite facility. Dealers and resale clients should fill out the appropriate forms where applicable or contact Post Sale Services with any questions.

**Loss and Liability** Unless otherwise agreed by Sotheby's, all sold property must be removed from any of our premises or our vendor's premises by the buyer at their expense no later than 30 calendar days following the auction. Buyers are reminded that Sotheby's liability for loss or damage to sold property shall cease no later than 30 calendar days after the date of the auction.



**Collection & Shipping** All collections and deliveries for purchased property will be handled by Post Sale Services in coordination with purchasers after the auction.

For any in-person collections at any of our vendor’s premises, please alert Post Sale Services of your proposed collection date, ensure that all outstanding invoices have been paid for, and that you or your agent have the appropriate photo identification upon arrival.

If you are using your own shipper to collect property, please provide a letter of authorization and instruct your shipper to email their bill of lading to bills@sothebys.com and ensure the correct collection location is specified.

Sotheby’s can arrange for delivery of your property through one of our contracted vendors or can coordinate pick up at our offsite location with you or your shipper directly. Please contact Post Sale Services at +1 212 606 7444 or USPostSaleServices@sothebys.com to start your collection process.

**Important Notice Regarding Packing**  
As a courtesy to purchasers who come to Sotheby’s to pick up property, Sotheby’s will assist in packing framed paintings. Sotheby’s is unable to remove canvases off stretchers or to roll works on paper. Purchasers are advised to contact an independent painting restorer to pack works in this manner.

GLOSSARY OF TERMS

The following are examples of the terminology used in this catalogue. Please note that all statements made in this catalogue are made subject to the provisions of the Conditions of Sale and Terms of Guarantee printed in this catalogue:

GLOSSARY FOR PAINTINGS

**Giovanni Bellini**  
The work is, in our best judgement, by the named artist. When the artist’s forename is not known, a series of asterisks followed by the surname of the artist, whether preceded by an initial or not, indicates that the work is, in our best judgement, by the named artist.

**Attributed to Giovanni Bellini**  
In our opinion, probably a work by the artist but less certainty as to authorship is expressed than in the preceding category.

**Studio of Giovanni Bellini**  
In our opinion, a work by an unknown hand in the studio of the artist which may or may not have been executed under the artist’s direction.

**Circle of Giovanni Bellini**  
In our opinion, a work by an as yet unidentified but distinct hand closely associated with the named artist but not necessarily his pupil.

**Style of...Follower of Giovanni Bellini**  
In our opinion, a work by a painter working in the artist’s style, contemporary or nearly contemporary, but not necessarily his pupil.

**Manner of Giovanni Bellini**  
In our opinion, a work in the style of the artist and of a later date.

**After Giovanni Bellini**  
In our opinion, a copy of a known work of the artist.

The term signed and/or dated and/or inscribed means that, in our opinion, a signature and/or date and/or inscription are from the hand of the artist.

The term bears a signature and/or a date and/or an inscription means that, in our opinion, a signature and/or date and/or inscription have been added by another hand.

Dimensions are given height before width. Pictures are framed unless otherwise stated.

GLOSSARY FOR SCULPTURE

**Bronze Figure of a Woman, Maurice Giraud-Rivière**, CIRCA 1925 This heading indicates that the casting was done by the artist or with his direct authorization or supervision.

**Bronze Figure of a Woman, After Maurice Giraud-Rivière**, CIRCA 1925 This heading indicates the casting was done by another, i.e., artisans at a foundry.

**Maurice Giraud-Rivière, Bronze Figure of a Woman**, CIRCA 1925 This heading indicates that the casting was done by the artist or with his direct authorization or supervision.

